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- 1 The combined effects of a long-term experimental drought and an extreme drought on
- 2 the use of plant-water sources in a Mediterranean forest
- 3 Running head: Effects of drought on plant-water sources
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32 Abstract

33 Vegetation in water-limited ecosystems relies strongly on access to deep water reserves to withstand dry periods. Most of these ecosystems have shallow soils over deep 34 35 groundwater reserves. Understanding the functioning and functional plasticity of 36 species-specific root systems and the patterns of or differences in the use of water 37 sources under more frequent or intense droughts is therefore necessary to properly predict the responses of seasonally dry ecosystems to future climate. We used stable 38 39 isotopes to investigate the seasonal patterns of water uptake by a sclerophyll forest on 40 sloped terrain with shallow soils. We assessed the effect of a long-term experimental drought (12 years) and the added impact of an extreme natural drought that produced 41 widespread tree mortality and crown defoliation. The dominant species, *Ouercus ilex*, 42 Arbutus unedo and Phillyrea latifolia, all have dimorphic root systems enabling them to 43 44 access different water sources in space and time. The plants extracted water mainly 45 from the soil in the cold and wet seasons but increased their use of groundwater during 46 the summer drought. Interestingly, the plants subjected to the long-term experimental drought shifted water uptake toward deeper (10-35 cm) soil layers during the wet season 47 48 and reduced groundwater uptake in summer, indicating plasticity in the functional distribution of fine roots that dampened the effect of our experimental drought over the 49 50 long term. An extreme drought in 2011, however, further reduced the contribution of deep soil layers and groundwater to transpiration, which resulted in greater crown 51 52 defoliation in the drought-affected plants. The present study suggests that extreme droughts aggravate moderate but persistent drier conditions (simulated by our 53 54 manipulation) and may lead to the depletion of water from groundwater reservoirs and 55 weathered bedrock, threatening the preservation of these Mediterranean ecosystems in their current structures and compositions. 56

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61 Introduction

The consequences of anthropogenic climatic change in the Mediterranean Basin include 62 the ongoing increases in temperature coupled to a very likely notable reduction in 63 precipitation in summer and spring for the coming decades (Christensen et al., 2007). 64 Some Mediterranean forests have already adjusted and in some cases even adapted to 65 seasonal drought and an irregular precipitation regime, but unprecedented duration, 66 intensity and seasonality of future droughts predicted by general circulation models 67 (GCMs) could have strong impacts on the vegetation and therefore the structure and 68 function of ecosystems that are beyond the tolerance of most plants. Indeed, the 69 numbers of documented drought-induced tree mortalities and episodes of forest decline 70 in this region are growing (Peñuelas et al., 2000, 2013; Galiano et al., 2012). These 71 72 events may lead to community shifts (Mueller et al., 2005) and may cascade to affect nutrient cycling, microclimate and/or hydrology (Anderegg et al., 2013a). The 73 74 distribution of tree mortality, however, tends to be patchy across landscapes, indicating that certain individuals or populations are more predisposed to death (Suarez et al., 75 76 2004). This disparity in the responses to climate is partly driven by the interspecific differences in the ability to cope with water stress and warm temperatures (Breshears et 77 al., 2009; Allen et al., 2010; Carnicer et al., 2013a) but also by site characteristics 78 (Lloret et al., 2004). Detailed knowledge of the diversity of different responses and 79 80 plant strategies is necessary for understanding the mechanisms behind tree mortality and for improving predictions of future forest declines or community shifts. 81

82 The experimental manipulation of precipitation is useful for studying the effects of drought on forest declines (Wu et al., 2011). Such experiments in Mediterranean 83 84 forests have helped to identify the physiological, morphological, structural (Ogaya & Peñuelas, 2006; Limousin et al., 2010) and temporal (Barbeta et al., 2013; Martin-85 Stpaul et al., 2013) changes induced by drought. The projected increase in frequency of 86 extreme droughts may imply a carry-over effect of multiple droughts, where plant 87 resilience could be at risk (Anderegg et al., 2012), but more counter-intuitively, 88 structural changes caused by droughts seem to progressively enhance plant resistance 89 (Lloret et al., 2012; Barbeta et al., 2013). Consequently, long-term experiments are 90 91 desirable both to account for the accumulative effect of multiple droughts or to avoid 92 overestimating the effects of drought on vegetation (Leuzinger et al., 2011).

The use of water by plants has been well studied in temperate ecosystems, but 93 we still have limited knowledge about a wide range of processes, on scales of leaves to 94 entire landscapes, within many water-limited ecosystems (Zeppel, 2013). The effects of 95 increasing drought on the patterns of use of underground water in Mediterranean trees 96 has not been extensively studied, although recent studies have characterized seasonal 97 patterns of water uptake in some Quercus species (Kurz-Besson et al., 2014; David et 98 al., 2007; Nadezhdina et al., 2007). The stable-isotope (δ^{18} O and δ^{2} H) composition of 99 water is a powerful tool for tracing the movement of water underground (Dawson et al., 100 101 2002). Isotopic fractionation does not occur during water absorption by roots (Ehleringer & Dawson, 1992; but see Lin and Sternberg, 1993 for exceptions), so the 102 isotopic signature of xylem water can be used to determine a plant's source of water at a 103 given moment. Pools of underground water can have different isotopic signatures due to 104 differences in the original water sources (precipitation at different times of the year or 105 from different source areas), and evaporation during and after rains can markedly 106 107 change the isotopic composition of the soil water (Allison and Barnes 1992). Gradients in the compositions of H or O isotopes of the remaining soil in seasonally dry 108 environments can also develop, with water in the surface layers becoming more 109 110 enriched (leading to more positive δ values), and water in the deeper layers becoming 111 more depleted, in the heavy isotopes (Allison, 1982). Additionally, groundwater extracted from water tables or bedrock fractures can often have distinct signatures, 112 113 reflecting the isotopic composition of rainwater during either wet or cold seasons, when these pools are refilled by infiltration with little evaporation (Brooks et al., 2009). 114 115 Isotopic signatures may also reflect the biased or weighted average of annual inputs of precipitation (Ehleringer & Dawson, 1992), the subsurface fractionation caused by 116 117 water interacting with charged clays (Oerter et al., 2014) or unique redox chemical evolution (Oshun et al., 2014). These differences in isotopic signatures have been 118 119 successfully used to determine the sources of water of vegetation in the Mediterranean Basin (David et al., 2007; West et al., 2012) and other biomes (Eggemeyer et al., 2009; 120 Kukowski et al., 2013). Some studies have applied these techniques in short-term 121 experimental droughts or under extreme natural droughts (Schwinning et al., 2005; West 122 et al., 2012; Anderegg et al., 2013b; Kukowski et al., 2013), but little is known about 123 the accumulative effect of long-term experimental drought on the isotopic compositions 124 125 and sources of the water used by plants.

