

1 **A Review of Methods and Applications of the Geometric**  
2 **Characterization of Tree Crops in Agricultural Activities.**

3

4 **J.R. Rosell\***, **R. Sanz**

5

6 Department of Agro-forestry Engineering, Universitat de Lleida, Av. Rovira Roure 191,  
7 25198 Lleida, Spain.

8

9 **ABSTRACT**

10 This paper presents the foundations and applications in agriculture of the main systems  
11 used for the geometrical characterization of tree plantations, including systems based on  
12 ultrasound, digital photographic techniques, light sensors, high-resolution radar images,  
13 high-resolution X-ray computed tomography, stereo vision and LIDAR sensors. Amongst  
14 these, LIDAR laser scanners and stereo vision systems are probably the most promising  
15 and complementary techniques for achieving 3D pictures and maps of plants and canopies.  
16 The information about the geometric properties of plants provided by these techniques has  
17 innumerable applications in agriculture. Some important agricultural tasks that can benefit  
18 from these plant-geometry characterization techniques are the application of pesticides,  
19 irrigation, fertilization and crop training. In the field of pesticide application, knowledge of  
20 the geometrical characteristics of plantations will permit a better adjustment of the dose of  
21 the product applied, improving the environmental and economic impact. However, it is still

---

\* Corresponding author: Joan Ramon Rosell Polo. Department of Agro-forestry Engineering, Universitat de Lleida, Avinguda Rovira Roure 191, 25198 Lleida, Spain  
Phone: +34-973-702861, Fax: +34-973-238264, Email: jr.rosell@eagrof.udl.cat

22 necessary to resolve several technological and commercial questions. The former include  
23 improving detection systems, especially with regard to developing software for the post-  
24 processing steps and improving the speed of calculation and decision making. Amongst the  
25 latter, it is essential to produce low cost sensors and control systems in order to facilitate  
26 large-scale deployment. Obtaining a precise geometrical characterization of a crop at any  
27 point during its production cycle by means of a new generation of affordable and easy-to-  
28 use detection systems, such as LIDAR and stereo vision systems, will help to establish  
29 precise estimations of crop water needs as well as valuable information that can be used to  
30 quantify its nutritional requirements. If accurate, this can provide valuable information on  
31 which to base more sustainable irrigation and fertilizer dosages. These would be able to  
32 meet crop needs and could also be used as part of specific management systems, based on  
33 prescription maps, for the application of variable quantities of water and fertilizers. The  
34 availability of measurement tools that allow a precise geometric characterization of  
35 plantations will also facilitate and enhance research aimed at developing better crop  
36 training systems that ensure an optimal distribution of light within the treetops and higher  
37 fruit quality. It is therefore of vital importance to continue devoting major efforts to the  
38 development of increasingly accurate, robust and affordable systems capable of measuring  
39 the geometric characteristics of plantations, which support the development of the different  
40 areas of a sustainable and precision agriculture.

41

42 Key words: Terrestrial Laser Scanning; LIDAR; Stereo vision; Ultrasonic sensors;  
43 Variable Application; 3D Real time applications; 3D Plant modelling.

44

45

46

47 **1. Introduction**

48

49 The structural aspects of a canopy are crucial at different levels (individual tree, crops,  
50 forest and ecosystems). The space occupied by tree foliage determines the potential for  
51 resource capture and for exchanges with the atmosphere (Phattaralerphong and Sinoquet,  
52 2005). Plant structure influences most biophysical processes, including: photosynthesis,  
53 growth, CO<sub>2</sub>-sequestration, and evapotranspiration (Li et al., 2002; Pereira et al., 2006),  
54 etc. At the forest level, structure plays a key role in processes involving exchanges of  
55 matter and energy between the atmosphere and terrestrial above-ground carbon reserves  
56 (Van der Zande et al., 2006).

57

58 Most of the work conducted to date has been related to forest areas (Lefsky et al., 2002;  
59 Parker et al., 2004; Maas et al., 2008; Kushida et al., 2009). However, in the field of  
60 agriculture, obtaining three-dimensional models of trees and plantations opens an immense  
61 and novel field of applications.

62

63 As far as agricultural crops are concerned, the geometric characterization of trees is both a  
64 relevant and complex task (Sanz et al., 2011a, b). It is relevant because tree canopy  
65 geometric characteristics are directly related to tree growth and productivity, and hence can  
66 be indicators for tree biomass and growth estimations, yield prediction, water consumption  
67 estimation, health assessment, and long-term productivity monitoring (Lee and Ehsani,  
68 2009). Canopy characteristics supply valuable information for tree-specific management  
69 reducing production costs and public concerns about environmental pollution. Thus, there  
70 is a whole range of key agricultural activities including pesticide treatments, irrigation,

71 fertilization and crop training which depend largely on the structural and geometric  
72 properties of the visible part of trees

73  
74 It is a complex task because the thousands of elements that form trees (trunks, branches,  
75 leaves, flowers and fruits) are difficult to measure. There are essentially three reasons for  
76 this: (i) the large number of elements to consider, (ii) their location in a relatively small  
77 three-dimensional space, which implies that some elements will always be partially or  
78 totally hidden, regardless of the view angle adopted and (iii) the geometric complexity of  
79 all these elements (Zheng and Moskal, 2009). At present a number of research groups are  
80 conducting research into a variety of non-destructive techniques for the measurement of  
81 the tree canopy structural characteristics, such as volume, foliage and leaf area index. This  
82 can be achieved by different detection approaches, such as image analysis techniques,  
83 digital stereoscopy photography, analysis of the light penetration in the canopy, ultrasonic  
84 sensors and laser scanning techniques, among others.

85

86 The following sections will outline the main methods adopted for the geometric  
87 characterization of trees in the field and its application to four important crop management  
88 actions i.e. pesticide application, irrigation, fertilization and crop training.

89

## 90 **2. Methods for the Geometric Characterization of Tree Crops**

91 The structural and geometrical parameters of trees, such as vegetative volume and area are  
92 usually derived from manual measurements of height and width and the destructive  
93 sampling of leaves. However, as destructive sampling is both slow and costly for fruit  
94 orchards, other alternative remote methods have been used over the last 10 years. The  
95 measurement and structural characterisation of plants can be carried out remotely using  
96 several detection principles, including image analysis techniques, stereoscopic

97 photography, analysis of the light spectrum, ultrasonic ranging and optical ranging (Rosell  
98 et al., 2009b).

99

100 The use of ultrasonic sensors (Giles et al., 1988; Zaman and Salyani, 2004; Zaman and  
101 Schumann, 2005; Solanelles et al., 2006), as well as digital photographs (Phattaralerphong  
102 and Sinoquet, 2005; Leblanc et al., 2005), laser sensors (Naesset, 1997a, b; Aschoff et al.,  
103 2004; Van der Zande et al., 2006; Rosell et al., 2009a, b), stereo images (Andersen et al.,  
104 2005; Rovira-Más et al., 2005; Kise and Zhang, 2006), light sensors (Giuliani et al., 2000),  
105 high-resolution radar images (Bongers, 2001) or high-resolution X-ray computed  
106 tomography (Stuppy et al., 2003) offers innovative solutions to the problem of structural  
107 assessment. Most of these approaches have proven incapable of describing the three-  
108 dimensional structure of a tree or canopy in a fast, repeatable and accurate way or have  
109 been associated with practical problems under field conditions (Van der Zande et al.,  
110 2006). The following paragraphs explain the main features of these sensors in more detail.

111

## 112 **2.1 Radar systems**

113 Most remote sensing techniques measure within the optical window of electromagnetic  
114 radiation where the influence of atmospheric conditions is high. Radar systems, on the  
115 other hand, measure within the microwave window and are relatively independent of  
116 atmospheric conditions. High-resolution radar images can be used to describe canopy  
117 structure in detail and over large areas. At present, the ways to measure the three  
118 dimensional structure of (components within) individual trees in detail are currently being  
119 developed and coupled to physiological models; however, the use of such methods is only  
120 feasible with small plants. At large scale levels, remote sensing data are used to describe  
121 differences in structure such as the roughness of the upper surface of a forest, which is an

122 important structural parameter that indicates the distance from the forest to the macro-  
123 environment interface. Recently available high resolution radar images can be developed in  
124 such a way as to allow us to derive the relative heights of canopy surfaces. The  
125 introduction of high-spatial-resolution radar systems now permits the discrimination of  
126 forest types based on differences in canopy architecture. Radar systems with high spatial  
127 resolution (1 to 3 m) have recently become available for civil applications and can be used  
128 for the detection of individual tree crowns when they are large in comparison with the  
129 spatial resolution of the image and when they form part of the upper canopy, preferably for  
130 emergent trees (Bongers, 2001). However, this spatial resolution is still far from  
131 satisfactory resolution requirements of most agricultural applications (which range from  
132 several cm. to a few mm., depending on the target) and this means that any accurate  
133 measurement of the 3D characteristics of the canopy, such as its height and volume and the  
134 three-dimensional spatial model of its trees, remains unfeasible for the moment.

135

## 136 **2.2 Medical and Industrial Adapted Technologies**

137 On the opposite side from the viewpoint of spatial resolution are systems based on  
138 modifications of techniques commonly used in medicine and industry, such as high-  
139 resolution X-ray computed tomography (HRCT) or nuclear magnetic resonance imaging  
140 (MRI), among others. Both HRCT and MRI can provide non-invasive 3D visualizations of  
141 a wide variety of plant structures. In MRI, the water content of the objects examined is a  
142 crucial factor for determining pixel intensity, while HRCT is more suitable for 'dry'  
143 objects, such as dried plant parts, dry fruits and seeds and fossilized material because it can  
144 penetrate denser materials and depends on contrasts in overall density rather than on water  
145 content. However, HRCT cannot be used *in vivo* because the high-energy x-rays it uses

146 could prove lethal. HRCT and MR techniques provide digital output which permits graphic  
147 3D visualizations as well as accurate and reproducible quantitative measurements (Stuppy  
148 et al., 2003). At present, the main limitations of these techniques are that: (i) the largest  
149 specimens that can be scanned must not exceed about one metre in diameter or in height,  
150 which makes them inapplicable to most tree crops; (ii) the associated equipment is too  
151 expensive; (iii) their applicability to real field conditions is very difficult as is their  
152 integration with agricultural machinery; iv) in the case of HRCT, the powerful x-ray  
153 sources employed (up to 420 kV) imply a health risk to human beings.

154

### 155 **2.3 Digital Photographic Techniques**

156 Digital photographs can be used to reconstruct the 3D volume of an object by computer  
157 vision techniques (CVT). In CVT, a digital imaging camera receives light from the object  
158 surface and converts the light into electrical signals using a charge-coupled device (CCD)  
159 image sensor. CCD image sensors are solid state, silicon-based light sensitive devices that  
160 convert an optical image into an array of electrical signals, which are proportional to the  
161 intensities of the light from the surface. An analog-to-digital converter device converts the  
162 electrical signal into a digital data and the digitized imaging data are then stored in the  
163 computer (Chen et al., 2002). The photographic method was first developed for solid  
164 objects with well-defined opaque contours, but some work was also done on tree canopies.  
165 The silhouette area seen on each photograph, with photographs being taken in several  
166 beam directions (N, S, E, W, NE, etc.), is used to compute a solid angle, which is formed  
167 by the tree viewed from the camera location; this is a cone that includes the volume of the  
168 tree crown. The volume of the tree crown is therefore, estimated as the intersection of the  
169 different cones provided by a set of photographs.

170

171 Photographic methods for estimating individual tree dimensions and tree crown volumes  
172 also describe the canopy space as an array of 3D cubic cells that are considered to be semi-  
173 transparent. Tree crown volume is defined as the volume of the set of voxels (the 3D  
174 equivalent of a 2D pixel) containing phytoelements. This photographic method of  
175 reconstruction involves: (i) the estimation of canopy height and diameter from the location  
176 of the topmost, rightmost and leftmost vegetated pixels; (ii) the construction of a  
177 rectangular bounding box around the tree based on previously derived canopy dimensions;  
178 (iii) the division of the bounding box into an array of voxels; (iv) the division of each tree  
179 image into a set of picture zones. Each picture zone corresponds to the direction of a beam  
180 from the camera to the target tree, whose equation is computed from the zone location on  
181 the picture and from the camera parameters. After processing all the vegetated zones,  
182 voxels that have not been intersected by any beam are presumed to be empty and are  
183 removed from the bounding box. Estimations of crown volume can be refined by  
184 combining several photographs taken from different view angles (Phattaralerphong and  
185 Sinoquet, 2005).

186

### 187 **2.3.1 Hemispherical Photography**

188 Some authors have investigated the retrieval of canopy architectural parameters from  
189 digital hemispherical photography using off-the-shelf digital cameras with fish-eye lenses  
190 (Leblanc et al., 2005). This technique takes advantage of the sensor's linear response to  
191 light of these cameras to improve estimations of the gap fraction: (i) using the digital  
192 numbers of mixed sky-canopy pixels to estimate the within-pixel gap fraction and (ii)



193 considering the variation in view zenith angle to take into account the sky radiance  
194 distribution and the canopy multiple scattering effects. As a result, some plant  
195 characteristics, such as the leaf area index (LAI) and the foliage element clumping index  
196 can be estimated with reasonable accuracy. These measurement systems make the  
197 assessment of plant geometry a complex and slow process which is not suitable for 3D  
198 real-time applications. Moreover, these systems do not allow us to obtain the 3D model of  
199 plants directly but by means of post-processing computing algorithms.

200

#### 201 **2.4 Light Sensors**

202 There are commercially available portable light sensing instruments, so-called  
203 ceptometers, that measure the plant intercepted light from the above-canopy and below-  
204 canopy measured radiation and calculate the canopy photosynthetically active radiation  
205 (PAR) interception (Fig. 1). PAR data can be used with other canopy parameters and  
206 climate data to accurately calculate the LAI non-destructively in real time and estimate  
207 diverse canopy processes like biomass production, radiation interception, energy  
208 conversion, precipitation interception, and evapotranspiration.

209

210 The use of light sensors to obtain the geometrical and structural characteristics of plants,  
211 such as their shape, size and the number of theoretical canopy leaf layers (leaf layer index,  
212 LLI), is based on monitoring the light–shadow windows of a tree via a grid system of light  
213 sensing sensors on the ground (Giuliani et al., 2000). The sensing system consists of an  
214 array of 48 light sensors set out horizontally and upwards in correspondence with cavities  
215 drilled into two aluminium bars (Fig. 2). The chosen light sensors are low cost  
216 phototransistors with spectral sensitivity in the 300–1100 nm waveband. The ground  
217 readings taken at each measurement over the day are used to project a digitized shadow

218 image. Using image processing, the amount of intercepted radiations is calculated as the  
219 difference with respect to the corresponding incoming radiation above the canopy. Tree-  
220 crown size and shape are profiled via computer imaging by analysing the different shadow  
221 images acquired at various solar positions during the day.

222

223 This system has several practical limitations. With regard to measurement requirements,  
224 the use of the light scanner must be restricted to sunny and clear sky days and low wind-  
225 speed conditions as well as smooth ground-layer vegetation, which produce a ground  
226 canopy shade whose contours are sufficiently visible and stable. The readings are taken by  
227 moving the sledge scanner, step by step, from one side of the designated area to the other,  
228 so as to cover all the grid points to be monitored. A data set is recorded at each position,  
229 but the procedure makes the measurement process very time consuming. Furthermore, this  
230 system does not allow us to obtain a 3D model of plants directly, but by means of post-  
231 processing the shadow images acquired. Finally, this method is not suitable for real-time  
232 3D applications.

233

## 234 **2.5 Stereo Vision**

235 Computer stereo vision implies the extraction of 3D information from digital images, as  
236 obtained by a CCD image sensor-based digital camera. A stereovision system can provide  
237 a three-dimensional (3D) field image by combining two monocular field images taken  
238 simultaneously using a binocular camera (Kise et al., 2005). The main advantage of  
239 stereoscopic vision over conventional monocular vision is its ability to detect ranges:  
240 distances between scene objects and the camera. Monocular cameras create planar images  
241 in which each pixel is the result of a two-dimensional projection of the 3D world.

242 Stereovision adds a third coordinate, or range, which completes the full localization of any  
243 point within a 3D Cartesian frame (Fig. 3). The natural outcome of a stereovision sensor is  
244 a 3D point cloud that renders the captured scene with a degree of detail proportional to the  
245 resolution of the acquired images. Every single point in the 3D cloud comes from a stereo-  
246 matched pixel and will be endowed with three coordinates that identify its exact spatial  
247 position (Rovira-Mas et al., 2006).

248

249 Stereo analysis links geometrical positioning information relating to objects to their real-  
250 world coordinates, presenting this information in the form of a 3D map. Stereo vision  
251 systems have not only provided distance measurements with a reasonable degree of  
252 accuracy but also support the acquisition of 3D image data for Geographical Information  
253 System (GIS) data bases (Lin et al., 2008). With regard to the accuracy of the  
254 measurement, Kise and Zhang (2008) found that the root mean squared (RMS) error  
255 between crop heights based on 90 points estimated from 3D field crop structure maps  
256 obtained with their stereo vision system and manually measured ground truth data was 0.04  
257 m, with a maximum error of 0.09 m. This validation result proved that the 3D field  
258 mapping system developed in their research could provide centimetre-level crop plant  
259 height information with a high spatial resolution in the form of a panoramic field view. The  
260 possibility of rendering a 3D representation of a field scene provides an effective means of  
261 keeping track of the stages of development of vegetation, and also as a way of sensing  
262 those plant physical parameters that are important for production management, such as  
263 crop size and volume (Rovira-Mas et al., 2005). Stereovision systems can provide direct  
264 measurements of 3D vegetation structures and spectral information. In the case of  
265 agricultural systems, the additional dimension of the scene is critical for many agricultural

266 applications such as observations of crop growth conditions, estimation of physical  
267 parameters, and also livestock 3D shape extractions (Kise and Zhang, 2008). However,  
268 stereo vision systems offer less accuracy than laser-based systems and need appropriate  
269 calibration and recording procedures. In addition, they are less effective under certain  
270 weather conditions and require further improvements if they are to be applied to dense area  
271 canopies. Unfiltered mismatches result in pixels showing erroneous stereo information that  
272 provides meaningless location-based data (Rovira-Más et al., 2008). Furthermore,  
273 agricultural fields and orchards are generally well illuminated and have rich texture  
274 patterns, which typically results in disparities in images when there is extensive coverage.  
275 In spite of the robustness of stereo cameras to adapt to lighting conditions, poor  
276 illumination results in a lack of texture and, consequently, in a weak disparity image,  
277 which produces only a sparse 3D cloud. When selecting a stereo sensor, one must consider  
278 the type of illumination expected and then opt for either pre-calibrated or changeable  
279 optics cameras. The former typically imply fixed optics with no possibility of adjustment  
280 and control, while the latter require careful calibration every time a lens is removed or the  
281 baseline is modified.

282

283 Another intricate problem relates to the size of the resulting 3D cloud. When several  
284 images are processed together, the magnitude of the data files grows considerably,  
285 complicating the handling and storage of 3D information. The problem becomes more  
286 critical when real-time processing is required. In these cases, the solution is often to  
287 process one image at a time and to delete it after the information has been extracted; but  
288 even in these situations, the time needed for stereo calculations can be determinant  
289 (Rovira-Mas et al., 2006). Even so, these aspects are gradually being improved so stereo

290 vision is emerging as one of the preferred methods for the geometric characterization of  
291 tree crops.

292

## 293 **2.6 Ultrasonic Sensors**

294 Another type of system is based on the use of ultrasonic sensors (Fig. 4) to measure  
295 distances quickly and automatically. These sensors have three basic elements: an emitter of  
296 ultrasonic waves, a chronometer and a wave receiver. Their operation is based on  
297 determining the flight time of an ultrasonic wave from the point of emission to the point of  
298 detection after bouncing off an object.

299

300 The main advantages of ultrasonic sensors are their robustness and low price. Their main  
301 drawback is the large angle of divergence of ultrasonic waves. This limits the resolution  
302 and accuracy of the measurements taken and also requires the use of many units to cover a  
303 common agricultural scene (Rovira-Mas et al., 2005). Despite of this, ultrasound sensors  
304 are currently being used for the characterization of plant mass and give good results in  
305 certain scenarios. Several researchers used ultrasonic sensors to estimate the most relevant  
306 geometrical parameters of trees and tree crops i.e. height, width, volume and leaf area and  
307 compared them with manual measurements. They also investigated the effect of foliage  
308 density and tractor speed, developed software to create maps of volume in real time and  
309 investigated the influence of the space between rows of trees and their age on the volume  
310 of space that they occupied (Tumbo et al., 2002; Zaman and Salyani, 2004; Schumann and  
311 Zaman, 2005; Llorens et al., 2011).

312

## 313 **2.7. LIDAR Sensors**

314 Another detection principle, which is being used rapidly, is based on the LIDAR (Light  
315 Detection and Ranging) sensor technology, which allows 3D scanning of all types of  
316 objects. LIDAR laser technology, which is a non-destructive remote sensing technique for  
317 the measurement of distances, provides a relatively novel tool for generating a unique and  
318 comprehensive mathematical description of tree structure. The distance between the sensor  
319 and the target (e.g. a leaf or branch) can be measured by one of two methods: (i) measuring  
320 the time that a laser pulse takes to travel between the sensor and the target (*time-of-flight*  
321 *LIDAR*) or (ii) measuring the phase difference between the incident and reflected laser  
322 beams (*phase-shift measurement LIDAR*).

323

324 LIDAR sensors can be located on satellites and aircraft or carried by terrestrial means (Fig.  
325 4). The main advantages of these sensors are their high speed and accuracy of  
326 measurement. LIDAR sensors facilitate the description of the geometric structure of trees.  
327 Their ability to very quickly (thousands of points per second) measure the distance  
328 between the sensor and the objects around it allows us to obtain 3D cloud points (x, y, z)  
329 which, by applying appropriate algorithms, makes it possible to digitally reconstruct and  
330 describe the structure of trees with high precision (Pfeifer et al., 2004; Rosell et al., 2009a,  
331 b). For these reasons, in spite of their limitation for dusty environments, LIDAR systems  
332 have turned out to be one of the most used sensors for the geometric characterization of  
333 tree crops.

334

335 The capacity of LIDAR to quantify spatial variations, which is an important aspect of  
336 vegetation structure, is a significant advance over some previous methods. LIDAR systems  
337 can be used to quantify changes in canopy structure at various time scales. They can  
338 provide detailed assessments of canopy growth and allocation responses to field

339 experiments including fertilization, irrigation, soil warming and fumigation. Laser  
340 technology offers unique options in terms of the viewing angle and distance information  
341 needed to model canopy structure; hence, there is an emerging to thoroughly investigate  
342 LIDAR structural applications (Van der Zande et al., 2006).

343

344 Most of the work carried out to date has focused on forestry. However, 3D models may  
345 also be valuable for agricultural landscapes, with some applications being similar to those  
346 used in forest areas and others being specific to agricultural subjects. Due to their different  
347 characteristics, some techniques suitable for agricultural crops are difficult to apply to  
348 forest plantations. One basic difference relates to the accessibility to the zones of study for  
349 people and vehicles. Forest areas are often difficult to access for people and especially for  
350 vehicles. On the other hand, the transit of both people and machinery within agricultural  
351 plantations is guaranteed in most cases. This is highly relevant as it largely determines the  
352 kinds of instrumentation that can be used in each case. This explains the use of 3D LIDAR  
353 sensors in ground-based laser studies for forest applications. The main advantage of using  
354 these sensors is that they provide a 3D point cloud of the object being measured. However,  
355 the high cost of these instruments limits their use (Rosell et al., 2009a).

356

357 In agricultural applications, it is, however, possible to use two-dimensional (2D) terrestrial  
358 LIDAR sensors, which are much cheaper to use (Walklate et al., 2002; Palacín et al.,  
359 2007). 2D LIDAR sensors obtain a point cloud corresponding to a plane or section of the  
360 object of interest. The fact that these sensors only scan in one plane does not necessarily  
361 limit their scope to 2D perception (Rovira-Mas et al., 2006). Sensor position, when well-  
362 determined (for example, with a constant, known-speed, linear movement - that can be  
363 achieved easily in the case of agricultural plantations - or when using high precision GPS

364 georeferencing), allows the recording of measurement results corresponding to different  
365 planes or cross sections of an object, generating a 3D point cloud. Rosell et al. (2009a, b)  
366 proposed the use of a 2D LIDAR scanner in agriculture to obtain 3D structural  
367 characteristics of plants (Fig.5). Their results, obtained for fruit orchards, citrus orchards  
368 and vineyards, showed that this technique could provide fast, reliable, and non-destructive  
369 estimates of 3D crop structure. They concluded that LIDAR systems were able to measure  
370 the geometric characteristics of plants with sufficient precision for most agriculture  
371 applications. The system developed made it possible to obtain 3D digitalized images of  
372 crops from which a large amount of plant information -such as height, width, volume, leaf  
373 area index and leaf area density- could be obtained.

374

375 As regards the accuracy of the measurement, Palacín et al. (2007), who carried out real-  
376 time tree-foliage surface estimations using a ground laser scanner, concluded that the  
377 relationship between the external volume of the tree and its foliage surface could be  
378 considered linear with an average relative error of less than 6% in estimations for a  
379 complete grove, though trunks tended to cause instantaneous relative errors of up to 93%  
380 in the lower parts of trees. The same authors (Pallejà et al., 2010) analyzed the sensitivity  
381 of the tree volume estimates in the spatial trajectory of a LIDAR relative to different error  
382 sources. They demonstrated that the estimation of the volume is very sensitive to errors in  
383 the determination of the distance from the LIDAR to the centre of the trees (with errors up  
384 to 30% for an error of 50 mm) and in the determination of the angle of orientation of the  
385 LIDAR (with errors up to 30% for misalignments of 2%). They concluded that any  
386 experimental procedure for tree volume estimate based on a motorized terrestrial LIDAR  
387 scanner must include additional devices or procedures to control or estimate and correct  
388 these error sources. Wei and Salyani (2005) developed a laser scanner for measuring tree



389 canopy characteristics and concluded that laser density measurements offered a good  
390 degree of repeatability, with an average coefficient of variation (CV) of less than 3% for  
391 three replications.

392

### 393 **2.7.1. Flash LIDAR**

394 Recently, a new technological generation of 3D LIDAR systems, called Flash LIDAR, has  
395 emerged, which will probably replace some of the present systems. Flash LIDAR are  
396 cameras that are much like 2D digital cameras in both their appearance and means of  
397 operation. They have 3D focal plane arrays with rows and columns of pixels but with the  
398 additional capacity to provide 3D "depth" and intensity. Each pixel records the time that  
399 the laser flash pulse from the camera takes to travel to the scene and to bounce back to the  
400 focal plane (sensor). A short duration, large area light source (the pulsed laser) illuminates  
401 objects in front of the focal plane as the laser photons are "back scattered" towards the  
402 camera receiver by the objects in front of the camera lens. This photonic energy is  
403 collected by an array of smart pixels, in which each pixel samples the incoming photon  
404 stream and "images" depth (3D) and location (2D), as well as reflective intensity. Each  
405 pixel has independent triggers and counters that record the flight time of the laser light  
406 pulse as it travels from the camera to the object(s). The physical range of the objects in  
407 front of the camera is calculated and a 3D point cloud frame is generated at video rates,  
408 this is currently possible at up to 60 frames/second (Advanced Scientific Concepts Inc.,  
409 2010). Compared with conventional 3D LIDAR systems, the main advantages of 3D Flash  
410 LIDAR systems are: faster measurement speed, smaller size and a much lower price, while  
411 maintaining good precision (to about a few mm).

412

### 413 **2.8 Summary**

414 In this section, many different sensing technologies and systems for the geometric  
415 characterization of tree crops have been reviewed. Based on the results and  
416 recommendations from these studies as well as the authors' own experience, Table 1  
417 summarizes the operating principles and the main strengths and limitations of the exposed  
418 sensors and methods for the measurement of the geometrical properties of plants and crops.

419

### 420 **3. Applications for Pest and Disease Control**

421 Despite of the recent advances in the employment of different methods for defending crops  
422 against pests and diseases, the use of plant protection products (PPP) continues to be an  
423 essential strategy for addressing the qualitative and quantitative demands of the food  
424 market. In recent years, growing environmental awareness, together with social concern to  
425 preserve the health of people and animals, has led to important legislative measures to  
426 minimize risks associated with the use of PPP. For instance, consideration 11 of Directive  
427 2009/128/EC, of the European Parliament and of the Council of 21 October 2009,  
428 established a framework for Community action to achieve the sustainable use of pesticides.  
429 It states that "research programmes aimed at determining the impacts of pesticide use on  
430 human health and the environment, including studies on high-risk groups, should be  
431 promoted at European and national level".

432

433 Adjusting the PPP dose to the structural and morphological characteristics of the  
434 vegetation is recognized at European level as an essential goal in the path towards reducing  
435 risks associated with the application of pesticides. The spraying equipment that is currently  
436 most used in fruit growing is hydraulic and air-assisted. This offers greater product  
437 penetration into the vegetation and produces a uniform deposition within tree canopies.  
438 The use of new technologies allows us to detect the structural characteristics of vegetation

439 and thereby to select and apply more appropriate broth volumes. These techniques can also  
440 be used to achieve an acceptable control of air speed and flow and the most appropriate  
441 orientation of the air outputs, thereby reducing the risks associated with the use of PPP.  
442 Their application can also help to reduce the amount of product that reaches, and pollutes,  
443 ground, air and/or surface water. The development of automatic equipment capable of  
444 making a variable rate application, according to the characteristics of the vegetation, has  
445 proved a good solution for saving PPP and reducing the risk of environmental  
446 contamination. This requires the use of sensors capable of quickly, accurately and reliably  
447 identifying these characteristics, such as ultrasonic sensors (Giles et al., 1988; Escolà et al.,  
448 2001; Moltó et al., 2001; Solanelles et al., 2006; Llorens et al., 2010) or detection systems  
449 based on LIDAR sensors (Walklate et al., 1997, 2002; Sanz et al., 2004; Rosell et al.,  
450 2009a, b; Sanz et al 2011a, b).

451

### 452 **3.1 Application doses and geometric characterization of tree crops**

453 The choice of the most appropriate application doses of PPP is a fundamental  
454 consideration in modern agriculture. The value afforded to the environment today is not the  
455 same as it was several years ago. Choosing the dose to apply in each treatment is a difficult  
456 task because it is necessary to consider opposing interests. On the one hand, the dose must  
457 be sufficient to control the pest in all parts of the plant and on the other it should be as  
458 small as possible so as to cause little or no environmental impact. The geometric  
459 characterization of trees provides fundamental data that can be used to minimize the  
460 environmental impact of the application of pesticides.

461

462 The most common expression of the application dose that appears on the labels of existing  
463 products involves the amount of product applied per unit of ground area occupied by the

464 crop ( $l \cdot ha^{-1}$ ). This method is appropriate in the case of boom sprayers for the treatment of  
465 low-growing crops, where the target is uniform, parallel to the ground and located just  
466 below the boom. In contrast, the application of plant protection products to tree crops is  
467 made at the treetop level with the assistance of air. Under these conditions, the deposition  
468 of the product on trees, following the recommended dose given on the product label  
469 (RDPL), will vary according to tree size. To alleviate this problem and ensure the  
470 effectiveness of the product, manufacturers tend to increase the margin of error in the  
471 RDPL (Russell, 2004).

472

473 Different mathematical models are used to express the application doses of PPP to be  
474 applied to tree crops (Table 2). These models require different sets of information to  
475 calculate the number of litres per hectare required to complete the application. The  
476 information that each model requires has a direct effect on its ease of use and accuracy of  
477 application. The most common way of expressing the dose is the expression [1] in Table 2.

478 The volume applied per unit area ( $l \cdot ha^{-1}$ ) is a function of the flow from the nozzle ( $l \cdot$   
479  $min^{-1}$ ), the speed ( $km \cdot h^{-1}$ ) and the working width (m).

480

481 If the width of distribution is taken as the distance between rows, the volume of application  
482 is set exclusively in accordance with the area of the field, without taking into account the  
483 size of the vegetation. However, adopting this dosing system may lead to problems of  
484 overdosing in fields of low-growing vegetation. This increases problems of waste and  
485 product misuse or, conversely, problems of under dosing associated with greater vegetative  
486 development and inadequate infestation controls. This practice is not consistent with what  
487 is known as crop adapted spraying (Felber, 1997), which consists of maintaining constant  
488 product quantity per unit area of vegetation ( $mg \cdot cm^{-2}$ ).