Some species in seasonally dry climates depend on access to groundwater for 126 withstanding periods without precipitation (Dawson and Pate 1996; Kurz-Besson et al., 127 2014; David et al., 2007; Eggemeyer et al., 2009; Rossatto et al., 2012; Zeppel, 2013; 128 129 Oshun et al., 2014). Forests commonly occur on mountainsides in Mediterranean 130 climatic zones (Carnicer et al., 2013b) where soils are shallow and roots do not reach the water table but may extract water stored in weathered bedrock (Witty et al., 2003). 131 This situation could be common among many forests in other biomes, because water 132 tables are deeper than 10 m in an estimated 44.8% of terrestrial ecosystems (Fan et al., 133 134 2013), while the mean maximum rooting depth is approximately 7 m for trees and 5 m for shrubs (Canadell et al., 1996). Nonetheless, the depth of root systems in sympatric 135 136 species in Mediterranean ecosystems may differ and sometimes co-vary with other traits 137 such as hydraulic safety margins or photosynthetic activity under water stress (West et 138 al., 2012). These characteristics define a species' water-use strategy as more isohydric or more anisohydric (Tardieu & Simonneau, 1998; Mcdowell et al., 2008). Increasing 139 140 evaporative demand, together with longer, more intense, more frequent and aseasonal 141 droughts, are likely to reduce groundwater reserves (Eckhardt & Ulbrich, 2003), so the 142 effects on vegetation would highly depend on these water-use strategies; the more isohydric phreatophytic species (West et al., 2012) would be more vulnerable to carbon 143 144 starvation caused by early stomatal closure, and anisohydric species would have a higher risk of hydraulic failure (Mcdowell et al., 2008). Ecophysiological processes of 145 146 acclimation (Matesanz & Valladares, 2013) and structural changes forced by previous droughts (Lloret et al., 2012; Barbeta et al., 2013), however, may mitigate the negative 147 effects of drought. 148

149 We present the results of an ecohydrological study applying water stable-isotope techniques in a long-term experimental drought system established in 1998. A forest 150 151 dominated by Holm oaks (Quercus ilex L.) was subjected to a 15% reduction in soil 152 moisture (matching GCM predictions for the Mediterranean Basin (Christensen et al., 2007)) that caused a drastic suppression of growth in the dominant species Q. ilex and 153 154 Arbutus unedo L. and an increase in mortality rates in Q. ilex but not Phillyrea latifolia 155 L. (Ogaya et al 2007). The effect size of the drought treatment, however, was dampened 156 over time (Barbeta et al 2013). The characterization of seasonal changes in plant-water 157 sources is crucial for understanding the mechanisms underlying these species-specific 158 responses to drought. Moreover, an extreme drought during the study period enabled us

159 160 161 162 163 164 165	to investigate the causes of drought-induced mortality in this Holm oak forest. This study asked the following questions: (i) what are/were the sources of water for each plant species, and do they change over time? (ii) did the sources of water change after 12 years of experimental drought? (iii) does constant or excessive use of deeper water sources lead to the progressive depletion of groundwater under drought? (iv) how are water sources related to species-specific drought responses? and (v) is drought-induced mortality linked to changes in usage of particular water sources?
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184 Materials and methods

185 *Experimental site*

186 The experimental site was established in 1998 at the Prades Holm oak forest in southern Catalonia (northeastern Iberian Peninsula) (41°21'N, 1°2'E) at 930 m a.s.l. on a south-187 188 facing slope (25% slope). The forest has a very dense multi-stem crown (18366 stems ha⁻¹) dominated by *Q. ilex* (3850 stems ha⁻¹ and 50 Mg ha⁻¹), *P. latifolia* (12683 stems 189 ha⁻¹ and 29 Mg ha⁻¹) and A. unedo (667 stems ha⁻¹ and 9 Mg ha⁻¹), accompanied by 190 other Mediterranean woody species that do not reach the upper canopy (e.g. Erica 191 192 arborea L., Juniperus oxycedrus L. and Cistus albidus L.) and the occasional isolated 193 deciduous tree species (e.g. Sorbus torminalis L. Crantz and Acer monspessulanum L.). The canopy in the study plots did not exceed 4 m. This forest has been managed as a 194 coppice for centuries but has not been significantly disturbed in the last 70 years. 195

196 The climate is typically Mediterranean. Since the beginning of the experiment (1998), the mean annual temperature has been 12.2 °C and the mean annual 197 198 precipitation has been 610 mm. Holm oak forests can occur at sites with a mean annual precipitation as low as 400-450 mm (Terradas, 1999). The annual and seasonal 199 200 distribution of precipitation is irregular, with annual precipitation ranging from 376 to 926 mm in the 12 years of the experiment. Spring and autumn are the wettest seasons, 201 202 and summer droughts usually last three months, during which precipitation is ~10% of 203 the annual total and coincides with the highest temperatures. Winters are relatively cold. 204 January is the coldest month (mean temperature of 4.4 °C), and the mean daily 205 temperature is below 0 °C an average of eight days per winter. The soil is a Dystric 206 Cambisol over Paleozoic schist and has a mean depth of ~35 cm. The mean annual 207 precipitation is higher than that in the driest distributional limit of Q. ilex, but the topographic characteristics of the study site represent relatively xeric conditions due to 208 209 the shallow soils and steep terrain.

The experimental system consisted of four 150-m² plots delimited at the same altitude along the slope. Half the plots (randomly selected) received the drought treatment, and the other half faced natural conditions. Precipitation was partially excluded from the plots of the drought treatment by PVC strips suspended 0.5-0.8 m above the soil and covering approximately 30% of the plot surfaces. A ditch 0.8 m in depth was excavated along the entire top edge of the plots to intercept runoff water. The water intercepted by the strips and ditches was conducted around the plots, below their bottom edges. The strips were installed below the canopy and thus did not intercept light. Litter falling on the plastic strips was regularly transferred below them to ensure that differences in the content of soil nutrients among treatments and control plots were attributable only to the availability of water for the decomposition of this litter.