489

490 Knowledge of the geometrical and structural parameters of tree rows allows this model to  
491 be adjusted to reduce variations in deposition on different tree crops. Along these rows,  
492 Morgan (1964) recognized the need to adjust the dose according to the height of the trees  
493 in question. Koch (1993) adjusted RDPL according to wall surface vegetation, changing  
494 the horizontal target of the soil for the vertical target of the vegetation (Pergher and Petris,  
495 2008). Byers et al. (1971) were the first to use TRV (Tree Row Volume) as a parameter for  
496 adjusting the rate of application. Walklate et al. (2002) determined an imaginary  
497 distribution width,  $a$ , as a function of the geometric and structural parameters obtained  
498 with a LIDAR measurement system, such as TAD (Tree Area Density), TAI (Tree Area  
499 Index), or LIF (Light Interception Flux model).

500

501 Another much more accurate model, but which requires information that is difficult to  
502 estimate, is the optimal coating model, expression [2] in Table 2, which is based on  
503 obtaining a level of coating (impact per unit area) that is suitable for the requirements of  
504 the product to be applied and the pest. The combination of the density of impacts (droplets  
505  $\bullet \text{ cm}^{-2}$ ) with the droplet volume (assuming that it adopts a spherical shape), along with a  
506 knowledge of the leaf surface to be treated, allows us to determine the theoretically optimal  
507 dose for spraying (Gil, 2005). The value obtained from the expression [2] in Table 2  
508 corresponds to the amount that, theoretically speaking, would need to be distributed in  
509 order to guarantee an application efficiency of 100%. This situation, which involves a total  
510 absence of losses, is evidently impossible to achieve, so the model requires the  
511 introduction of a correction factor that would allow the amount of product lost to be  
512 quantified during the process of pesticide application. The application of this model  
513 requires a geometric characterization in order to estimate the LAI. This model, based on

514 the expression [2], has been implemented in DOSAFRUT (2011). DOSAFRUT is a tool  
515 for determining the appropriate application rate (l/ha) for the specific conditions under  
516 which the treatment will take place (characteristics of the orchard, meteorology and spray).  
517 Currently, this tool is appropriate for all spray treatments applied in intensive apple and  
518 pear orchards at any vegetative stage except leaf fall and during the winter break.  
519 DOSAFRUT is most useful in implementing national action plans under Directive  
520 2009/128/EC (COM, 2009).

521

### 522 **3.2 Measurement of plant material**

523 Thanks to recently developed technology, precision agriculture is currently helping to  
524 extend the methods currently being used in relation to pesticide treatments. This raises the  
525 potential for developing more precise PPP applications that comply with the environmental  
526 guidelines set out by the European Union (COM, 2009) and a number of other countries.

527 In this section we refer to various studies being conducted with ultrasonic sensors and  
528 LIDAR sensors, as they seem to be the most promising with respect to target-sensing  
529 pesticide application.

530

531 The performance of a prototype electronic sprayer was first tested by Giles et al. (1988).  
532 The system was based on ultrasonic range transducers mounted on an orchard air-blast  
533 sprayer. Subsequent applications focused on interrupting the spray output when there was  
534 no vegetation (Gil et al., 2007). In the field of variable application of pesticides in citrus  
535 orchards using ultrasounds, Moltó et al. (2001) designed a prototype machine that, applied  
536 one of two different doses according to the shape of the trees concerned: a higher doses at  
537 the centre of the tree, and lower doses to its outer parts. In this case, ultrasonic sensors  
538 determined the locations of these two zones (centre and exterior).

539

540 Based on initial work by Rosell et al. (1996) and Escolà et al. (2001) Solanelles et al.  
541 (2006) developed a prototype for an electronic control system based on ultrasonic sensors  
542 and proportional solenoid valves. This system allowed the authors to constantly vary the  
543 pesticide doses applied to the tree in accordance with the size of the vegetation. The aim of  
544 this prototype was to precisely apply the required amount of spray liquid and to avoid over  
545 dosing. In recent trials with vineyards Llorens et al. (2010) achieved a mean saving of 58%  
546 in the volume applied with the variable rate method and achieved good leaf deposits. The  
547 main disadvantages of ultrasonic sensors are their low resolution and accuracy; this implies  
548 that many units are required to cover a common agricultural scene.

549

550 The angle of divergence of LIDAR sensors is much smaller than that of ultrasonic sensors.  
551 The higher resulting resolution means more measuring points which, in turn, provides a  
552 more accurate representation of the vegetation. It also implies a greater ability to penetrate  
553 vegetation. Measuring trees with LIDAR and ultrasonic sensors must take into account the  
554 impossibility of measuring distances to elements that are hidden behind others.  
555 In order to optimize PPP treatments, Walklate (1989) and Walklate et al. (1997) began a  
556 mathematical development to determine the structural parameters of tree crops based on  
557 data supplied by a LIDAR measurement system. Walklate et al. (2002) subsequently  
558 completed this mathematical development, enabling it to estimate the TAI and TAD,  
559 among other parameters. This whole mathematical development is based on measuring  
560 distances from one side of the row of trees using the LIDAR system.  
561 Using LIDAR to undertake the geometrical characterization of apple trees in the United  
562 Kingdom, Walklate et al. (2002) compared different volumetric models of leaf deposition  
563 ( $l \cdot m^{-2}$ ) for pesticide treatments. This paper demonstrates the importance of the geometric

564 characterization of fruit trees for the application of PPP. The comparisons have been  
565 limited to models in which the deposition on the leaves can be expressed as [1] in Table 2.

566

567 Depositions on leaves (Dose ( $l \cdot m^{-2}$ )) are a function of three variables: the flow rate  
568 delivered through the nozzles ( $Q$  ( $l \cdot min^{-1}$ )), the speed of the tractor ( $v$  ( $km \cdot h^{-1}$ )), and a  
569 length value ( $a$  (m)), or length-scale (according to the author), which is a function of the  
570 structural parameters of the tree crop. The different functions for the calculation of a use  
571 different structural parameters or combinations thereof (distance between rows, vegetation  
572 height, cross-sectional area, surface density of the tree, etc.). Using different ways to obtain  
573  $a$  imply using different models to determine the deposition.

574

575 Comparisons between different models were evaluated by measuring the deposition of  
576 product on the leaves of apple trees. The equipment used was a hydropneumatic sprayer  
577 (Model TC 1082 by Hardi International A/S) with 8 conical nozzles and an axial fan. Ten  
578 trials were conducted over a three-year period (1997-1999) in plantations with small trees  
579 and medium and large plantation patterns. They were conducted with different rootstocks,  
580 at different planting densities, different ages, and at different vegetative stages. Linear  
581 regression analysis between the deposition of the product and the calculation functions of  $a$   
582 led to the results shown in Table 3.

583

584 For the determination of  $a$  using a model which only depends on the width between the  
585 rows, the variation in deposition was explained by 9% of the variation in the measurements  
586 ( $R^2 = 0.089$ ). This is a very low value and one that confirms what was otherwise quite easy  
587 to predict: it is necessary to take into account the geometric characterization of the trees.  
588 For the model based on the assimilation of the crop to a vertical plane wall (Koch, 1993;



589 Pergher and Petris, 2008), the determination of  $a$  depends on the height of the plant wall  
590 that is to be treated. For the model based on the assimilation of the crop to a wall of  
591 cylindrical surface, the determination of  $a$  depends on the square root of the cross-sectional  
592 area. For the model using the TRV the determination of  $a$  depends on the surface of the  
593 cross section and the distance between rows.

594

595 Other models based on estimations of the surfaces of leaves, branches and fruits, using a  
596 model of light transmission that follows a local poisson distribution gave better results  
597 (TAI, TAD and LIF). For the model that uses the TAI, defined as the entire surface of the  
598 tree projected in the direction of the laser beam divided by the total area of soil, the  
599 determination of  $a$  depends on the estimation of TAI from LIDAR data. For the model  
600 using the TAD, defined as the entire surface of the tree projected in the direction of the  
601 laser beam divided by the volume occupied, the determination of  $a$  depends on the  
602 estimation of TAI, the distance between rows and the cross-sectional area. For the model  
603 using the LIF, which is an optical analogy for the deposition of droplets on the crop, the  
604 determination of  $a$  depends on the estimation of LIF from LIDAR data. The paper  
605 concludes that TAD is the best parameter for determining the application doses for  
606 pesticide treatments on apple trees.

607

608 In the case of TAD, the following three points need to be considered: (i) the TAD is the  
609 result of a mathematical function which uses information obtained by LIDAR that has not  
610 been checked against actual measurements of vegetation (leaf, branch, and fruit surfaces).  
611 (ii) The TAD is derived from LIDAR data of only one side of the row of apple trees. There  
612 are already studies of geometric characterization of tree crops that use LIDAR information  
613 from both sides (Sanz et al., 2011b). (iii) The TAD is a mathematical function whose

614 calculation requires a value for the volume occupied by the plants. This volume is not an  
615 objective parameter and therefore its value can vary considerably according to its  
616 definition. For example, in the case of an isolated tree, the volume obtained from a simple  
617 ellipsoidal model is much greater than that obtained by the immersion of the same tree in a  
618 water tank. However, the results of this study showed the importance of the density of the  
619 different elements that constitute a tree in determining application doses for PPP.

620

621 Continuing with the previous work and looking for easy solutions for the determination of  
622 pesticide doses for tree crops without the use of LIDAR sensors, Walklate et al. (2003)  
623 present a system to allow farmers to determine application doses for any vegetative stage  
624 of the tree. The first version was designed for apple plantations in the United Kingdom.  
625 The system is based on a set of pictograms, obtained with a LIDAR from various  
626 plantations. Each pictogram shows a homogeneous group of apple trees (5-10 trees) with  
627 various different amounts of foliage. Each pictogram corresponds to a specific adjustment  
628 factor, CAF (Crop Adjustment Factor), which depends on the TAD calculated using  
629 LIDAR data (Walklate et al., 2002). The maximum value (1) of CAF is for orchards in full  
630 vegetative development, with maximum foliage and the maximum TAD. In plantations  
631 with the same separation between rows the pre-flowering stages typically have CAF values  
632 of between  $\frac{1}{4}$  to  $\frac{1}{2}$ . In stages after flowering with leaves, values range from  $\frac{1}{2}$  to 1. With  
633 this system, the farmer has to derive the CAF factor from the pictogram that most closely  
634 resembles the situation corresponding to their apple plantation. The product of the  
635 reference dose (the dose used with extreme leafiness) with the value of CAF obtained from  
636 the pictograms gives the dose to be applied to a specific plantation at the present stage.  
637 Walklate et al. (2006) state that it is necessary for companies trading in PPP to clearly  
638 inform about the reference crop and the reference conditions in which the RDPL is

639 effective. Standardizing these conditions would prove very useful for making dose  
640 adjustments.

641

642 The system of pictograms is a major advance but it is not generic enough for the large  
643 number of different situations that can occur in orchards (different species and varieties,  
644 crop training systems and vegetative stages), so further work is required to find an equally  
645 simple but more generic system.

646

### 647 **3.3 Variable application**

648 Despite the use of management and training systems that seek to establish an area or  
649 volume of vegetation which is as uniform as possible, the structure of modern fruit and  
650 citrus orchards and vineyards, etc. is often characterized by high degrees of heterogeneity.  
651 This, together with the presence of gaps (areas free from vegetation) of varying  
652 proportions, which depend on vegetative stage, greatly affects the quality and efficiency of  
653 PPP applications.

654

655 Areas free from vegetation offer the most favourable paths along which the products  
656 applied can escape, with consequent increases in losses due to drift (Doruchowski and  
657 Holownicki, 2000). In some cases, the percentage of product that does not reach its target  
658 may be as high as 80% of the total product applied (Holownicki et al., 2000). This,  
659 together with the high cost of pesticide applications in relation to overall production costs  
660 (between 30 % and 42% of production costs for olives and citrus in Spain, according to  
661 Moltó et al. 2001), has encouraged the development of systems to improve the efficiency  
662 of applications. The introduction of electronic systems in the development of new

663 equipment has made it possible to reduce operational and environmental costs through an  
664 increase in quality (Llorens et al., 2010).

665

666 By using plant detection systems, variable dose application techniques (Table 4)  
667 continuously adjust the applied flow rate to the characteristics of specific crop areas. In the  
668 case of spraying with tunnel systems, product savings are the result of substantial product  
669 recovery (Planas et al., 2002). Variable applications may lead to significant savings by  
670 limiting the total quantity of product applied. It is necessary to improve our knowledge and  
671 use of systems capable of characterizing vegetation (depth, height, leaf area density, etc.)  
672 in order to adapt and modify application doses in line with detected changes and in real  
673 time (Gil, 2005). The objective pursued, whether using map-based systems, sensor systems  
674 working in real time, or both in conjunction, is to optimize the application of PPP in the  
675 area of vegetation being treated. This optimization must be both qualitative and  
676 quantitative and consists of continually adjusting the doses and the parameters that  
677 determine the quality of deposition, which include such factors as drop size and air flow  
678 (Escolà et al., 2001; Rosell et al., 2004; Gil et al., 2007).

679

680 In recent years, different research groups have developed prototypes based on the variable  
681 application principle. Applying a crop adapted variable application system with ultrasonic  
682 sensors and proportional solenoid valves, Solanelles et al. (2006) reported liquid savings of  
683 70%, 28% and 39% in comparison to conventional applications in olive, pear and apple  
684 orchard respectively. Gil et al. (2007) and Llorens et al. (2010) with similar systems  
685 adapted to vineyards achieved average savings of 58% compared to the conventional  
686 constant rate application systems, with similar or even better PPP depositions on leaves.  
687 Escolà et al. (2007) boarded a LIDAR based electronic characterization system in a sprayer

688 prototype in order to adjust the dose rate in a continuous variable rate real-time mode (Fig.  
689 6). Compared with conventional systems, the tests of the prototype, performed in *Pyrus*  
690 *communis* L. Cv. 'Conference' orchards, resulted in PPP volume savings of 44,33%.  
691 Doruchowsky et al. (2009) developed a spray application system for sustainable plant  
692 protection in fruit growing that can automatically adapt spray and air distribution according  
693 to the characteristics of the target, to the level of crop disease and to the environmental  
694 conditions. Their Crop Adapted Spray Application (CASA) system consists of three sub-  
695 systems: (i) Crop Health Sensor (CHS), based on a spectral sensor that analyses light  
696 reflected from leaves in the bandwidth 400- 1600 nm, (ii) Crop Identification System  
697 (CIS), based on a new ultrasonic sensor that delivers real time data on target characteristics  
698 such as tree canopy width and density, and (iii) Environmentally Dependent Application  
699 System (EDAS), which identifies the environmental circumstances i.e. wind  
700 velocity/direction, orchard boundary, and sensitive areas such as surface water, sensitive  
701 crops, public areas, etc, and adjusts application parameters according to the wind situation  
702 and sprayer position in relation to sensitive areas. Nozzles can be altered to adjust droplet  
703 size. These authors, as well as Pai et al. (2009) have designed different systems for the  
704 adjustment of orchard sprayer air output in order to optimize the spray distribution and  
705 minimize spray losses.

706

#### 707 **4. Irrigation Application**

708 Water is a critical resource in agriculture and the need for irrigation at each point in the  
709 production cycle is essential for plant health and optimum productivity. A lack or excess of  
710 water causes problems. If there is insufficient water, water stress occurs, which affects  
711 productivity. On the other hand, an excess of water results in disease, nutritional disorders  
712 and/or root suffocation, etc.

713

714 Calculations of irrigation needs must distinguish between two different scenarios: design  
715 and management. In the case of design, seasonal series should be studied to identify  
716 periods of peak demand in terms of probabilities of occurring. In the case of management,  
717 interest focuses on the need for water in real time (Vellidis et al., 2008).  
718 In 1950, it was estimated that fewer than 100 million hectares of cropland were irrigated  
719 throughout the world. This area is now about 260 million hectares. This is equivalent to  
720 less than 17% of the total area of the Earth's land surface, but 40% of the area dedicated to  
721 food and fibre production (Fereres and Evans, 2006).

722

723 Irrigation is the largest consumer of fresh water on earth. Irrigation consumes an estimated  
724 20% of total available freshwater and two thirds of the total volume intended for human  
725 use. In general, the increasing demand for water from all sectors (agricultural, municipal,  
726 industrial and recreational uses, etc.) means that significant improvement are required in  
727 the management of irrigation water in order to optimize the use of this limited resource that  
728 is essential for life. One proposed improvement implies changing the emphasis from  
729 maximizing production per unit area to maximizing production per unit of water consumed  
730 (Fereres and Evans, 2006).

731

732 Applying all the water that a crop requires is not always the best strategy for irrigation. The  
733 practice of subjecting the crop to controlled water stress at certain points in the production  
734 cycle has been shown to not only considerably reduce water consumption without losses in  
735 overall productivity but even, in some cases, to help increase fruit quality (Mpelasoka et  
736 al., 2001; Goldhamer et al., 2006; Leib et al., 2006). This agricultural practice has  
737 significant advantages, but requires extremely accurate risk scheduling, which in turn

738 requires a thorough understanding of crop performance in real time both in terms of  
739 geometrical characterization and physiological behaviour.

740

741 The best way to know the water needs of a crop is to measure the water balance using  
742 lysimeters (Scott et al., 2005). These instruments monitor changes in weight produced by  
743 evaporation and transpiration in a cultivated area. The main drawback of this technique,  
744 which is considered the most accurate approach, is the cost of manufacturing, installing  
745 and maintaining the equipment required. For this reason, the use of lysimeters tends to be  
746 limited in practice to research and to helping to calibrate other cheaper methods of  
747 estimating evapotranspiration.

748

749 Studies of irrigation in tree crops are limited by the absence of proper tools for the  
750 geometric characterization of vegetation. Given this gap, researchers use variables that in  
751 some way represent, or are a result of, the size and structure of the vegetation in question.  
752 These variables include the overall size of the treetops, the surface section of the trunk and  
753 branches of shaded areas, trunk sap flow, and leaf area, etc. Differences in the size and  
754 shape of treetops relate to differences in transpiration (Cohen et al., 1987). A precise  
755 geometrical characterization of crops at any point during the production cycle may help to  
756 establish precise estimations of crop water needs.

757

#### 758 **4.1 FAO Penman-Monteith Method**

759 Before referring to studies that relate the geometrical characteristics of vegetation to  
760 irrigation requirements, we should briefly examine the method traditionally used to  
761 determine the water needs of crops: the Penman-Monteith method. This method is a  
762 standard reference in studies on irrigation. It is based on the determination of reference

763 evapotranspiration ( $ET_o$ ) and crop evapotranspiration ( $ET_c$ ) from meteorological data and  
764 crop coefficients. The first publication on the calculation of  $ET_c$  using the Penman method  
765 was that of Doorenbos and Pruitt (1977) in FAO Irrigation and Drainage N°. 24, and was  
766 entitled "The needs of water on crops". A review of this method began in 1990 and in 1998  
767 "Crop Evapotranspiration: Guidelines for the determination of the water requirements of  
768 crops" was published in FAO Irrigation and Drainage N°56. In this paper new procedures  
769 for calculating evapotranspiration using the Penman-Monteith method were presented  
770 (Allen et al., 1998). The procedures set out in this guide can be used to determine the water  
771 requirements of crops, both with and without irrigation, for both natural and agricultural  
772 vegetation.

773

774 The  $ET_o$  is the rate of evapotranspiration from a reference surface. The  $ET_c$  is defined as  
775 the evapotranspiration of any crop when it is free from disease, well fertilized, cultivated in  
776 large fields under optimum soil and water conditions, and reaches maximum production  
777 according to the prevailing climatic conditions. The ratio  $ET_c / ET_o$  can be experimentally  
778 determined and is known as the crop coefficient ( $K_c$ ), so  $ET_c = K_c \cdot ET_o$ . As a result of  
779 differences in the geometric structure of plants, leaf anatomy, stomata characteristics,  
780 aerodynamic properties, albedo, and cultivation practices, etc, crop evapotranspiration  
781 differs from reference evapotranspiration under the same conditions

782

#### 783 **4.2 Studies that relate irrigation with the geometric characterization of tree crops and** 784 **vines**

785 Several research studies relate the calculation of water needs for irrigation with aspects of  
786 the geometrical characterization of trees and vines. In the past, efforts to determine water  
787 needs were mainly focused on arable crops and, to a lesser extent, on tree crops and



788 vineyards. The publication of Doorenbos and Pruitt (1977), in FAO-24, was very relevant  
789 because it enabled a high degree of accuracy in the quantification of crop water  
790 requirements, while at the same time it was easy to use and explain to farmers. However,  
791 the information specifically relating to tree crops was based on relatively few scientific  
792 studies. Although the review by Allen et al. (1998), published in FAO-56, contributed  
793 some general improvements to the methodology, but did not foster any significant  
794 improvements in the determination of Kc in tree crops.

795

796 There are important differences between the Kc of arable and tree crops. In the first case,  
797 the Kc varies seasonally and variance is determined by phenological stage, easily  
798 observable, or simply relates to the initial, maximum and final values. The Kc of deciduous  
799 tree crops also varies seasonally, but it is affected by other factors such as the treetop  
800 structure, density of trees, pruning, thinning, irrigation method, wetted surface during  
801 irrigation, area covered by trees, and management of the soil surface, etc. In the case of  
802 fully-grown evergreen trees, such as olives and citrus, it is generally necessary to bear in  
803 mind the fact that, in addition to the above factors, trees are active throughout the year and  
804 therefore the duration of the irrigation campaign is longer (Orgaz et al., 2006).

805

806 Based on results from four experiments with four irrigated crops (apples, olive trees,  
807 vineyards and walnut trees), Pereira et al. (2006) demonstrated compliance with the  
808 following equation:  $S = ET_o \cdot A_L / 2.88$  [3], where: S: flow of sap per day and plant ( $l \cdot d^{-1}$   
809  $plant^{-1}$ );  $A_L$ : leaf area of the plant ( $m^2 \cdot plant^{-1}$ ). The sap flow (S) was measured using the  
810 compensation heat-pulse technique in order to determine the daily scale.  
811 These experiments seemed to confirm that under appropriate irrigation conditions,  
812 transpiration per unit leaf area was very similar, despite the different sizes and structures of

813 the tree canopies. Thus, when calculating the water requirements of these fruit crops, the  
814 crop coefficient ( $K_c$ ) can be omitted, although the leaf area ( $A_L$ ) must be known.  
815  
816 With the help of a lysimeter, in a study conducted over four seasons (1990-1993), Williams  
817 et al. (2003) conducted a study that identified the  $K_c$  of vines of the Thompson Seedless  
818 variety. Over the four seasons, the leaf area of the tested vineyards was also measured. It  
819 was observed that differences in water requirements in different years were related to  
820 differences in the vegetative growth of vines. One of the conclusions from the study was  
821 that  $K_c$  experienced a parallel evolution to leaf area. During the four seasons of testing,  $K_c$   
822 was linearly related with leaf surface. Continuing the work of their previous study,  
823 Williams and Ayars (2005) conducted further research in which, using a lysimeter, they  
824 determined the crop coefficient ( $K_c$ ) and water needs of a variety of vineyards (Thompson  
825 seedless) in the San Joaquin Valley (California) for 1998 and 1999 seasons. During the  
826 vegetative development over the two campaigns, the leaf surfaces of two vineyards were  
827 measured, the corresponding leaf area index (LAI) were calculated, and the shadows  
828 generated on the soil at solar noon were measured. The study concludes that, in the vines  
829 of the Thompson seedless variety, the surface area of the shadow beneath the vines and the  
830 leaf area exhibited a high degree of correlation with  $K_c$ ,  $R^2=0.95$  and  $R^2=0.87$  respectively.  
831 It should also be pointed out in the conclusion that the linear relationship between the  
832 percentage of the shaded area and the crop coefficient ( $K_c$ ) was very similar to those  
833 reported in other studies involving other crops. This could perhaps suggest a universal rule,  
834 but this must be confirmed by further studies. Ayars et al. (2003), in a 4 year long study,  
835 analysed the crop coefficient ( $K_c$ ) of a late variety of peach (*Prunus persica* (L.) Batsch,  
836 cultivar O'Henry) using a lysimeter as their main tool. They also measured the interception

837 of sunlight at solar noon using a ceptometer. One of the results obtained was a good  
838 correlation between the  $K_c$  and the interception of light at solar noon ( $R^2=0.86$ ).

839

840 The ability to simulate the interception of light at the level of the individual tree or in  
841 aggregated tree plantations could be a useful tool for creating optimal agronomic designs  
842 to achieve high production, high quality fruits and minimum production costs. Along these  
843 rows, Green et al. (2003) validated a 3D model of radiation interception and  
844 evapotranspiration for two varieties of apple tree and reached the following conclusions: (i)  
845 transpiration is primarily influenced by leaf surface and stomatal resistance, (ii)  
846 interception of light is primarily influenced by leaf surface and by the optical properties of  
847 leaves, and (iii) when comparing the two varieties of apple tree, the shortest was the most  
848 compact and efficient for intercepting solar radiation and therefore needed higher doses of  
849 water per hectare to sustain productivity.

850

851 Goodwin et al. (2006) conducted a short 15-day experiment to observe the effect of water  
852 consumption (TWU, Tree Water Use) on progressively pruning the branches of an isolated  
853 peach tree (*Prunus persica* L. Batsch). TWU was measured at 15 minute intervals using 8  
854 sap flow measuring sensors and applying the compensation heat-pulse technique. Pruning  
855 was carried out on 5 different days. About a fifth of the total leaf surface was removed in  
856 each pruning session. The total leaf surface corresponding to all the branches cut off was  
857 measured after each cut. The effective shaded area (EAS, Effective Area of Shade) was  
858 derived from digital photographs, image analysis software (ArcView GIS, ESRI,  
859 California, USA) and the fraction of PAR (photosynthetically active solar radiation)  
860 intercepted by a ceptometer in the shaded area. The coefficient of transpiration ( $K_{cb}$ ) was  
861 calculated from the relationship between TWU and  $ET_o$ . The main conclusion was that  $K_{cb}$

862 = 1.05 *EAS*. The transpiration of an isolated peach tree could therefore be calculated from  
863 the  $ET_0$  and the effective fraction of shade at the soil surface (*EAS*). The authors also noted  
864 that as pruning significantly changes the relationship between the root and leaf systems, it  
865 can modify the pattern of water consumption on unpruned branches.

866

867 In line with a study of water needs in olive plantations, Testi et al. (2006) presented and  
868 validated a model for simulating the daily evapotranspiration on olive plantations. This  
869 model separately calculates transpiration from trees, soil evaporation, and the evaporation  
870 of water intercepted by vegetation after rainfall. The calculation of transpiration makes use  
871 of weather variables and three additional variables that refer to the structure of the trees  
872 and their planting densities. These variables are: volume of trees per unit area,  $v$  ( $m^3 \cdot m^{-2}$ ),  
873 leaf density,  $L_d$ : ( $m^2 \cdot m^{-3}$ ) and tree density (trees  $\cdot ha^{-1}$ ). Leaf density varies according to  
874 tree size (Villalobos et al., 1995; Mariscal et al., 2000) and is estimated from  $v$  as follows:

$$875 \quad L_d = 2 \quad [v < 0.5] \qquad L_d = 2 - \frac{0.8 \cdot (v - 0.5)}{1.5} \quad [v > 0.5] \qquad [4]$$

876 The volume occupied by the tree corresponds to the volume of the elliptically shaped  
877 envelope surrounding the tree. The findings of this study, based on olive trees, were: (i) the  
878 model effectively estimated evapotranspiration, (ii) this model constitutes an improvement  
879 over previous models as it separates the calculations of evaporation and transpiration and  
880 (iii) the model is an interesting tool for the simulation of water needs and for assessing the  
881 impact of such variables as location, structure and tree density.

882

883 Orgaz et al. (2006) continued previous work and sought an easy and practical way to  
884 calculate the water needs of trees in plantations. They presented a methodology based on  
885 the daily evapotranspiration simulation model of Testi et al. (2006) combined with the  
886 performance of monthly averages for climatological data taken over a period of 20 years

887 and applied in different simulated scenarios. Their methodology proposed using a set of  
888 equations and empirical parameters to obtain the monthly crop coefficient ( $K_c$ ) for olive  
889 plantations located in areas with climates similar to the Mediterranean climate of southern  
890 Spain (Andalusia). The ultimate goal of this study was to provide optimised irrigation  
891 scheduling. Calculation of monthly  $K_c$  is designed to be implemented with the minimum  
892 amount of easily obtainable data. With regard to data relating to crop characteristics, the  
893 variables used are: average volume of treetop per unit area ( $m^3 \cdot m^{-2}$ ), tree density (trees  $\cdot$   
894  $ha^{-1}$ ) and the fraction of soil cover, equivalent to the ground level projection of the trees.

895

896 In one way or the other, the previously mentioned studies highlight the importance of  
897 quantifying the plant size i.e. leaf surface (Pereira et. al, 2006; Williams et. al, 2003;  
898 Williams and Ayars, 2005; Green et. al, 2003; Goodwin et. al, 2006), shaded area  
899 (Williams and Ayars, 2005; Green et. al, 2003; Goodwin et. al, 2006; Orgaz et. al, 2006),  
900 interception of sunlight (Ayars et. al, 2003; Goodwin et. al, 2006), volume (Testi et. al,  
901 2006; Orgaz et. al, 2006) and leaf density (Testi et. al, 2006;). In the case of estimating leaf  
902 area, the main problem encountered is the lack of quick, easy, cheap and non-destructive  
903 methods to make an accurate estimate of the variable in question.

904

905 A number of leaves clustered like a deck of cards transpire much less than the same  
906 number of leaves separated by a distance of 1m. However, in nature, we find neither the  
907 first nor the second case. The first case cannot create leaves that photosynthesize because  
908 light does not reach them. In the second case, all the leaves photosynthesize, but there is a  
909 high cost in branches that is not compensated by all the leaves conducting photosynthesis.  
910 Trees, and plants in general, try to optimize the production of leaves by distributing them

911 so as to capture the maximum amount of light possible and obtain the maximum  
912 photosynthetic performance at the minimal cost.

913

#### 914 **5. Application to Fertilization**

915 Adding a deficitary plant nutrient generally leads to an increase crop yield which offsets  
916 the cost of adding extra fertilizer. However, above certain concentrations, the increase in  
917 crop yield associated with additional extra nutrients declines. In fact, above a critical  
918 concentration, the costs associated with adding extra fertilizer are not offset by  
919 improvements in crop yield.

920

921 Programming the fertilization of a crop involves deciding which products should be  
922 applied, how to apply them, and in what quantities and at what times. All these decisions  
923 are intended to carry nutrients to the different parts of the plant in order to ensure the  
924 appropriate development of both the plant and the final harvest while at the same time  
925 minimizing the environmental impact of fertilization (Coates et al., 2006; Alva et al.,  
926 2008). The number and complexity of the processes involved in the transportation of  
927 nutrients make organizing an appropriate fertilization programme a rather difficult task.

928 Poor scheduling of fertilization leads to deficiency or to over-fertilization (Legaz and  
929 Primo, 1988; Navarro, 2003; Monge et al., 2007; Raese et al., 2007; Bravdo, 2009;  
930 Fernández-Escobar et al., 2009a). Poor plant nutrition produces a reduction in the harvest  
931 and, in many cases, in the size and quality of the fruit. On the other hand, excessive  
932 fertilization can entail a range of adverse consequences, such as loss of fruit quality,  
933 nutritional imbalance due to antagonism with other elements, alterations in the physical  
934 and chemical characteristics of the soil, environmental pollution and reduced profitability  
935 of the crop.

936

937 There are several commonly used methods for programming fertilization and each has its  
938 advantages and disadvantages (Sánchez and Curetti, 2009). The most widespread is the  
939 Critical Value (CV) method. Macy (1936) presented the concept of the critical  
940 concentration of nutrients, establishing the requirement of a minimum concentration of  
941 certain elements in the leaves in order to produce a good crop. Ulrich (1948) defined the  
942 critical level of nutrients as the concentration range below which plant growth is limited  
943 compared with plants with a higher nutrient level. The CV approach provides only an  
944 indication of adequacy or deficiency at a single point in time, but it does not provide any  
945 specific information on the most appropriate rate of fertilizer application or on its timing.

946

947 Another approach is the Nutrient Budgets method, which is based on determining the  
948 demand for plant nutrients at every moment in the production cycle. This requires an  
949 assessment of the extractions (outputs) and contributions (inputs). The former are  
950 associated with such factors as plant growth, fruit harvest (yield), pruning, loss of nutrients  
951 through runoff, and leaching etc. The latter relate to land reserves, contributions with  
952 water, cover crops, and fertilization etc. It is also necessary to assess the timing of the  
953 different demands, to observe and quantify the growth of flowers, fruits, branches and  
954 leaves, to estimate reserves and their movements within the plant, and to consider the  
955 weather conditions etc. (Muhammad, et al., 2009; Sánchez and Curetti, 2009). Making a  
956 geometric characterization during the productive cycle of trees provides an important part  
957 of the information required for programming fertilization according to this second method.