221 Sampling and environmental monitoring

222 The field work was initially planned for spring 2010 to winter 2011, with one sampling 223 campaign each season. The extreme drought in the summer of 2011 offered the 224 possibility of an extra campaign to monitor plant performance under intense water 225 stress. In each of these campaigns, samples of xylem, bulk-soil and spring water were collected at midday (between 1100 and 1400). For the samples of xylem water, 3-4 226 227 sunlit twigs per tree were cut, the bark and phloem were removed to prevent interference from the isotopes in the water of the leaves and the twigs were then 228 229 transferred to borosilicate glass vials with PTFE/silicone septa tops (National Scientific 230 Company, Rockwood, USA). The vials were sealed with parafilm and stored in a 231 portable cooler to prevent evaporation. In all four plots, the same five dominant individuals of A. unedo, Q. ilex and P. latifolia were sampled in each campaign. The 232 233 samples of bulk soil were extracted with a soil corer from two layers (0-10 and 10-35 cm). The soil samples were also immediately stored in the same type of glass vials as 234 235 the xylem samples, sealed with parafilm and stored in a portable cooler. All samples were refrigerated until processing and analysis. Five locations were randomly selected 236 237 in the control plots for soil sampling. In the drought plots, five locations under the 238 plastics strips and five locations not under the strips were selected to control for 239 potentially different amounts of evaporation. Samples of spring water were collected 240 from a nearby fountain (natural spring); the isotopic signature of this water should be comparable to that of the groundwater. The experimental site is high on a ridge on schist 241 bedrock, so the groundwater may remain in rock fractures for a period of time after 242 infiltration from the surface but without forming a water table. 243

We also measured the midday foliar water potential in each field campaign with a pressure chamber (PMS Instruments, Corvallis, USA) in the same plots and species where the water samples were collected and in dominant individuals that reached the upper canopy. Ten randomly selected dominant individuals per plot and species were

sampled. The selected trees had no significant mechanical damage. Soil moisture was 248 measured each campaign by time-domain reflectometry (Tektronix 1502C, Beaverton, 249 USA) (Zegelin et al., 1989; Gray & Spies, 1995). Three stainless-steel cylindrical rods, 250 25 cm long, were vertically installed in the upper 25 cm of the soil at four randomly 251 252 selected locations in each plot. The time-domain reflectometer was manually attached to the ends of the rods for each measurement. An automatic meteorological station 253 254 installed between the plots monitored temperature, photosynthetically active radiation, air humidity and precipitation every 30 min. Both the Standardized Precipitation and 255 256 Evapotranspiration Index (SPEI) at different timescales (Vicente-Serrano et al., 2013) and the mortality rates were calculated for the study plots using the same methodology 257 258 described by Barbeta et al. (2013). Additionally, a visual evaluation of crown defoliation estimated the effect of the extreme drought in 2011. Defoliation was defined 259 260 as the percentage of leaf loss in the assessable crown, using a sliding scale of 10%.

261 *Isotopic analyses*

262 The water in the soil and xylem samples was extracted by cryogenic vacuum distillation 263 following West et al. (2006). The extraction system consisted of 10 extraction tubes connected with Ultra-Torr[™] fittings (Swagelok Company, Solon, USA) to 10 U-shaped 264 265 collection tubes specifically designed for this system. The extraction tubes were submerged in a pot containing mineral oil maintained at 110 °C, and the collection tubes 266 267 were submerged in liquid nitrogen to freeze/capture the extracted water vapor for isotopic analysis. The extraction system was connected to a vacuum pump (model RV3; 268 Edwards, Bolton, UK). The isotopic compositions (δ^{18} O and δ^{2} H) of the distilled water 269 samples were determined using isotope ratio infrared spectroscopy (IRIS) with a Picarro 270 271 L2120-i Analyzer (Picarro Inc., Santa Clara, USA). Residual organic compounds in the distilled water can interfere with the analyses of plant and soil samples conducted with 272 IRIS technology (West et al., 2010, 2011). The ChemCorrect[™] post-processing 273 274 software from Picarro, though, can determine the degree of contamination of each sample, and Picarro also offers a post-test correction for the isotopic composition of 275 276 contaminated samples. To test the reliability of IRIS and therefore our data, we analyzed 277 a subset of plant and soil samples (104, including samples from other studies) using 278 isotope ratio mass spectrometry (IRMS), which is not affected by organic compounds. A detailed description of the methodology of IRMS and IRIS analyses can be found in 279 West et al. (2011) and Goldsmith et al. (2012) for both δ^{18} O and δ^{2} H. We then 280

compared the isotopic compositions obtained by IRIS and IRMS and their postprocessing corrections and confirmed that IRIS was highly reliable for our samples. The discrepancies between the two methods remained below the instrumental errors. Nonetheless, we discarded those samples with very high concentrations of organic compounds. The isotope ratios in this study are expressed as:

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$$\delta^{18}$$
O or δ^{2} H = ((R_{sample} - R_{standard})-1)

where R_{sample} and $R_{standard}$ are the heavy/light isotope ratios (²H/H and¹⁸O/¹⁶O) of the sample and the standard (VSMOW, Vienna Standard Mean Ocean Water), respectively. The water extractions and isotopic analyses were conducted at the Department of Crop and Forest Sciences (University of Lleida, Catalonia, Spain) and at the Center for Stable Isotope Biogeochemistry (University of California, Berkeley, USA).

292 Determining the sources of plant water and statistical analyses

293 The isotopic compositions of the xylem water and its potential sources can be directly 294 compared by plotting both isotopes together (Goldsmith et al., 2012) but also by using 295 the siar (stable isotope analysis in R) package in R (Parnell et al., 2010). These 296 Bayesian mixing models estimate the most likely proportion of plant water taken up 297 from each source, which is a suitable approach in our study because three different 298 monitored sources contributed simultaneously to plant-water use. We applied these 299 models to our data to infer the relative contribution of each water source to the xylem 300 water, producing simulations of plausible contributing values from each source using Markov chain Monte Carlo (MCMC) methods. Stable-isotope mixing models are 301 widely applied to the study of food webs but can also be used for determining plant-302 water sources. Our model inputs were the isotopic composition (δ^{18} O and δ^{2} H) and their 303 304 standard errors for each potential source (shallow (0-10 cm) soil water, deep (10-35 cm) 305 soil water and groundwater) and the isotopic compositions of the xylem water, which 306 were assigned as the target values ("consumers" in Parnell et al. (2010)). We set the 307 TEF (trophic enrichment factor) to 0, because of the absence of fractionation during 308 water uptake from soil by roots (Ehleringer & Dawson, 1992), and set concentration 309 dependence to 0. We ran 500 000 iterations and discarded the first 50 000. We ran a 310 model for the isotopic values from each plant in each campaign with the isotopic values from the soil water of the corresponding plot. We thereby obtained the most likely 311

312	contribution (the mean of the posterior distribution of the MCMC simulation) of each
313	source for every plant measurement. These relative contributions were then compared
314	between seasons and species and between control and droughted individuals using
315	analyses of variance (ANOVAs) with Tukey's HSD (honest significant difference) post-
316	hoc tests. Differences in the midday foliar water potentials and stem mortality rates
317	were also evaluated by ANOVAs and Tukey's HSD post-hoc tests. Soil moisture, soil
318	isotopic signatures and crown defoliation were analyzed with generalized linear mixed
319	models (GLMMs) of the MCMCglmm package in R (Hadfield, 2010) for including plot
320	as a random factor. Furthermore, the MCMCglmm package allows fitting multi-
321	response models, and we assessed the changes in soil-water isotopic composition fitting
322	these multi-response models with $\delta^{18}O$ and $\delta^{2}H$ as dependent variables. We selected the
323	model with the lowest DIC (deviance information criterion) when several combinations
324	of independent factors and interactions were possible. All statistical analyses were
325	conducted using R version 2.14.2 (R Core Development Team, 2012).
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338 **Results**