958

959 A precise geometric characterization of the trees in question is necessary in any research  
960 work which seeks to quantify vegetative growth in different fertilization situations (Rufat

961 et al., 2004; Zaman et al., 2005; Dehghanisanij et al., 2007; Fernandez et al., 2009b; Rather  
962 et al., 2009; Schumann, 2010). In the scientific literature, there are several references to  
963 studies that have investigated the variable application of fertilizers in fruit orchards in  
964 terms of crop yields, leaf nutrients, soil nutrients etc. (Salazar and Lazcano, 2003; López et  
965 al., 2004). However, very few studies have taken into account the geometric  
966 characterization of the trees in order to determine fertilizer needs; amongst other reasons,  
967 this is because of the difficulty involved in obtaining accurate measurements.

968

969 Zaman et al. (2005), using ultrasonic sensors and a Differential Global Positioning System,  
970 calculated the citrus canopy volume and then generated maps for the variable rate  
971 application of nitrogen (site-specific applications of Nitrogen). The results of this study  
972 indicated that N rates should be calculated considering tree size. Moreover, because many  
973 extraneous factors (e.g., rootstock, soil series) can modify tree size, N consumption is only  
974 partially dependent on age. Variable rate applications of N ranged from 135 to 270 kg ha<sup>-1</sup>  
975 y<sup>-1</sup> as opposed to the grower's uniform rate of 270 kg N ha<sup>-1</sup> y<sup>-1</sup>. As a consequence a 38%  
976 to 40% saving in granular fertilizer was achieved for the studied grove when a variable rate  
977 of N was applied on a per-tree basis. At present, similar research is being conducted in  
978 Lleida (Spain) in studies of deficitary irrigation and nitrogen fertilization in which one of  
979 the pieces of information being used is the geometric characterization of tree test blocks  
980 using a LIDAR-based sensor system (Pascual et al., 2011; Rosell et al., 2009a,b).

981

## 982 **6. Application to Crop Training**

983 Increases in fruit production per unit area obtained by increasing planting densities have  
984 resulted in major changes in the design and management of fruit plantations. This has  
985 implied the need to study the behaviour of different training systems for each variety in



986 order to find the one best suited to the agroclimatic conditions of each region. This should  
987 facilitate rapid entry into production and guarantee fruit quality and a rapid return on  
988 investment.

989

990 The top of a fruit tree is a complex system, because it is dynamic and changes shape and  
991 function according to its phenological state, cultivation practices and environmental  
992 conditions. It is therefore important to understand these processes in order to determine the  
993 best means of training and pruning to maximize production. In fruit plantations, the  
994 amount of light intercepted by a tree depends on tree density, orientation, size, tree shape  
995 and LAI (Robinson and Lakso, 1991).

996

997 In red apples, light levels of less than 50% of incident radiation reduce the colour due to a  
998 lower concentration of anthocyanins and a higher concentration of total flavonoids (Proctor  
999 and Loughheed, 1976; Awad et al., 2001). Besides the reduction in colour formation due to  
1000 the reduced availability of light, several authors working with red apples have reported a  
1001 reduction in size, soluble solids content and starch content (Seeley et al., 1980; Robinson et  
1002 al., 1983; Tustin et al., 1988; Campbell and Marini, 1992). On the other hand, high  
1003 exposure to solar radiation can cause a condition known as sunscald. This damage has been  
1004 reported by many authors in various crops (Wade et al., 1993; Dodds et al., 1997; Yuri et  
1005 al., 2000; Raffo and Iglesias, 2004), causing major economic losses which depend on the  
1006 climatic characteristics of each season. In apple trees, leaf structure varies according to  
1007 location on the plant and exposure to light (Faust, 1989). Jackson and Palmer (1977) found  
1008 that apple leaves that develop in the shade have larger surface areas but are thinner. Barden  
1009 (1974, 1977) also reported less developed palisade tissue and lower specific weight ( $\text{mg} \cdot$   
1010  $\text{cm}^{-2}$ ), net photosynthesis rates and rates of transpiration. Leaves that grow exposed to the

1011 light achieve the maximum rate of photosynthesis with a 45-55% rate of incident light,  
1012 while those growing inside the cup do so with lower rates of around 30% of incident light.  
1013 When values of incident light do not reach these percentages, the rate of leaf  
1014 photosynthesis is reduced, producing fewer photoassimilates (Faust, 1989). Raffo et al.  
1015 (2006) confirm that light intensity decreases as it reaches the interior of the cup. Small  
1016 trees therefore present a smaller canopy volume and have a lower proportion of leaves  
1017 receiving less than 30% of incident light. This favours a good differentiation of floral buds  
1018 which improves the setting, colour and soluble solids content of fruit (Doud and Ferree,  
1019 1980; Raffo et al., 2006).

1020

1021 The appropriate training of fruit trees is essential to ensure a suitable distribution of light  
1022 within the treetops. This also helps to prevent the appearance of shady areas and areas with  
1023 excessive radiation and helps to ensure fruit quality and quantity. There are many works  
1024 that study the different training systems, but few are using 3D geometric characterization  
1025 tools for conducting these studies. Below are some references to recent researches studying  
1026 the relationship between light interception and the 3D shape of trees.

1027

1028 A simplified method for building 3D mock-ups of peach trees is presented in Sonohat et al.  
1029 (2006). The method combines partial digitizing of tree structure with reconstruction rules  
1030 for non-digitized organs. Reconstruction rules make use of allometric relationships,  
1031 random sampling of shoot attribute distribution and additional hypotheses (e.g., constant  
1032 internode length). The method was quantitatively assessed for two training systems (tight  
1033 goblet and wide-double-Y), at a range of spatial scales. For this purpose, light interception  
1034 properties of reference and reconstructed mock-ups were compared. The proposed method  
1035 could therefore be used to make 3D tree mock-ups usable for a range of some, but not all,

1036 light computations. Because the simplified method allows large time savings, it could be  
1037 used in virtual experiments requiring large numbers of replicates, such as comparative  
1038 studies of tree genotypes or training systems.

1039

1040 Light models for vegetation canopies based on the turbid medium analogy are usually  
1041 limited by the basic assumption of random foliage dispersion in the canopy space. The  
1042 objective of Sinoquet et al. (2005) was to assess the effect of three possible sources of non-  
1043 randomness in tree canopies on light interception properties. For this purpose, four three-  
1044 dimensional digitized trees and four theoretical canopies - one random and three built from  
1045 fractal rules - were used to compute canopy structure parameters and light interception

1046

1047 In the study conducted by Potel et al. (2005), three groups of six 13-year-old individual  
1048 plants of apple cv. Golden Delicious trained under vertical axis, drilling and Ycare were  
1049 subjected to digital imaging in 2004 and 2005. Through a method of measurement  
1050 developed by the INRA (Centre of Clermont-Ferrand, France), it was possible to obtain an  
1051 exact 3D reproduction of the trees. Light was analysed using the silhouette to total area  
1052 ratio for each shoot, obtained by simulation, which characterized precisely the distribution  
1053 of light in the tree. The results highlight the importance of the annual conditions in the  
1054 evolution of leaf area. The illumination of the potential fruiting points becomes insufficient  
1055 when the LAI exceeded 3, which was the case for all the systems in 2005. The value LAI  
1056 recorded for the drilling system was particularly high (4.3) and the consequences of  
1057 shading were particularly perceptible, with a reduction of 53% in generative shoot  
1058 illumination.

1059

1060 Simple models of light interception are useful to identify the key structural parameters  
1061 involved in light capture. Sinoquet et al. (2007) developed such models for isolated trees  
1062 and tested them with virtual experiments. Light interception was decomposed into the  
1063 projection of the crown envelope and the crown porosity. The latter was related to tree  
1064 structure parameters. Virtual experiments were conducted with 3D digitized apple trees  
1065 grown in Lebanon and Switzerland, with different cultivars and training. The digitized  
1066 trees allowed actual values of canopy structure (total leaf area, crown volume, foliage  
1067 inclination angle, variance of leaf area density) and light interception properties (projected  
1068 leaf area, silhouette to total area ratio, porosity, dispersion parameters) to be computed, and  
1069 relationships between structure and interception variables to be derived. The projected  
1070 envelope area was related to crown volume with a power function of exponent  $2/3$ . Crown  
1071 porosity was a negative exponential function of mean optical density, that is, the ratio  
1072 between total leaf area and the projected envelope area. The leaf dispersion parameter was  
1073 a negative linear function of the relative variance of leaf area density in the crown volume.  
1074 The resulting models were expressed as two single equations. After calibration, model  
1075 outputs were very close to values computed from the 3D digitized databases.

1076

1077 The above exposed studies make it clear that the availability of measurement tools that  
1078 allow a precise geometric characterization of the plant material, as shown in Fig.7, will  
1079 facilitate and enhance the work of researchers in tree crop training systems.

1080

## 1081 **7- Conclusions**

1082 The analysis of the different existing detection systems to characterize the 3D structure of  
1083 tree plantations shows the existence of several aspects that limit the use of most of the  
1084 systems under field conditions, remaining, finally, a small group of sensors suitable for this

1085 purpose. Laser scanners and stereo vision are direct competitors and are probably the most  
1086 promising and complementary techniques for achieving 3D maps of plants and canopies,  
1087 although ultrasonic sensors remain an attractive option for certain applications. In fact, the  
1088 possibilities of combining sensors for this purpose are innumerable. In the near future, it is  
1089 highly likely that we will see a notable advance in this field of research with increased use  
1090 of the new generation of Flash LIDAR sensors, capable of measuring 3D structures of  
1091 plants in real time and at a moderate cost compared to alternative detection systems. As  
1092 regards agricultural applications, the chapter dedicated to the application of PPP has  
1093 demonstrated the importance of knowing the density of the different elements of a tree for  
1094 the correct determination of the application rate. It has also been highlighted the usefulness  
1095 of using pictograms to facilitate the quantification of the density of the plantations.  
1096 However, it has become clear that there is still a long way to be done and both the  
1097 geometric characterization of crops as well as variable application techniques must be  
1098 improved. The coordinated use of multiple sensors, the development of new real-time data  
1099 processing algorithms and the simplification of crop adaptable application systems are  
1100 objectives for the future of this research line. The studies that relate irrigation with the  
1101 geometric characterization of tree crops and vines highlight the importance of quantifying  
1102 the plant size i.e. leaf surface, shaded area, interception of sunlight, volume, and leaf  
1103 density. Also, a precise geometric characterization of trees is necessary in any research  
1104 work which seeks to quantify vegetative growth in different fertilization situations. In the  
1105 scientific literature, there are several references to studies that have investigated the  
1106 variable application of fertilizers in fruit orchards in terms of crop yields, leaf nutrients,  
1107 soil nutrients etc. However, very few studies have taken into account the geometric  
1108 characterization of the trees in order to determine fertilizer needs; amongst other reasons,  
1109 this is because of the difficulty involved in obtaining accurate measurements. Therefore,

1110 obtaining a precise geometrical characterization of a crop at any point during its production  
1111 cycle by means of a new generation of affordable and easy-to-use detection systems, such  
1112 as LIDAR and stereo vision systems will help to establish precise estimations of crop water  
1113 needs as well as valuable information that can be used to quantify its nutritional  
1114 requirements. If accurate, this can provide valuable information on which to base more  
1115 sustainable irrigation and fertilizer dosages. These would be able to meet crop needs and  
1116 could also be used as part of specific management systems, based on prescription maps, for  
1117 the application of variable quantities of water and fertilizers. The appropriate training of  
1118 fruit trees is essential to ensure a suitable distribution of light within the treetops. This also  
1119 helps to prevent the appearance of shady areas and areas with excessive radiation and helps  
1120 to ensure fruit quality and quantity. Many research works are being conducting about the  
1121 different training systems, but few are using 3D geometric characterization tools for  
1122 conducting these studies. The availability of measurement tools that allow a precise  
1123 geometric characterization of the plant material will facilitate and enhance the work of  
1124 researchers on tree crop training systems.

1125

1126 Therefore, in the near future, the evolution and development of new sensors devoted to the  
1127 geometric characterization of tree crops will enable significant and much needed advances  
1128 in optimizing the use of PPP, fertilizers and water in agriculture as well as increase in  
1129 production and quality by improving training systems. It should be borne in mind that the  
1130 benefits of this work affect millions of cultivated hectares and therefore impact directly on  
1131 the society and the environment in which we live. It is therefore of vital importance to  
1132 continue devoting major efforts to the development of increasingly accurate, robust and  
1133 affordable systems capable of measuring the geometric characteristics of plantations,

1134 which support the development of the different areas of a sustainable and precision  
1135 agriculture.

1136

### 1137 **Acknowledgements**

1138 This work has been funded by the Spanish Ministry of Science and Innovation and by the  
1139 European Union through the FEDER funds and is part of research projects Pulvexact  
1140 (AGL2002-04260-C04-02) and Optidosa (AGL2007-66093-C04-03)

1141

### 1142 **References**

1143

1144 Advanced Scientific Concepts Inc., 2010. <http://advancedscientificconcepts.com>

1145

1146 Allen, R.G., Pereira, L.S., Raes, D., Smith, M., 1998. Evapotranspiración del cultivo:  
1147 Guías para la determinación de los requerimientos de agua de los cultivos. Estudio FAO  
1148 Riego y Drenaje 56. ISBN 92-5-304219-2.

1149

1150 Alva, A.K., Mattos D., Quaggio, J.A., 2008. Advances in nitrogen fertigation of citrus.  
1151 Journal of Crop Improvement 22 (1), 121-146.

1152

1153 Andersen, H., Reng, L., Kirk, K., 2005. Geometric plant properties by relaxed stereo vision  
1154 using simulated annealing. Computers and Electronics in Agriculture 49, 219-232.

1155

1156 Aschoff, T., Thies, M., Spiecker, H., 2004. Describing forest stands using terrestrial laser-  
1157 scanning. In: Conference proceedings ISPRS conference. ISPRS International Archives of

1158 Photogrammetry, Remote Sensing and Spatial Information Sciences Vol XXXV, Part B,  
1159 Istanbul, Turkey, 12 – 23 July 2004, pp. 237-241.  
1160

1161 Awad, M.A., Wagenmakers, P.S., Jager, A., 2001. Effects of light on flavonoid and  
1162 chlorogenic acid levels in the skin of ‘Jonagold’ apples. *Scientia Horticulturae* 88 (4), 289-  
1163 298.  
1164

1165 Ayars, J.E., Johnson, R.S., Phene, C.J., Trout, T.J., Clark, D.A., Mead, R.M., 2003. Water  
1166 use by drip-irrigated late-season peaches. *Irrigation Science* 22, 187-194.  
1167

1168 Barden, J.A., 1974. Net photosynthesis, dark respiration, specific leaf weight, and growth  
1169 of young apple trees as influenced by light regime. *Journal of the American Society for*  
1170 *Horticultural Science* 99 (6), 547-551.  
1171

1172 Barden, J.A., 1977. Apple tree growth, net photosynthesis, dark respiration, and specific  
1173 leaf weight as affected by continuous and intermittent shade. *Journal of the American*  
1174 *Society for Horticultural Science* 102 (4), 391-394.  
1175

1176 Bienert, A., Scheller, S., Keane, E., Mullooly, G., Mohan, F., 2006. Application of  
1177 terrestrial laser scanners for the determination of forest inventory parameters. In:  
1178 *Proceedings of the ISPRS Commission V Symposium, Image Engineering and Vision*  
1179 *Metrology*. Dresden, Germany, 25-27 September 2006. vol XXXVI(5). ISSN 1682-1750.  
1180  
1181



1182 Bongers, F., 2001. Methods to assess tropical rain forest canopy structure: an overview.  
1183 *Plant Ecology* 153, 263-277.

1184

1185 Bradbury, R., Hill, R., Mason, D., Hinsley, S., Wilson, J., Balzter, H., Anderson, G.,  
1186 Whittingham, M., Davenport, I., Bellamy, P., 2005. Modelling relationships between birds  
1187 and vegetation structure using airborne LIDAR data: a review with case studies from  
1188 agricultural and woodland environments. *Ibis* 147, 443-452.