339 Environmental data

The study was carried out between 2010 and 2011. The first year was slightly cooler 340 and wetter than the 1975-2011 average (11.0 vs 11.8 °C mean annual temperature and 341 342 687 vs 663 mm annual precipitation), but 2011 was slightly warmer and drier than 343 average (13.1 °C and 549 mm). More importantly, rainfall distribution throughout the year differed between the two years. The seasonality of rainfall was typical for this site 344 345 in 2010, with a wet spring and autumn and a summer drought that lasted two months. In contrast, 2011 had a wet March but afterward was generally very dry, with little 346 347 precipitation until the end of October. Total precipitation for 142 consecutive days was only 13 mm, without a single rainfall >3 mm, coinciding with the highest temperatures 348 349 (Fig. 1). This period from April to September was the driest since 1975, as shown by the lowest September SPEI-6 and SPEI-3 for 1975-2011 (Figs. S1 and S2). The droughted 350 351 plots during the study period had a significantly lower soil-water content than the control plots (17.32±1.56 vs 14.75±1.59%, pMCMC<0.05). Moreover, the droughted 352 plots, which had been subjected to the treatment since 1998, had an average reduction of 353 14.9±1.1% in total soil-water content (pMCMC<0.01, for 1998-2011). Soil moisture 354 355 ranged between 4.7 and 26.4% (v/v) during the period of study.

356 *Midday foliar water potential*

357 The plants in the drought treatment had significantly lower midday foliar water potentials (Ψ_{md}) than the plants in the control plots (-3.1±0.29 vs -2.8±0.28 MPa, 358 F=5.43, n=6, p<0.05). Ψ_{md} differed significantly across seasons (F=144.99, p<0.001), 359 becoming more negative in the extreme drought in 2011 (Fig. 2), and species (F=49.94, 360 p < 0.001). The seasonal variation of Ψ_{md} also differed significantly among species, as 361 shown by the interaction between species and seasonal factors (F=12.04, p<0.001), and 362 the effect of the drought treatment also varied across seasons (F=3.52, p<0.05). Mean 363 Ψ_{md} was significantly lower in *P. latifolia* than in *Q. ilex* and *A. unedo* (-3.71±0.46, -364 365 2.48 \pm 0.17 and -2.74 \pm 0.28 respectively, p<0.001, Tukey's HSD test) but did not differ 366 significantly between the latter two species.

 δ^{18} O and δ^2 H in the soil water varied with depth and season. Depth was negatively 368 associated with δ^{18} O and δ^{2} H: the shallow (0-10 cm) soil layer was significantly more 369 enriched in the heavier isotopes of O and H than the deep (10-35 cm) soil layer 370 (posterior mean of the effect (p.m.e.)=-0.12, *pMCMC*<0.001). The drought treatment 371 did not affect δ^{18} O and δ^{2} H (*pMCMC*=0.51). The values of δ^{18} O and δ^{2} H indicated the 372 seasonal patterns, being more depleted in autumn and winter than in spring and both 373 374 summers (winter p.m.e.=-0.84, *pMCMC*<0.01; autumn p.m.e.=-1.19, *pMCMC*<0.001; spring p.m.e.=2.04, *pMCMC*<0.001; summer 2010 p.m.e.=1.68, *pMCMC*<0.001; p.m.e. 375 376 respect isotopic ratios of summer 2011). Soil-water isotopic levels were significantly more enriched in heavier isotopes under the plastic strips (p.m.e.=0.76, 377 378 *pMCMC*<0.001). Water collected from a nearby spring, having an isotopic signature representative of the deeper water reserves, remained unchanged throughout the seasons 379 $(\delta^{18}O = -7.19 \pm 0.14 \text{ and } \delta^2 H = -47.34 \pm 1.29 \text{ }$). Springwater samples fell along the local 380 meteoric water line (Neal et al 1992) (Fig. 3), indicating that it did not evaporate during 381 382 infiltration.

383 Determination of plant-water sources

384 The mixing model revealed that the canopy species in Prades forest took up water simultaneously from the three well-defined water pools; shallow soil (0-10 cm), deep 385 386 soil (10-35 cm) and groundwater. The largest proportion was generally from shallow soil $(38.7\pm1.5\%)$, followed by deep soil $(31.23\pm1.4\%)$ and groundwater $(30.10\pm1.5\%)$. 387 388 Water uptake, however, strongly varied seasonally, as indicated both graphically (Fig. 3) and in the output of the siar models. The statistical assessment of these seasonal 389 390 shifts of plant-water sources is summarized in Table S1. The shallow soil layer 391 contributed the most to water uptake in autumn and winter (Table S1, Fig. 4), with 392 significantly higher proportions than in the spring and summer of 2010. The 393 contribution of the shallow soil to water uptake during the abnormally dry summer in 2011, although lower than in the cold seasons, was higher than in the spring and 394 395 summer of 2010 (Table S1). Deep soil (10-35 cm) was the main source of water in the 396 summer and spring of 2010, with lower relative contributions in cold seasons and in 397 summer 2011 (Fig. 4, Table S2 for statistics). Groundwater was the main water source

398 in the summers of 2010 and 2011 (42.84±8.58 and 39.41±2.66% respectively). The siar mixing models, however, attributed a contribution of approximately 25% of the total 399 extracted water to this water pool, even in spring, autumn and winter when surface-soil 400 water levels were high (Table S1, Fig. 4). The xylem samples to the upper left of the 401 402 soil samples and near the LMWL in Fig. 3 (autumn and winter panels) indicate that in 403 the cold seasons, the plants absorbed recent rainwater, which was not subject to isotopic 404 enrichment by evaporation from the soil surface. The seasonal patterns of water use did 405 not differ significantly among the three species (Fig. 5, Table S3).

406 The long-term experimental drought treatment significantly affected the depth 407 from which water was taken up in all seasons except for spring 2010 (Fig. 4, Table S2). 408 These effects consisted of differences in the relative contribution of the water sources in 409 response to the drought treatment. The shallow (0-10 cm) soil layer contributed 410 relatively more water to the xylems of the droughted individuals during the summer of 2010 (33.83±4.47 vs 5.58±1.94%, F=46.41, p<0.001, ANOVA; Table S2, Fig. 4). This 411 shallow soil layer, though, contributed less water to the droughted individuals in winter 412 (44.91±2.17 vs 59.71±4.06%, F=10.11, p<0.01, ANOVA; Table S2, Fig. 4). In autumn, 413 414 the deep (10-35 cm) soil layer contributed relatively more water to the droughted individuals than to the control individuals (32.54±1.57 vs 23.30±1.45%, F=17.68, 415 416 p<0.001, ANOVA; Table 4, Fig. 4). During the extreme drought in the summer of 2011, 417 the droughted individuals had reduced access to the deep water reserves (groundwater) relative to the control individuals $(33.95\pm2.99 \text{ vs } 44.64\pm4.13\%, F=4.33, p<0.05,$ 418 ANOVA; Table S2, Fig. 4). The proportion of groundwater uptake remained <30% 419 420 when the soil-water content was >15%. The soil-water content was <10% in both 421 summers, coinciding with an increase in the proportion of groundwater taken up by the 422 plants. The increase, however, was higher in the control plants (Fig. 6).

423 Stem mortality rates and crown defoliation

The extreme drought in the summer of 2011 caused a significant increase in stem mortality rates relative to 2010 (F=5.23, p<0.05, ANOVA). Stem mortality rates were significantly higher in *Q. ilex* than in *P. latifolia* (F=7.79, p<0.05, ANOVA; Fig. 7). *Q. ilex* had the second highest annual stem mortality rate in 2011 since the onset of the experiment in 1998, and *P. latifolia* had the third highest rate for the same period. *A. unedo* was not included in these analyses because of its low sample size. The

percentages of crown defoliation following the drought in 2011 were generally significantly higher in the drought treatments than in the control plots (p.m.e.=1.20, pMCMC<0.01, MCMCglmm; Fig. 8), except for P. latifolia (6.0% difference between treatments, p=0.84, ANOVA with Tukey's-HSD post-hoc tests). Defoliation percentages for both Q. ilex and A. unedo analyzed separately, however, were significantly higher in the drought plots (19.5% difference between treatments for A. unedo, p<0.01; 20.5% difference between treatments for Q. ilex, p<0.01; ANOVA with Tukey's-HSD post-hoc tests; Fig. 8).

454 Discussion

455 This study investigated the combined effects of a long-term (12 years) experimental drought and an extreme natural drought on the patterns of water uptake by a Holm oak 456 forest growing on shallow soils over schist and so lacked access to a water table. The 457 three species of trees studied have dimorphic root systems that enable access to different 458 459 water sources in space and time but used water primarily from shallow soil layers but also water stored in the fractured schist. These findings are consistent with those of 460 previous studies in other arid and semi-arid communities (Dawson & Pate, 1996; David 461 462 et al., 2013; Oshun et al., 2014). Interestingly, the relative contribution of groundwater 463 decreased in the drought treatment and during the extreme drought in 2011, suggesting 464 that plant access to deeper groundwater pools had declined over time and in the extreme 465 drought in 2011. This response was often coupled with a decrease in Ψ_{md} , hence 466 suggesting that the plants were subjected to high levels of drought-induced water stress. Recent studies have demonstrated the important role of deep water sources in the 467 468 response to extreme droughts and their links to tree mortality and species-specific 469 water-use strategies (West et al., 2012; Anderegg et al., 2013b; Kukowski et al., 2013), 470 but the long timescale of this study allowed an assessment of the accumulative effect of 471 experimental drought on root functioning and on the zones of water uptake that helped 472 sustain this functioning.

473 During seasons in which soil-water content was >15%, the soil-water pool (0-10 and 10-35 cm soil layers combined) supported forest transpiration, with a contribution 474 of at least 75% (Fig. 4). David et al. (2013) reported a contribution of soil water near 475 100% in winter in a more mesic savannah containing Q. suber oaks. The vegetation on 476 477 the steep and shallow soils of our study site thus appeared to require a contribution to 478 transpiration from the deeper groundwater, even during wet seasons. Likewise, the 479 highest transpiration rates occurred in summer in the more mesic sites (David et al., 480 2013), whereas stomatal conductance decreases in spring and summer in the Prades 481 Holm oak forest (Peñuelas et al., 1998; Ogaya & Peñuelas, 2003), suggesting that the 482 groundwater reserves may not be able to meet the high evaporative demand. The use of groundwater, though, increased in both summers (Fig. 4), confirming that the allocation 483 484 of growth to deep roots is an advantageous strategy for withstanding very dry periods

(Canadell et al., 1996, 1999). The deeper (10-35 cm) soil horizon at our site supplied 485 most of the water that plants used in the relatively wet spring of 2010. The similar 486 isotopic signatures of the xylem waters in the spring and summer of 2010 (Fig. 3) 487 488 suggest that rainwater from late winter and spring was used throughout the dry season. 489 This finding is further supported by the highest relative contribution of the water from 490 the deep (10-35 cm) soil horizon in the summer of 2010 (Fig. 4) and by correlations 491 between drought indices and stem mortality (Barbeta et al., 2013). The roots of Q. ilex can access bedrock fractures seeking moisture, especially in dry areas (Canadell et al., 492 493 1999). We also observed this capacity in the tall shrubs A. unedo and P. latifolia, in agreement with prior observations of woody Mediterranean species (Canadell & Zedler, 494 495 1995; West et al., 2012). In addition, the seasonal patterns of water uptake were 496 consistent for the three plant species we studied (Fig. 5). This finding helps us to rule 497 out the possibility of species-specific use of water sources, suggesting that the reported 498 disparity in their physiological, morphological and demographic responses to drought 499 (Martínez-Vilalta et al., 2003; Ogaya & Peñuelas, 2006; Barbeta et al., 2012, 2013) 500 cannot be directly attributed to rooting depth or seasonal patterns of water uptake, as 501 similarly found in South African fynbos (West et al., 2012).

502 The seasonal patterns of water uptake varied greatly in the three species. The 503 differences we observed in the use of water sources between the drought and control 504 treatments could be a short-term response to the lower availability of water. The effect 505 of the drought treatment on soil moisture, however, was much weaker than that of the seasonal variation. Because we did not detect differences in plant-water sources 506 507 between autumn and winter despite the different environmental conditions (highlighted 508 by contrasting plant-water status (Fig. 2)), the higher dependence of the droughted 509 plants on water from the deep (10-35 cm) soil horizon (Fig. 4) does not represent a 510 transient response (sensu Martin-Stpaul et al., 2013) but a persistent shift in the vertical 511 distribution of fine roots induced by our long-term experiment. Furthermore, short-term 512 experimental drought may not affect the depth of water uptake in trembling aspens 513 (Anderegg et al., 2013b), although the water sources for this species varied little 514 seasonally.