1189

1190 Bravdo, B.A., 2009. Advanced approaches of irrigation and fertilization of fruit trees. *Acta*  
1191 *Horticulturae* 825, 31-40.

1192

1193 Byers, R.E., Hickey, K.D., Hill, C.H., 1971. Base gallonage per acre. *Virginia Fruit* 60, 19-  
1194 23.

1195

1196 Campbell, R.J., Marini, R.P., 1992. Light environment and time of harvest affect  
1197 'Delicious' apple fruit quality characteristics. *Journal of the American Society for*  
1198 *Horticultural Science* 117(4), 551-557.

1199

1200 Chen, Y., Chao, K., Kim, M., 2002. Machine vision technology for agricultural  
1201 applications. *Computers and Electronics in Agriculture* 36, 173-191.

1202

1203 Coates, R.W., Delwiche, M.J., Brown, P.H., 2006. Control of individual microsprinklers  
1204 and fault detection strategies. *Precision Agriculture* 7, 85-99.

1205

1206 Cohen, S., Fuchs, M., Moreshet, S., Cohen, Y., 1987. The distribution of leaf area,  
1207 radiation, photosynthesis and transpiration in a shamouti orange hedgerow orchard. Part II,  
1208 Photosynthesis, transpiration, and the effect of row shape and direction. *Agricultural and*  
1209 *Forest Meteorology* 40, 145-162.

1210

1211 COM, 2009. Directive 2009/128/ec of the European Parliament and of the Council of 21  
1212 October 2009 establishing a framework for Community action to achieve the sustainable  
1213 use of pesticides.

1214

1215 Dehghanisani, H., Naseri, A., Anyoji, H., Eneji, A.E., 2007. Effects of deficit irrigation  
1216 and fertilizer use on vegetative growth of drip irrigated cherry trees. *Journal of Plant*  
1217 *Nutrition* 30(3), 411-425.

1218

1219 Dodds, G.T., Trenholm, L., Rajabipour, A., Madramootoo, C.A., Norris, E.R., 1997. Yield  
1220 and quality of tomato fruit under water-table management. *Journal of the American*  
1221 *Society for Horticultural Science* 122(4), 491-498.

1222

1223 Doorenbos, J., Pruitt, W.O., 1977. Guidelines for predicting crop water requirements.  
1224 *Irrigation and Drainage paper n° 24 (Rev. 1)*, Food and Agriculture Organization of the  
1225 United Nations, Roma, 144 p.

1226

1227 Doruchowski, G., Holownicki, R., 2000. Environmentally friendly techniques for tree  
1228 crops. *Crop Protection* 19, 617-622.

1229

1230 Doruchowski, G., Balsari, P., Van De Zande, J., 2009. Development of a crop adapted  
1231 spray application system for sustainable plant protection in fruit growing.  
1232 *Acta Horticulturae* 824, 251-260.  
1233

1234 Dosafrut, 2011. Determining the application volume rate of pesticide treatments in fruit  
1235 orchards. <http://www.dosafrut.es>  
1236

1237 Doud, D.S., Ferree, D.C., 1980. Influence of altered light levels on growth and fruiting of  
1238 mature 'Delicious' apple trees. *Journal of the American Society for Horticultural Science*  
1239 105(3), 325-328.  
1240

1241 Escolà, A., Solanelles, F., Planas, S., Rosell, J. R., 2001. Design and validation of an  
1242 electronic system for proportional control of chemical spraying in tree crops according to  
1243 the vegetation volume. In: *Proceedings of the VI<sup>th</sup> Workshop on Spray Application*  
1244 *Techniques in Fruit Growing*, Leuven, Belgium, 30-31 January 2001.  
1245

1246 Escolà, A., Camp, F., Solanelles, F., Llorens, J., Planas, S., Rosell, J.R., Gràcia, F., Gil, E.,  
1247 2007. Variable dose rate sprayer prototype for tree crops based on sensor measured canopy  
1248 characteristics. In: *Precision Agriculture '07. Proceedings of the 6th European Conference*  
1249 *on Precision Agriculture*, Skiathos, Greece, 3-6 January 2007.  
1250

1251 Ess, R., Morgan, T., Parsons, D., Medlin, C., 2001a. Implementing site specific  
1252 management: sprayer technology, controlling application rate on-the-go. Purdue  
1253 University, (SSM-4-W): <http://www.ces.purdue.edu/extmedia/AE/SSM-4-W.pdf>.  
1254

1255 Ess, R., Morgan, T., Parsons, D., Medlin, C., 2001b. Implementing site specific  
1256 management: sprayer technology, controlling application rate and droplet size distribution  
1257 on the go. Purdue University, (SSM-5-W):  
1258 <http://www.ces.purdue.edu/extmedia/AE/SSM-5-W.pdf> .  
1259

1260 Faust, M., 1989. Physiology of temperate zone fruit trees. New York: John Wiley & Sons,  
1261 Inc. ISBN 0-471-81781-3.  
1262

1263 Felber, H., 1997. Pulverización adaptada al cultivo (Crop Adapted Spraying) 1:  
1264 Adaptación del volumen de caldo y la dosis a los parámetros del cultivo. Phytoma España  
1265 92, 14-20.  
1266

1267 Fereres, E. Evans, R.G., 2006. Irrigation of fruit trees and vines: an introduction. Irrigation  
1268 Science 24, 55-57.  
1269

1270 Fernández-Escobar, R., Parra, M.A., Navarro, C., Arquero, O., 2009a. Foliar diagnosis as a  
1271 guide to olive fertilization. Spanish Journal of Agricultural Research 7(1), 212-223.  
1272

1273 Fernández-Escobar, R., Marin, L., Sánchez-Zamora, M.A., García-Novelo, J.M., Molina-  
1274 Soria, C., Parra, M.A., 2009b. Long-term effects of N fertilization on cropping and growth  
1275 of olive trees and on N accumulation in soil profile. European Journal of Agronomy 31(4),  
1276 223-232.  
1277  
1278  
1279

1280 Gil, E., 2005. Bases para una correcta realización de los tratamientos fitosanitarios en el  
1281 cultivo de la viña. IX Curso de especialización, Aplicación de productos fitosanitarios y  
1282 minimización del impacto ambiental, UdL, DARP, CMA. February 7-11, Lleida.  
1283

1284 Gil, E., Escolà, A., Rosell, J.R., Planas, S., Val, L., 2007. Variable rate application of plant  
1285 protection products in vineyard using ultrasonic sensors. *Crop Protection* 26, 1287-1297.  
1286

1287 Giles, D. K., Delwiche, M. J., Dodd, R. B., 1988. Electronic measurement of tree canopy  
1288 volume. *Transactions of the asae* 31(1), 264-272.  
1289

1290 Giuliani, R., Magnanini, E., Fragassa, C., Nerozzi, F., 2000. Ground monitoring the light  
1291 shadow windows of a tree canopy to yield canopy light interception and morphological  
1292 traits. *Plant Cell Environment* 23, 783-796.  
1293

1294 Goldhamer, D.A., Viveros, M., Salinas, M., 2006. Regulated deficit irrigation in almonds:  
1295 effects of variations in applied water and stress timing on yield and yield components.  
1296 *Irrigation Science* 24, 101-114.  
1297

1298 Goodwin, I., Whitfield, D.M., Connor, D.J., 2006. Effects of tree size on water use of  
1299 peach (*Prunus persica* L. Batsch). *Irrigation Science* 24, 59-68.  
1300

1301 Green, S., McNaughton, K., Wünsche, J.N., Clothier, B., 2003. Modeling light interception  
1302 and transpiration of apple tree canopies. *Agronomy journal* 95(6), 1380-1387.  
1303

1304 Holownicki, R., Doruchowski, G., Godyn, A., Swiechowski, W., 2000. Effects of air jet  
1305 adjustment on spray losses in orchard. *Aspects of Applied Biology* 57, 293-300.  
1306  
1307 Humburg, D., 2003. Variable rate equipment technology for weed control. Potash and  
1308 Phosphate Institute (PPI) Site Specific Management Guidelines, Guide SSMG-7:  
1309  
1310 [http://www.ipni.net/ppiweb/ppibase.nsf/b369c6dbe705dd13852568e3000de93d/c0f666e3a](http://www.ipni.net/ppiweb/ppibase.nsf/b369c6dbe705dd13852568e3000de93d/c0f666e3a172ce4c8525696100631668/$FILE/SSMG%207.pdf)  
1311 [172ce4c8525696100631668/\\$FILE/SSMG%207.pdf](http://www.ipni.net/ppiweb/ppibase.nsf/b369c6dbe705dd13852568e3000de93d/c0f666e3a172ce4c8525696100631668/$FILE/SSMG%207.pdf)..  
1312  
1313 Jackson, J.E., Palmer, J.W., 1977. Effects of shade on the growth and cropping of apple  
1314 trees. I. Experimental details and effects on vegetative growth. *Journal of Horticultural*  
1315 *Science* 52(2), 245-252.  
1316  
1317 Kise, M., Zhang, Q., Rovira Más, F., 2005. A Stereovision-based crop row detection  
1318 method for tractor-automated guidance. *Biosystems Engineering* 90 (4), 357-367.  
1319  
1320 Kise, M., Zhang, Q., 2006. Reconstruction of a virtual 3D field scene from ground-based  
1321 multi-spectral stereo imaging. *Proceedings of the 2006 ASABE Annual International*  
1322 *Meeting, Portland, Oregon. Paper Number 063098.*  
1323  
1324 Kise, M., Zhang, Q., 2008. Development of a stereovision sensing system for 3D crop row  
1325 structure mapping and tractor guidance. *Biosystems Engineering* 101(2), 191-198.  
1326  
1327 Koch, H., 1993. Application rate and spray deposit on targets in plants. In: ANPP/BCPC  
1328 *Second International Symposium on Pesticides Applications, vol. 1: pp. 175-182.*

1329

1330 Kushida, K., Yoshino, K., Nagano, T., Ishida, T., 2009. Automated 3D forest surface  
1331 model extraction from balloon stereo photographs. *Photogrammetric Engineering and*  
1332 *Remote Sensing* 75 (1), 25-35.

1333

1334 Leblanc, S.G., Chen, J.M, Fernandes, R., Deering, D.W., Conley, A., 2005. Methodology  
1335 comparison for canopy structure parameters extraction from digital hemispherical  
1336 photography in boreal forest. *Agricultural and Forest Meteorology* 129, 187-207.

1337

1338 Lee, K.H., Ehsani, R., 2009. A laser scanner based measurement system for quantification  
1339 of citrus tree geometric characteristics. *Applied Engineering in Agriculture* 25(5), 777-788.

1340

1341 Lefsky, M.A., Cohen, W.B., Parker, G.G., Harding, D.J., 2002. Lidar remote sensing for  
1342 ecosystem studies. *BioScience* 52(1), 19-30.

1343

1344 Legaz, F., Primo-Millo, E., 1988. Normas para la fertilización de los agrios. Conselleria  
1345 d'Agricultura i Pesca. Generalitat Valenciana. Fullets Divulgació, N°. 5-88.

1346

1347 Leib, B.G., Caspari, H.W, Redulla, C.A, Andrews, P.K, Jabro, J.J., 2006. Partial rootzone  
1348 drying and deficit irrigation of 'Fuji' apples in a semi-arid climate. *Irrigation Science* 24,  
1349 85-89.

1350

1351 Li, F., Cohen, S., Naor, A., Shaozong, K., Erez, A., 2002. Studies of canopy structure and  
1352 water use of apple trees on three rootstocks. *Agricultural Water Management* 55, 1-14.

1353

1354 Lin, T-T., Hsiung, Y-K., Hong, G-L., Chang, H-K., Lu, F-M., 2008. Development of a  
1355 virtual reality GIS using stereo vision. *Computers and Electronics in Agriculture* 63, 38-48.  
1356

1357 Llorens, J., Gil, E., Llop, J., Escolà, A., 2010. Variable rate dosing in precision viticulture:  
1358 Use of electronic devices to improve application efficiency. *Crop Protection* 29, 239-248.  
1359

1360 Llorens, J., Gil, E., Llop, J., Escolà, A., 2011. Ultrasonic and LIDAR sensors for electronic  
1361 canopy characterization in vineyards: advances to improve pesticide application methods.  
1362 *Sensors* 11(2), 2177-2194.  
1363

1364 López, F., Jurado, M., Álamo, S., García, L., 2004. Leaf nutrient spatial variability and  
1365 site-specific fertilization maps within olive (*Olea europaea* L.) orchards. *European Journal*  
1366 *of Agronomy* 21(2), 209-222.  
1367

1368 Maas, H. G., Bienert, A., Scheller, S., Keane, E., 2008. Automatic forest inventory  
1369 parameter determination from terrestrial laser scanner data, *International Journal of*  
1370 *Remote Sensing* 29(5), 1579-1593.  
1371

1372 Macy, P. 1936. The qualitative mineral nutrient requirements of plants. *Plant Physiology*  
1373 11, 749-764.  
1374

1375 Mariscal, M.J., Orgaz, F., Villalobos, F.J., 2000. Modeling and measurement of radiation  
1376 interception by olive canopies. *Agricultural and Forest Meteorology* 100, 183-197.  
1377



1378 Moltó, E., Martín, B., Gutierrez, A., 2001. Pesticide loss reduction by automatic adaptation  
1379 of spraying on globular trees. *Journal of agricultural Engineering Research* 78(1), 35-41.  
1380

1381 Monge, E., Espada, J.L., Blanco, A., Val, J., 2007. Efecto de la sobrefertilización  
1382 nitrogenada en la calidad de las manzanas. In: *Proceedings of II Jornadas de Fertilización,*  
1383 *Logroño, Spain, 27-29 nov 2007, SECH. Actas de horticultura*, 49: pp. 195-201.  
1384

1385 Morgan, N.G., 1964. Gallons per acre of sprayed area: an alternative standard term for  
1386 spraying plantations. *World Crops* 16(2), 64-65.  
1387

1388 Mpelasoka, B.S., Behboudian, M.H., Green, S.R., 2001. Water use, yield and fruit quality  
1389 of lysimeter-grown apple trees: responses to deficit irrigation and to crop load. *Irrigation*  
1390 *Science* 20, 107-113.  
1391

1392 Muhammad, S., Luedeling, E., Brown, P.H., 2009. A Nutrient budget approach to nutrient  
1393 management in almond. In: *Proceedings of the international Plant Nutrition Colloquium*  
1394 *XVI, Department of Plant sciences, UC Davis.*  
1395

1396 Naesset, E., 1997a. Estimating timber volume of forest stands using airborne laser scanner  
1397 data. *Remote Sensing of Environment* 61, 246-253.  
1398

1399 Naesset, E., 1997b. Determination of mean tree height of forest stands using airborne laser  
1400 scanner data. *ISPRS Journal of Photogrammetry and Remote Sensing* 52, 49-56.  
1401  
1402

1403 Navarro, C., 2003. La fertilización del olivar, respetuosa con el medio ambiente. Vida  
1404 Rural 176, 48-51.  
1405  
1406 Orgaz, F., Testi, L., Villalobos, F.J., Fereres, E., 2006. Water requirements of olive  
1407 orchards II: determination of crop coefficients for irrigation scheduling. Irrigation Science  
1408 24, 77-84.  
1409  
1410 Pai, N., Salyani, M., Sweeb, R.D., 2009. Regulating airflow of orchard airblast sprayer  
1411 based on tree foliage density. Transactions of the ASABE 52(5), 1423-1428.  
1412  
1413 Palacin, J., Palleja, T., Tresanchez, M., Sanz, R., Llorens, J., Ribes-Dasi, M., Masip, J.,  
1414 Arnó, J., Escolà, A., Rosell, J.R., 2007. Real-time tree-foliage surface estimation using a  
1415 ground laser scanner. IEEE Transactions on Instrumentation and Measurement 56(4),  
1416 1377-1383.  
1417  
1418 Pallejà, T., Tresanchez, M., Teixidó, M., Sanz, R., Rosell, J.R., Palacin, J., 2010.  
1419 Sensitivity of tree volumen measurement to trajectory errors from a terrestrial LIDAR  
1420 scanner. Agricultural and Forest Meteorology 150, 1420-1427.  
1421  
1422 Parker, G., Harding, D., Berger, M.L., 2004. A portable LIDAR system for rapid  
1423 determination of forest canopy structure. Journal of Applied Ecology 41(4), 755-767.  
1424  
1425 Pascual, M., Villar, J.M., Rufat, J., Rosel, J.R., Sanz, R., Arnó, J., 2011. Evaluation of  
1426 peach tree growth characteristics under different irrigation strategies by LIDAR system:  
1427 preliminary results. Acta Horticulturae 889, 227-232

1428

1429 Pereira, A.R., Grenn, S., Villa Nova, N.A., 2006. Penman-Monteith reference  
1430 evapotranspiration adapted to estimate irrigated tree transpiration. *Agricultural Water*  
1431 *Management* 83, 153-161.