515 *Q. ilex* has less fine-root biomass in the top 10 cm of soil than in deeper layers 516 (Canadell *et al.*, 1999; López *et al.*, 2001), arguably because the elevated soil 517 temperatures in summer in Mediterranean ecosystems can dehydrate or even kill fine roots. Consequently, the decrease in soil moisture induced by the drought treatment may 518 have exacerbated this situation, favoring the production of fine roots in deeper soil 519 520 layers. In contrast, the lower contribution of groundwater in droughted plants in the 521 summer of 2011 relative to the summer of 2010 (Figs. 4 and 6) may be a direct 522 consequence of a decreased recharge of the groundwater or water that resides within the 523 bedrock fractures during rainy seasons. The capacity of plants to redistribute their fine roots within the soil profile, based on our results, is evidence of phenotypic plasticity in 524 525 a key trait of the plant-water relationship (root functional distribution). Understanding the limits of species-specific plasticity for any trait or suite of traits is crucial for 526 527 predicting the responses of species to environmental change (Matesanz & Valladares, 528 2013; Moritz & Agudo, 2013). Together with ecosystem structural changes (Lloret et 529 al., 2012), species-specific responses are likely to help buffer plants against the negative effects of climate change. A dampening of the drought treatment has also been observed 530 531 in our study system (Barbeta et al., 2013; Rosas et al., 2013); the effect of the drought 532 treatment on tree growth tended to decrease over time. A shift in the distribution of fine 533 roots would thus be another possible factor leading to a dampening pattern, along with 534 other alterations such as reductions in foliar area (Ogaya & Peñuelas, 2006; Limousin et 535 al., 2009) and adjustments of xylem hydraulic properties (Martin-Stpaul et al., 2013).

536 The vegetation faced average meteorological conditions in the summer of 2010, 537 but April to September 2011 was extraordinarily dry (Poyatos et al., 2013), increasing tree mortality in Q. ilex (Fig. 7) and inducing widespread crown defoliation, especially 538 539 in A. unedo and Q. ilex (Ogaya et al., 2014) (Fig. 8). The levels of soil moisture in the 540 upper 25 cm, however, were not substantially different between the summers of 2010 541 and 2011 (Fig. 1), and Ψ_{md} was more negative in the three species in 2011 (Fig. 3). 542 Plants extracted significantly more water from the 10-35 cm soil horizon and less from 543 the 0-10 cm soil horizon during the moderate drought of 2010 than during the drier summer of 2011 (Fig. 4), suggesting that the drought-induced forest decline may have 544 545 been associated with the lower contributions of deep soil-water reserves to the uptake of water by the trees. The characteristics of the geological substrate (Lloret et al., 2004), 546 547 soil depth (Galiano et al., 2012) and soil-water storage capacity may thus interact with extreme droughts to determine the patchy landscape of forest declines. Accordingly, the 548 use of deep water reserves are likely required for the maintenance of transpiration and 549

carbon assimilation during droughts in Mediterranean oaks (Canadell et al., 1996; 550 David et al., 2007; 2013). The more anisohydric P. latifolia, however, was less affected 551 by the acute drought in 2011 (Fig. 7), and its Ψ_{md} and crown defoliation appeared to be 552 553 insensitive to the drought treatment despite a similar depth of water uptake. We attribute 554 this response to its higher resistance to xylem embolism (Martínez-Vilalta et al., 2002), which allows this species to maintain carbon assimilation under water stress. The depth 555 556 of water uptake in this community thus did not seem to co-vary across species with other hydraulic properties, such as xylem anatomy and stomatal regulation. Even though 557 558 the depth of water uptake did not vary across species, the absolute quantity of water transpired by each species is likely to differ. The species-specific seasonal patterns of 559 560 transpiration rates should be combined with the depth of water uptake to obtain a 561 complete picture of species-specific water use. Moreover, some of the species studied 562 may be able to move water through roots at different depths (hydraulic lift and downward siphoning), which could mask the impossibility of the roots of the other 563 564 species to reach deep water reserves.

The lack of hydraulic niche segregation among the co-occurring species in this 565 566 Holm oak forest contrasts with the findings of other recent studies in other Mediterranean systems (Araya et al., 2011; Peñuelas et al., 2011; West et al., 2012). It 567 568 implies that the three species could be competing for the same water resources in space. 569 The seasonal resolution of our measurements, however, prevented us from assessing 570 species-specific differences in the timing of water use. The projected increase in the recurrence of extreme droughts, though, could favor the more drought-resistant P. 571 572 latifolia over Q. ilex and A. unedo. Changes in the distribution of fine roots, as 573 suggested by our data, could buffer the species against environmental change to some 574 extent, but we also found that an extreme drought could cause widespread defoliation 575 and tree mortality in Q. ilex and A. unedo (Ogaya et al., 2014) (Fig. 8), associated with a 576 reduction in groundwater uptake by these species in the drought treatment. Long and 577 intense periods of drought such as occurred during the summer of 2011 will thus likely 578 threaten the preservation of this community in its current structure and composition, and these effects will presumably be amplified by a larger depletion of deep water reserves 579 580 after several extreme droughts (see Schwinning, 2010).

The impact of recent climatic changes and particularly more acute and prolonged droughts on groundwater reserves is not well understood (Brolsma et al., 2010; Anderegg et al., 2013a; Schäfer et al., 2013). The present study suggests that extreme drought and moderate but persistent drier conditions (simulated by our manipulation) may lead to the depletion of water reservoirs from groundwater and weathered bedrock in this system. Mortality and high defoliation levels may reduce canopy transpiration and interception, which could ultimately trigger an increase in groundwater recharge. Future studies should examine the ability of the impacts of future climate on vegetation to offset the effects of a decline in precipitation and an increase in surface evaporation on groundwater recharge.

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856 Figure legends

Fig. 1 Daily precipitation and mean temperatures during the study period (2010-2011). Soil moisture in the control and drought plots. The error bars are the standard errors of the means (n=2). Arrows indicate the sampling campaigns.

Fig. 2 Seasonal variation in midday foliar water potentials of the three species for control (open circles) and droughted (closed circles) individuals. The droughted plants had significantly lower midday foliar water potentials (F=5.43, p<0.05, ANOVA). Differences between seasons and species are described in the Results section.

Fig. 3 Water isotopes for all samples of xylem (triangles), soil (circles) and spring (squares) water. All samples are plotted in the upper left panel, with the remaining panels corresponding to single seasons. The line in the panels is the local meteoric water line (LMWL), corresponding to $\Box^2 H=6.62+7.60*\Box^{18}O$ with $R^2=96.03\%$, obtained by a previous study in the same area (Neal *et al.*, 1992).

Fig. 4 Mean contributions of plant-water sources for each season in the control and drought treatments obtained by siar Bayesian mixing models. The error bars are the standard errors of the means. The asterisks denote significance levels for the comparisons between the control and drought treatments performed by ANOVAs and Tukey's HSD post-hoc tests (*** p<0.001, **p<0.01, *p<0.05, (*) p<0.1).

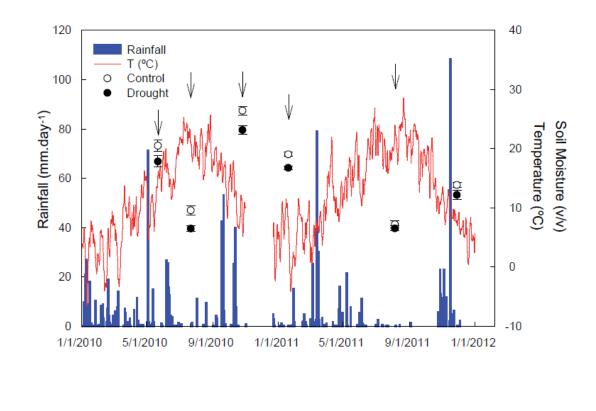
Fig. 5 Seasonal percentages of groundwater uptake in the three species for each season.The errors bars are the standard errors of the means.

Fig. 6 Relationship between percentage of groundwater uptake and soil moisture in the
two treatments. The Y-axis values are the mean seasonal proportions of groundwater
uptake for each treatment, and the three species are pooled. The error bars are the
standard errors of the means.

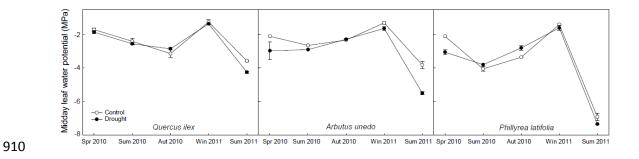
Fig. 7 Stem mortality rates for *Quercus ilex* and *Phillyrea latifolia* (2010 and 2011) calculated for the plots where the isotope samples were collected. Different letters indicate significantly different stem mortality rates, which were assessed by ANOVAs (p<0.05).

Fig. 8 Crown defoliation (%) following the extreme drought in 2011 for each species and treatment for the plots where the isotope samples were collected. The error bars are the standard errors of the means (n=10). Different letters indicate significant differences between group percentages, assessed by ANOVAs with Tukey's HSD post-hoc tests. The differences between the treatments pooling all species together were assessed using generalized linear mixed models (MCMCglmm) with plot as a random factor.

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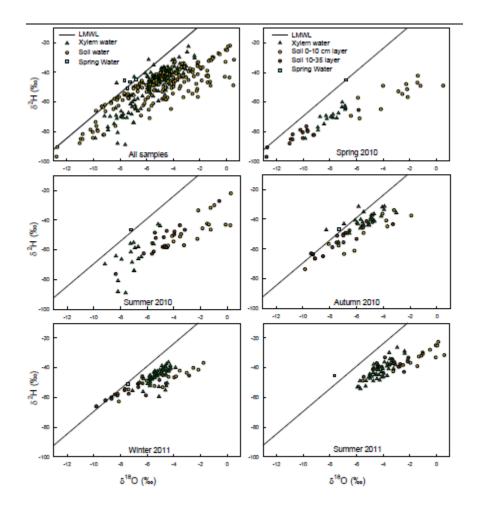






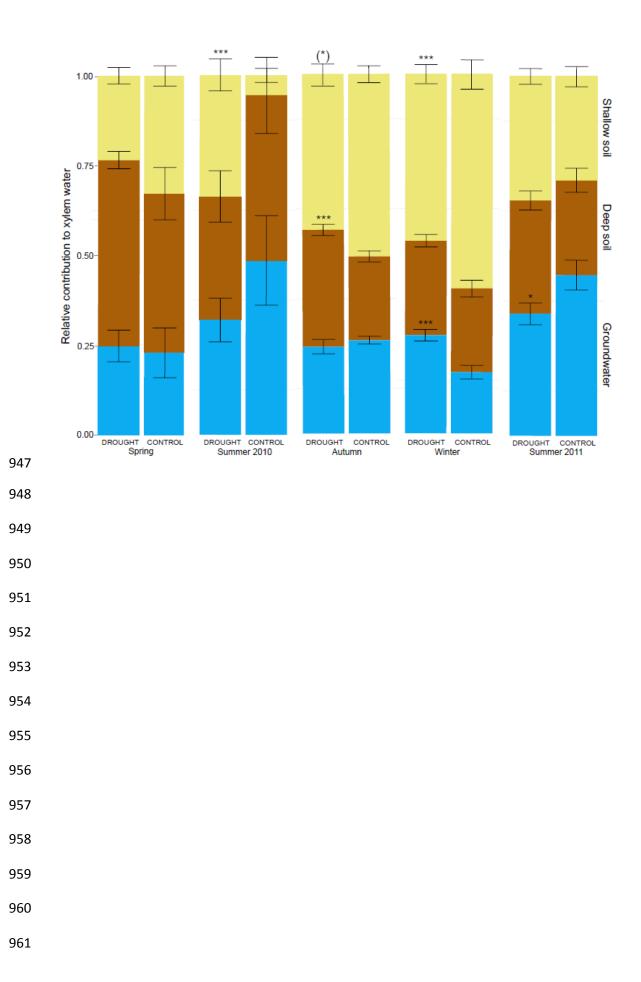
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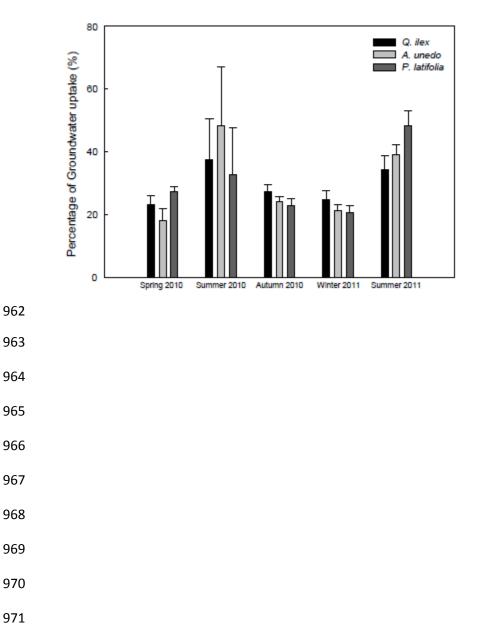
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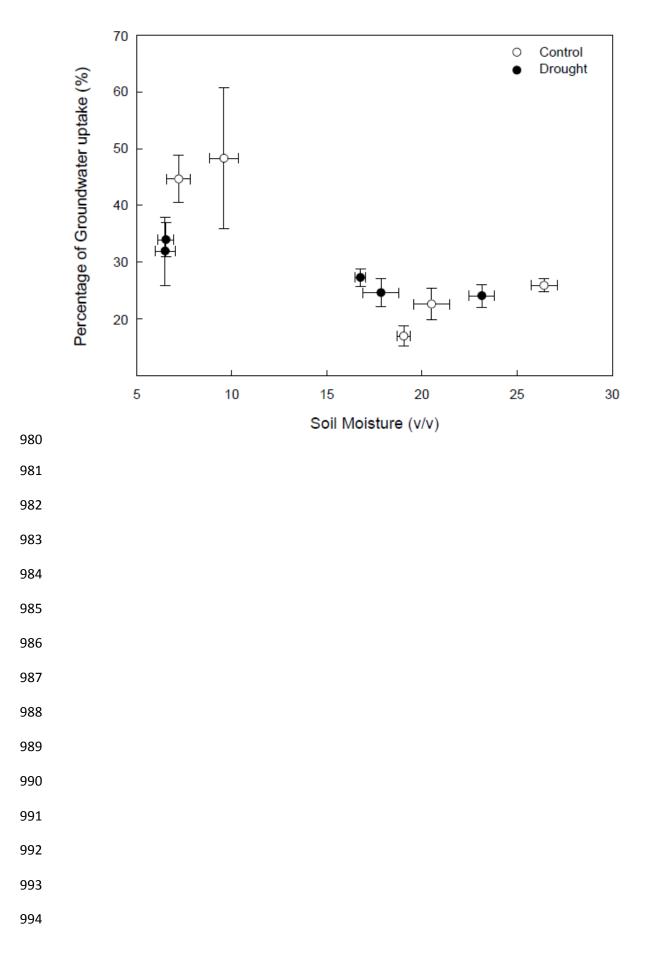