1432

1433 Pergher, G., Petris, R., 2008. Pesticide dose adjustment in vineyard spraying and potential  
1434 for dose reduction. Manuscript ALNARP 08 011. *Agricultural Engineering International*.  
1435 *CIGR Ejournal X* (May).

1436

1437 Pfeifer, N., Gorte, B., Winterhalder, D., 2004. Automatic reconstruction of single trees  
1438 from terrestrial laser scanner data. In: XXth ISPRS Congress. In: *Proceedings of Geo-*  
1439 *Imagery Bridging Continents*. Istanbul, Turkey, 12-23 July 2004. vol. IAPRS XXXV.  
1440 ISSN 1682-1750.

1441

1442 Phattaralerphong, J., Sinoquet, H., 2005. A method for 3D reconstruction of tree canopy  
1443 volume from photographs: assessment from 3D digitised plants. *Tree Physiology* 25, 1229-  
1444 1242.

1445

1446 Planas, S., Solanelles, F., Fillat, A., 2002. Assessment of recycling tunnel sprayers in  
1447 Mediterranean vineyards and apple orchards. *Biosystems Engineering* 82(1), 45-52.

1448 Potel, A.M., Monney, P., Sinoquet, H., [Sonohat, G.](#), [Lauri, P.E.](#) 2005. Three-dimensional  
1449 tree-digitalization for system analysis of apple orchards. *Arboriculture et Horticulture*  
1450 37(6). 351-359.

1451

1452 Proctor, J.T.A., Lougheed, E.C., 1976. The effect of covering apples during development.  
1453 HortScience 11(2), 108-109.  
1454

1455 Raese, J.T., Drake, S.R., Curry, E.A., 2007. Nitrogen Fertilizer Influences Fruit Quality,  
1456 Soil Nutrients and Cover Crops, Leaf Color and Nitrogen Content, Biennial Bearing and  
1457 Cold Hardiness of Golden Delicious. Journal of Plant Nutrition 30(10), 1585-1604.  
1458

1459 Raffo, M.D., Iglesias, N., 2004. Effect of the interception and distribution of  
1460 photosynthetically active radiation on apple cv. Fuji, under four training systems in high  
1461 density plantations. RIA, Revista de Investigaciones Agropecuarias 33(2), 41-54.  
1462

1463 Raffo, M.D., Rodríguez, M.D., Rodríguez, A., 2006. Light distribution in different  
1464 rootstock-variety combinations of Mondial Gala apple trees and its effect on fruit quality  
1465 and vegetative parameters. RIA, Revista de Investigaciones Agropecuarias 35(2), 53-69.  
1466

1467 Rather, G.H., Bandat, F.A., Ganai, N.A., Baba, A.M., Bhat, J.A., Bisati, I.A., 2009.  
1468 Combined influence of Pruning Regimes and Fertilizer Application on Vegetative Growth  
1469 and Photosynthetic Efficiency of Apple cv. Red Delicious. Environment and Ecology  
1470 27(1), 134-138.  
1471

1472 Robinson, T.L., Seeley, E.J., Barritt, B.H., 1983. Effect of light environment and spur age  
1473 on 'Delicious' apple fruit size and quality. Journal of the American Society for  
1474 Horticultural Science 108(5), 855-861.  
1475

1476 Robinson, T.L., Lakso, A.N., 1991. Bases of yield and production efficiency on apple  
1477 orchard systems. *Journal of the American Society for Horticultural Science* 116(2), 188-  
1478 194.  
1479

1480 Rosell, J.R., Nogués, A., Planas, S., 1996. Development of an electronic selective orchard  
1481 spraying system based on the control of applied flow rate. In: *Proceedings of the*  
1482 *International Conference on Agricultural Engineering AgEng-96, Madrid, Spain, 23-26*  
1483 *Sept 1996. Paper N° 96A-120.*  
1484

1485 Rosell, J.R., Sanz, R., Escolà, A., Palacín, J., Siso, J.M., Ribes, M., Masip, J., Arnó, J.,  
1486 Llorens, J., Vallés, J.M., Massana, P., Gracia, F., Solanelles, F., Camp, F., Gil, E., Val, L.,  
1487 Planas, S., 2004. Progresos en la determinación de las características estructurales de las  
1488 plantas mediante un escáner láser para su utilización en la aplicación de fitosanitarios de  
1489 forma proporcional a las características de las plantaciones. *Fruticultura profesional,*  
1490 *Especial NUTRIFITOS, vol. 147: pp. 12-20.*  
1491

1492 Rosell, J.R., Llorens, J., Sanz, R., Arnó, J., Ribes-Dasi, M., Masip, J., Escolà, A., Camp,  
1493 F., Solanelles, F., Gràcia, F., Gil, E., Val, L., Planas, S., Palacín, J., 2009a. Obtaining the  
1494 three-dimensional structure of tree orchards from remote 2D terrestrial LIDAR scanning.  
1495 *Agricultural and Forest Meteorology* 149, 1505-1515.  
1496

1497 Rosell, J.R., Sanz, R., Llorens, J., Arnó, J., Escolà, A., Ribes-Dasi, M., Masip, J., Camp,  
1498 F., Gràcia, F., Solanelles, F., Pallejà, T., Val, L., Planas, S., Gil, E., Palacín, J., 2009b. A  
1499 tractor-mounted scanning LIDAR for the non-destructive measurement of vegetative

1500 volume and surface area of tree-row plantations: A comparison with conventional  
1501 destructive measurements. *Biosystems Engineering*, 102(2), 128-134.

1502

1503 Rovira-Más, F., Zhang, Q., Reid, J., 2005 . Creation of Three-dimensional Crop Maps  
1504 based on aerial stereoisimages. *Biosystems Engineering* 90(3), 251-259.

1505

1506 Rovira-Mas, F., Reid, J., Zhang, Q., 2006. Stereovision data processing with 3D density  
1507 maps for agricultural vehicle. *Transactions of the ASABE* 49(4), 1213-1222.

1508

1509 Rovira-Más, F., Zhang, Q., Reid, J., 2008. Stereo vision three-dimensional terrain maps for  
1510 precision agriculture. *Computers and Electronics in Agriculture* 60(2), 133-143.

1511

1512 Rufat, J., Del Campo, J., Mata, M., Arbonés, A., Gelly, M., López, G., Olivo, N., Reyes,  
1513 V. M., Marsal, J., Girona, J., 2004. Riego y abonado nitrogenado en manzano Golden.  
1514 *Vida Rural*, vol. 187: pp. 52-55. ISSN 1133-8938.

1515

1516 Russell, P., 2004. Recommended pesticide dose rates: how low can you go?. *Outlooks on*  
1517 *pest management*. 15(6), 242-243.

1518

1519 Salazar, S., Lazcano, I., 2003. Site-specific fertilization increased yield and fruit size in  
1520 ‘Hass’ avocado. *Better Crops International* 17(1), 12-15.

1521

1522 Sánchez, E.E., Curetti, M., 2009. Producción y Manejo Nutricional de Frutales de Clima  
1523 Templado. *Informaciones Agronómicas del Cono Sur*, vol. 44: pp. 1-7. ISSN 1666-7115

1524

1525 Sanz, R., Palacin, J., Sisó, J.M., Ribes, M., Masip, J., Arnó, J., Llorens, J., Valles, J.M.,  
1526 Rosell, J.R., 2004. Advances in the measurement of structural characteristics of plants with  
1527 a LIDAR scanner. In: International Conference on Agricultural Engineering AgEng 2004  
1528 Leuven, Belgium. Paper N°. 277.

1529

1530 Sanz-Cortiella, R., ; Llorens-Calveras, J., Rosell-Polo, J.R., ; Gregorio-Lopez, E., Palacín-  
1531 Roca, J., 2011a. Characterisation of the LMS200 laser beam under the influence of  
1532 blockage surfaces. Influence on 3D scanning of tree orchards. Sensors 11(3), 2751-2772.

1533

1534 Sanz-Cortiella, R., Llorens-Calveras, J., Escolà, A., Arnó-Satorra, J., Ribes-Dasi, M.,  
1535 Masip-Vilalta, J., Camp, F., Gràcia-Aguilà, F., Solanelles-Batlle, F., Planas-DeMartí, S.,  
1536 Pallejà-Cabré, T., Palacín-Roca, J., Gregorio-Lopez, E., Del-Moral-Martínez, I., Rosell-  
1537 Polo, J.R., 2011b. Innovative LIDAR 3D dynamic measurement system to estimate fruit-  
1538 tree leaf area. Sensors 11(6), 5769-5791.

1539

1540 Schumann, A.W., Zaman, Q.U., 2005. Software development for real-time ultrasonic  
1541 mapping of tree canopy size. Computers and Electronics in Agriculture 47(1), 25-40.

1542

1543 Schumann, A.W., 2010. Precise placement and variable rate fertilizer application  
1544 technologies for horticultural crops. Horttechnology 20(1), 34-40

1545

1546 Scott, R., Williams, L., Ayars, J., Trout, T., 2005. Weighing lysimeters aid study of water  
1547 relations in tree and vine crops. California Agriculture 59(2), 133-136.

1548

1549 Seeley, E.J., Mike, W.C., Kammereck, R., 1980. 'Delicious' apple fruit size and quality as  
1550 influenced by radiant flux density in the immediate growing environment. *Journal of the*  
1551 *American Society for Horticultural Science* 105(5), 645-647.  
1552

1553 Sinoquet, H., Sonohat, G., Phattaralerphong, J., Godin, C., 2005. Foliage randomness and  
1554 light interception in 3-D digitized trees: an analysis from multiscale discretization of the  
1555 canopy. *Plant cell and environment* 28(9), 1158-1170.  
1556

1557 Sinoquet, H., Stephan, J., Sonohat, G., Lauri, P.E., Monney, P., 2007. Simple equations to  
1558 estimate light interception by isolated trees from canopy structure features: assessment  
1559 with three-dimensional digitized apple trees. *New phytologist* 175(1), 94-106  
1560

1561 Solanelles, F., Escolà, A., Planas, S., Rosell, J.R., Camp, F., Gracia, F., 2006. An  
1562 electronic control system for pesticide application proportional to the canopy width of tree  
1563 crops. *Biosystems Engineering* 95(4), 473-481.  
1564

1565 Sonohat, G., Sinoquet, H., Kulandaivelu, V., Combes, D., Lescourret, F., 2006. Three-  
1566 dimensional reconstruction of partially 3D-digitized peach tree canopies. *Tree Physiology*  
1567 26(3), 337-351.  
1568

1569 Stuppy, W., Maisano, J., Colbert, M., Rudall, P., Rowe, T., 2003. Three-dimensional  
1570 analysis of plant structure using high-resolution X-ray computed tomography. *Trends in*  
1571 *Plant Science* 8(1), 2-6.  
1572  
1573



1574 Testi, L., Villalobos, F.J., Orgaz, F., Fereres, E., 2006. Water requirements of olive  
1575 orchards I: simulation of daily evapotranspiration for scenario analysis. *Irrigation Science*  
1576 24, 69-76.  
1577

1578 Tumbo, S.D., Salyani, M., Whitney, J.D., Wheaton, T.A., Miller, W.M., 2002.  
1579 Investigation of Laser and Ultrasonic Ranging Sensors for measurements of Citrus Canopy  
1580 Volume. *Applied Engineering in Agriculture* 18(3), 367-372.  
1581

1582 Tustin, D.S., Hirst, P.M., Warrington, I.J., 1988. Influence of orientation and position of  
1583 fruiting laterals on canopy light penetration, yield, and fruit quality of ‘Granny Smith’  
1584 apple. *Journal of the American Society for Horticultural Science* 113(5), 693-699.  
1585

1586 Ulrich, A. 1948. Plant analysis methods and interpretation of results. *Diagnostic*  
1587 *Techniques for Soils and Crops*. H.B. Kitchen (Ed.). The American Potash Institute,  
1588 Washington, D.C. pp. 157-198.  
1589

1590 Van der Zande, D., Hoet, W., Jonckheere, I., Aardt, J., Coppin, P., 2006. Influence of  
1591 measurement set-up of ground-based LIDAR for derivation of tree structure. *Agricultural*  
1592 *and Forest Meteorology* 141, 147-160.  
1593

1594 Vellidis, G., Tucker, M., Perry, C., Kvien, C., 2008. A real-time wireless smart sensor  
1595 array for scheduling irrigation. *Computers and Electronics in Agriculture* 61(1), 44-50.  
1596

1597 Villalobos, F.J., Orgaz, F., Mateos, L., 1995. Non-destructive measurement of leaf area in  
1598 olive (*Olea europaea* L.) trees using a gap inversion method. *Agricultural and Forest*  
1599 *Meteorology* 73, 29-42.

1600

1601 Wade, N.L., Kavanagh, E.E., Tan, S.C., 1993. Sunscald and ultraviolet light injury of  
1602 banana fruits. *Journal of Horticultural Science* 68(3), 409-419.

1603

1604 Walklate, P.J., 1989. A laser scanning instrument for measuring crop geometry.  
1605 *Agricultural and Forest Meteorology* 46, 275-284.

1606

1607 Walklate, P.J., Richardson, G.M., Baker, D.E., Richards, P.A., Cross, J.V., 1997. Short-  
1608 range LIDAR measurement of top fruit tree canopies for pesticide applications research in  
1609 the UK. *Advances in Laser Remote Sensing for Terrestrial and Oceanographic*  
1610 *Applications* 3059, 143-151.

1611

1612 Walklate, P.J., Cross, J.V., Richardson, G.M., Murray, R.A., Baker, D.E., 2002.  
1613 Comparison of Different Spray Volume Deposition Models Using LIDAR Measurements  
1614 of Apple Orchards. *Biosystems Engineering* 82(3), 253-267.

1615

1616 Walklate, P.J., Cross, J.V., Richardson, G.M., Baker, D.E., Murray, R.A., 2003. Pesticide  
1617 dose adjustment to crop environment (PACE): Systems development. In: VII<sup>th</sup> Workshop  
1618 on Spray Application Techniques in Fruit Growing. Cuneo, Italy, June 2003.

1619

1620 Walklate, P.J., Cross, J.V., Richardson, G.M., Baker, D.E., 2006. Optimising the  
1621 adjustment of label-recommended dose rate for orchard spraying. *Crop Protection* 25(10),  
1622 1080-1086.  
1623

1624 Wei, J., Salyani, M., 2005. Development of a laser scanner for measuring tree canopy  
1625 characteristics: Phase 2. Foliage density. *Transactions of the Asae* 48(4), 1595-1601.  
1626

1627 Williams, L.E., Phene, C.J., Grimes, D.W., Trout, T.J., 2003. Water use of mature  
1628 Thompson Seedless grapevines in California. *Irrigation Science* 22, 11-18.  
1629

1630 Williams, L.E., Ayars, J.E., 2005. Grapevine water use and the crop coefficient are linear  
1631 functions of the shaded area measured beneath the canopy. *Agricultural and Forest*  
1632 *Meteorology* 132, 201-211.  
1633

1634 Yuri, J.A., Torres, C., Vasquez, J., 2000. Sunscald on apples. I. Evaluation of damage and  
1635 control methods. *Agro-Ciencia* 16(1), 13-21.  
1636

1637 Zaman, Q.U., Salyani, M., 2004. Effects of foliage density and ground speed on ultrasonic  
1638 measurement of citrus tree volume. *Applied Engineering in Agriculture* 20(2), 173-178.  
1639

1640 Zaman, Q.U., Schumann, A.W., 2005. Performance of an ultrasonic tree volume  
1641 measurement system in commercial citrus groves. *Precision agriculture* 6(5), 467-480.  
1642

1643 Zaman, Q.U., Schumann, A.W., Miller, W.M., 2005. Variable Rate Nitrogen Application  
1644 in Florida Citrus Based on Ultrasonically-Sense Tree Size. Applied Engineering in  
1645 Agriculture 21(3), 331-335.

1646

1647 Zheng, G., Moskal, L.M., 2009. Retrieving Leaf Area Index (LAI) Using Remote Sensing:  
1648 Theories, Methods and Sensors. Sensors 9(4), 2719-2745.

1649

1650

1651

1652

1653

1654

1655

1656

1657

1658

1659

1660

1661

1662

1663

1664

1665

1666

1667

1668

**FIGURES**

1669

1670



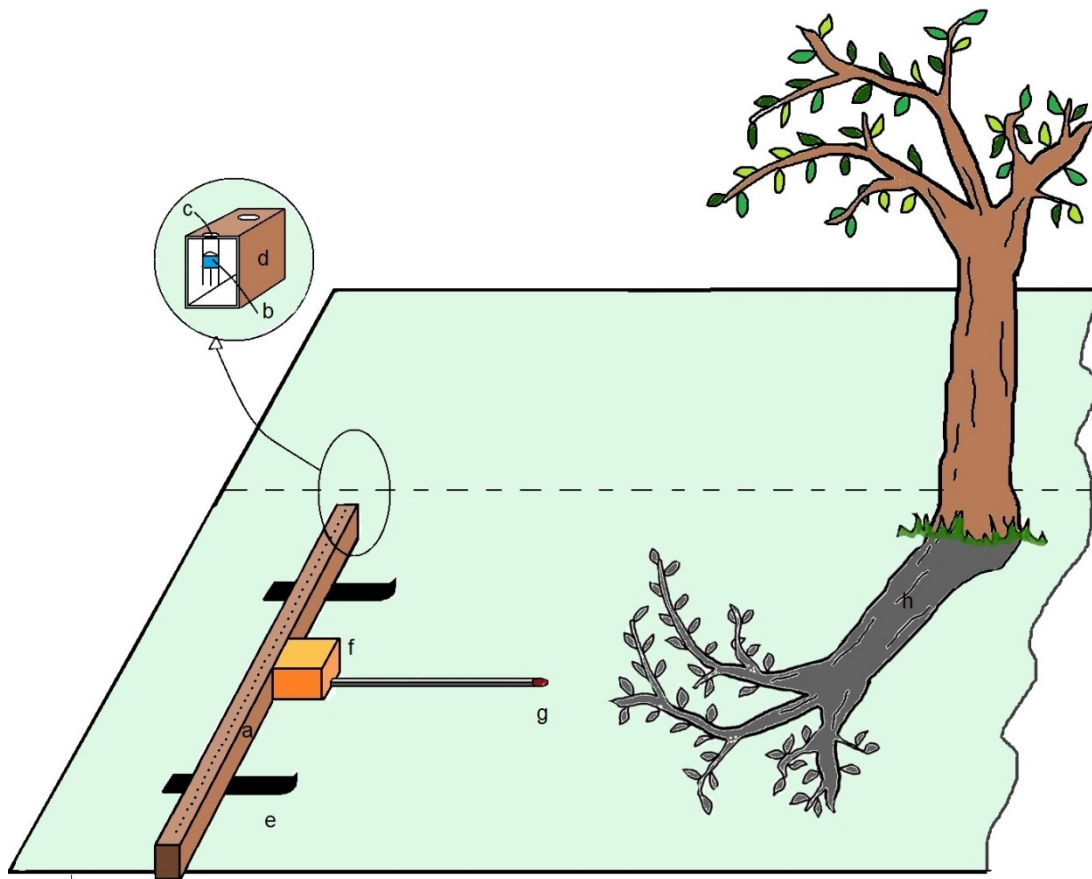
1671

1672

1673

1674 **Fig. 1.** Photography of an AccuPAR Ceptometer, model LP-80 (Decagon Devices, Inc.)

1675 showing the 84 cm. length sensing probe consisting of 80 light sensors.



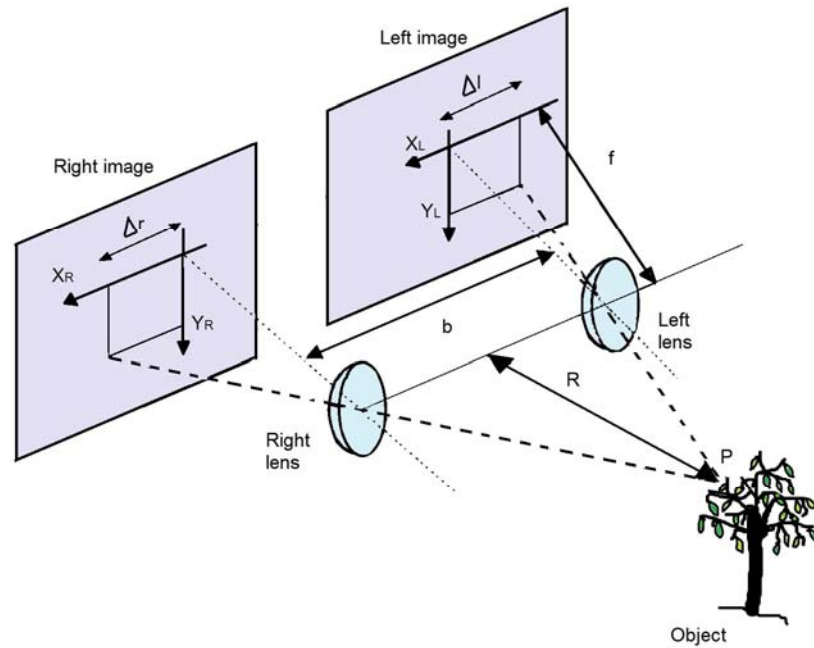
1676

1677 **Fig. 2.** Light sensor scanner for monitoring the light-shadow windows of plants. a) bar  
 1678 with light sensors; b) light sensor (NPN silicon phototransistor); c) Teflon<sup>®</sup> layer; d)  
 1679 aluminium frame; e) sledge; f) data logger and multiplexer; g) push-button; h) plant  
 1680 shadow projection (adapted from Giuliani et al., 2000).

1681

1682

1683



1684

1685 **Fig. 3.** Illustration of the basic geometrical variables involved in the determination of the  
 1686 3D spatial coordinates of a point P by stereovision techniques: b, baseline and distance  
 1687 from the two camera's lenses centres; f, lens' focal length; R, range; P, transformed point;  
 1688  $\Delta r$ , horizontal position of point P in the right stereoisimage;  $\Delta l$ , horizontal position of point P  
 1689 in the left stereoisimage. The distance R of the sensed point to the camera can be calculated

1690 as  $R = \frac{bf}{dw}$ , where d is the disparity value,  $d = \Delta l - \Delta r$ , and w is the size of the pixel in mm.

1691 Known R, the 3D spatial coordinates of the sensed point, P, can be calculated using similar  
 1692 geometrical relationships (adapted from Rovira-Mas et al., 2005).

1693

1694



1695

1696 **Fig. 4.** Ultrasonic and LIDAR sensors mounted on a tractor.

1697

1698

1699

1700

1701

1702

1703

1704

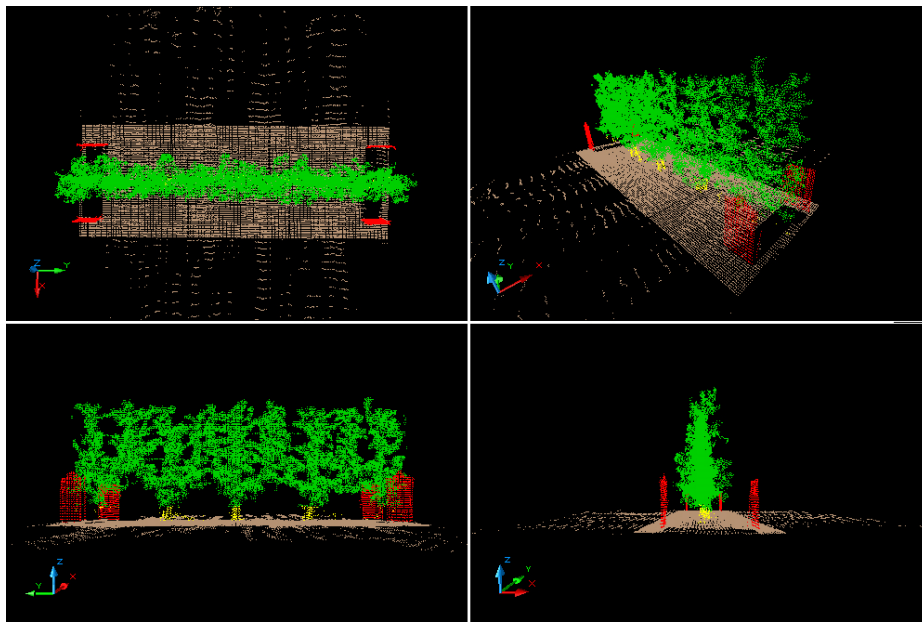
1705

1706





1707



1708

1709

1710 **Fig. 5.** Different views of the 3D structure of the pear orchard shown in the picture above

1711 obtained with a terrestrial LIDAR system (Rosell et al., 2009a).

1712

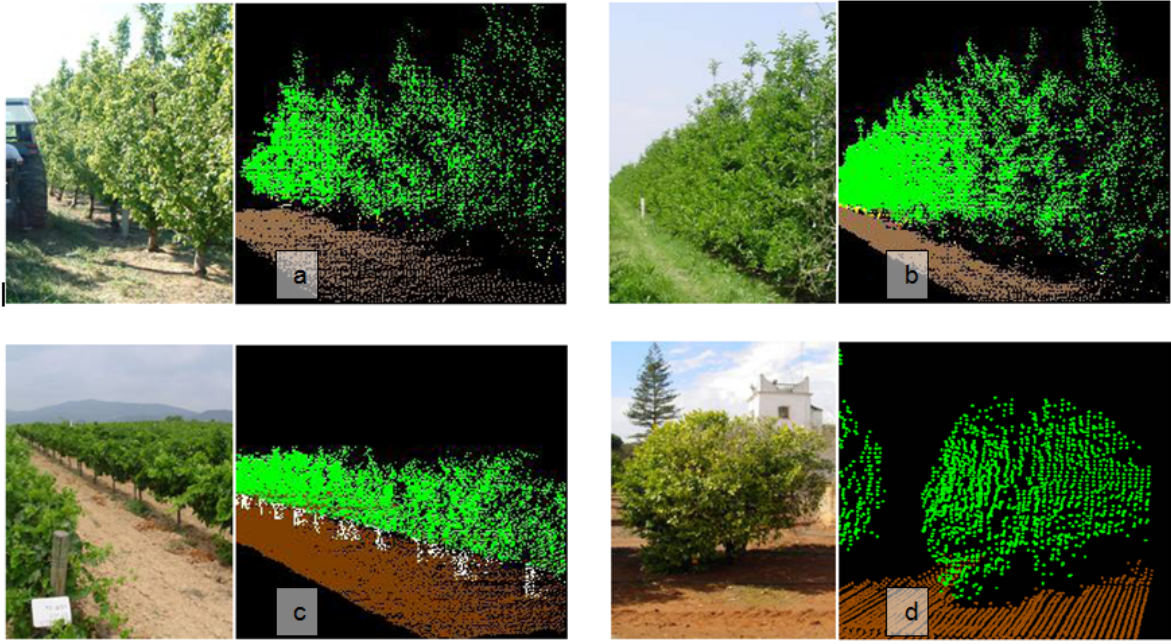


1713

1714 **Fig. 6.** Variable dose Sprayer equipped with ultrasonic and LIDAR sensors for the  
1715 electronic characterization of tree crops. This prototype automatically adjusts the applied  
1716 dose rate in a continuous variable real-time mode accordingly to the crop geometry  
1717 information supplied by the embedded sensors.

1718

1719



1720

1721 **Fig. 7.** Pictures of different crop training systems and their corresponding 3D images  
1722 obtained by a LIDAR system: pear trees (a), apple trees (b), vineyards (c) and citrus trees  
1723 (d) (Rosell et al., 2009a).

1724

1725

1726

1727

1728

1729

1730

1731

1732

1733

1734

1735

1736

**Table 1.** Physical principles and most remarkable characteristics of the main systems used for the geometrical characterization of tree crops and their main advantages and disadvantages.

SENSOR TYPE	Sensor Physical principle and Characteristics	Advantages	Disadvantages
<b>RADAR SYSTEMS</b>	<ul style="list-style-type: none"> <li>- Use electromagnetic (EM) radiation in the microwave range.</li> <li>- Are based on the measurement of the elapsed time or phase-shift of the emitted EM pulse between the emitter and the target.</li> </ul>	<ul style="list-style-type: none"> <li>- Relatively independent of atmospheric conditions.</li> <li>- Measure differences in canopy structure at large scale levels.</li> </ul>	<ul style="list-style-type: none"> <li>- Deficient spatial resolution for applications in agriculture.</li> <li>- Accurate measurement of the 3D characteristics of the canopy, such as height and volume, and the 3D spatial model of trees, remains unfeasible for the moment.</li> </ul>
<b>MEDICAL AND INDUSTRIAL TECHNOLOGIES</b>	<ul style="list-style-type: none"> <li>- High-resolution X-ray computed tomography (HRCT) is based on the measurement of X-ray radiation by high resolution detectors and tomographic techniques.</li> <li>- Nuclear magnetic resonance imaging (MRI) is based on the property of nuclear magnetic resonance (NMR) to image nuclei of atoms inside an object.</li> </ul>	<ul style="list-style-type: none"> <li>- Can provide non-invasive 3D visualizations of a wide variety of plant structures.</li> <li>- Provide digital output which permits graphic 3D visualizations as well as accurate and reproducible quantitative measurements.</li> </ul>	<ul style="list-style-type: none"> <li>- The plant samples must not exceed about one metre in diameter or in height, which makes them inapplicable to most tree crops.</li> <li>- The associated equipment is too expensive.</li> <li>- Difficult to apply in field conditions.</li> <li>- In the case of HRCT, the powerful x-ray sources employed (up to 420 kV) imply a health risk to human beings.</li> </ul>
<b>PHOTOGRAPHIC METHODS</b>	<ul style="list-style-type: none"> <li>- digital imaging cameras receive light from the object surface and converts the light into electrical signals using a charge-coupled device (CCD) image sensor.</li> <li>- digital hemispherical photography uses off-the-shelf digital cameras</li> </ul>	<ul style="list-style-type: none"> <li>- Digital cameras are low cost popular instruments ease to use.</li> <li>- Some plant characteristics, such as height, volume, leaf area index (LAI) and the foliage element clumping index can be estimated with reasonable accuracy.</li> </ul>	<ul style="list-style-type: none"> <li>- The assessment of plant geometry is a complex and slow process.</li> <li>- Not suitable for 3D real-time applications.</li> <li>- A previous calibration of the digital camera is commonly required.</li> <li>- 3D model of plants is not obtained directly but</li> </ul>

**Table 2.** Two different mathematical models to express the application doses of PPP in tree crops.

Dose Expression	Nomenclature
$Dose = \frac{Q \cdot 600}{a \cdot v} \quad [1]$	<p>Dose: volume of application (<math>l \cdot ha^{-1}</math>)</p> <p>Q: flow rate (<math>l \cdot min^{-1}</math>)</p> <p>a: width of distribution (m)</p> <p>v: speed (<math>km \cdot h^{-1}</math>)</p>
$Dose = 2 \cdot LAI \cdot D_1 \cdot 4/3 \cdot \pi \cdot (d/2)^3 \cdot 10^{-7} \quad [2]$	<p>Dose: Theoretical volume or dose to be applied (<math>l \cdot ha^{-1}</math>).</p> <p>LAI: Leaf area index (dimensionless).</p> <p>D<sub>1</sub>: Optimal density of impacts per unit area. (droplets/cm<sup>2</sup>)</p> <p>d: Average diameter of the applied droplets, expressed as the volume median diameter, VMD (μm).</p>

1740

Table 3. R<sup>2</sup> of linear regression analysis between the deposition of the product and the calculation functions of  $\alpha$ .

Model that uses:	R <sup>2</sup>
Width between the rows	0.089
Height of the plant wall	0.347
Square root of the cross-sectional area	0.373
Surface of cross section and the distance between rows	0.434
Tree Area Index	0.626
Tree Area Density	0.780
Light Interception Flux	0.520

1741

1742

Table 4. Techniques for variable dose PPP application systems.

Varying the speed of the treatment machine
Varying the flow from the nozzle
Varying the flow from the nozzle and also automatically changing it for others with higher or lower flow rates
Varying the amount of the active substance injected into the carrier substance, which is usually water, just before the emitting nozzle (Ess et al., 2001a; Humburg, 2003)
Using modulated spraying nozzle control (MSNC) (Ess et al., 2001b)

1743

1744