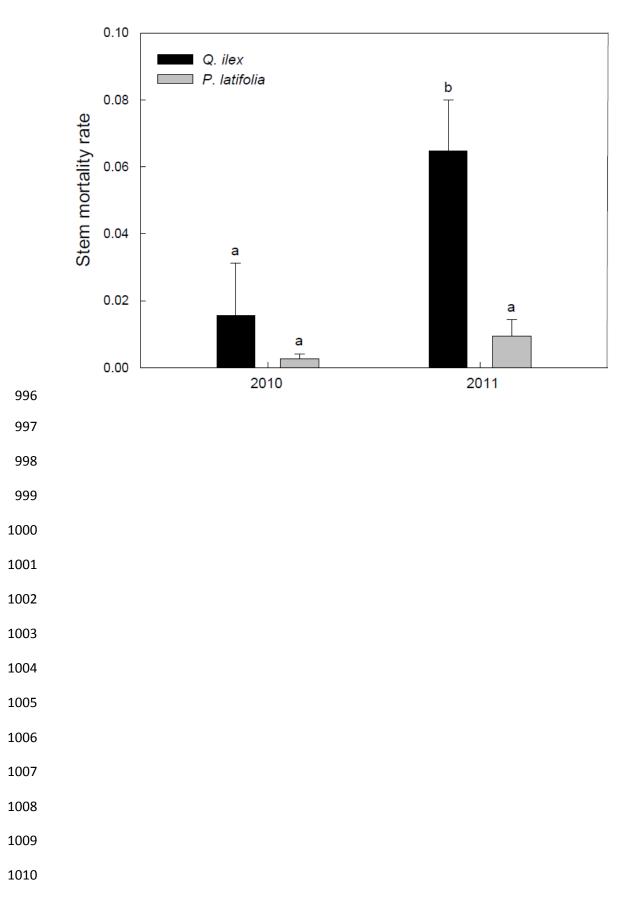


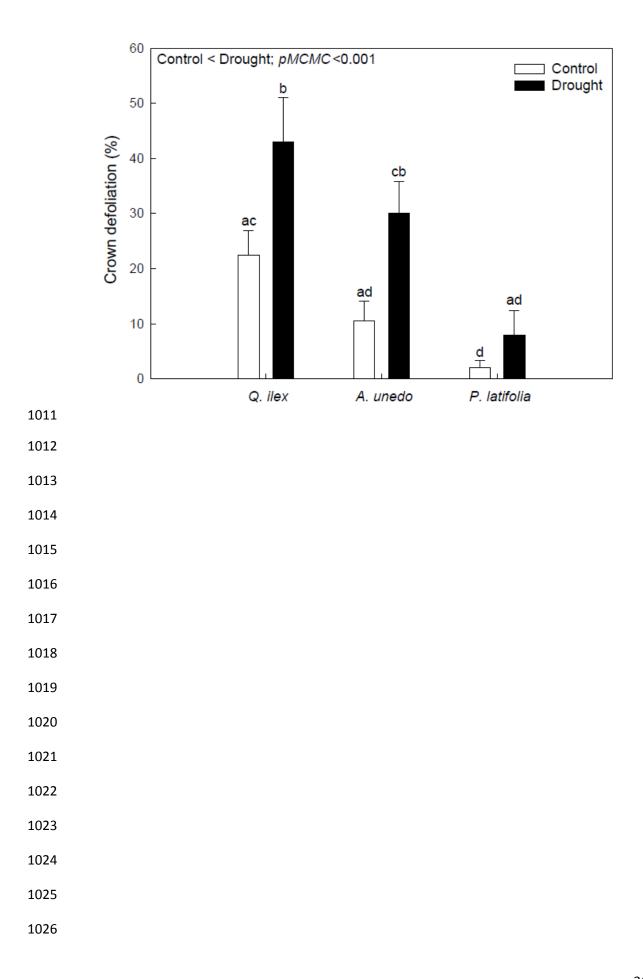












1027 Supplementary Materials

1028 Table S1. Pairwise comparison between the relative seasonal contributions of water

1029 sources using Tukey's HSD post-hoc tests. The mean difference is between pairs of 1030 seasons, and the range is the 95% confidence interval. The asterisks denote significance 1031 levels (*** p < 0.001, **p < 0.05, (*) p < 0.1).

Shallow soil (0-10 cm)	Mean difference	Range	р	_
Winter 2011 - Summer 2011	0.21	0.08	<0.001	***
Summer 2010 - Summer 2011	-0.17	0.11	<0.001	***
Spring 2010 - Summer 2011	-0.05	0.12	0.767	
Autumn 2010 - Summer 2011	0.15	0.10	<0.001	***
Summer 2010 - Winter 2011	-0.37	0.11	< 0.001	***
Spring 2010 - Winter 2011	-0.26	0.11	<0.001	***
Autumn 2010 - Winter 2011	-0.06	0.09	0.414	
Spring 2010 - Summer 2010	0.12	0.14	0.124	
Autumn 2010 - Summer 2010	0.32	0.12	<0.001	***
Autumn 2010 - Spring 2010	0.20	0.12	< 0.001	***
Deep soil (10-35 cm)				_
Winter 2011 - Summer 2011	-0.04	0.09	0.804	
Summer 2010 - Summer 2011	0.13	0.13	0.041	*
Spring 2010 - Summer 2011	0.20	0.13	<0.001	***
Autumn 2010 - Summer 2011	0.00	0.11	1.000	
Summer 2010 - Winter 2011	0.17	0.13	0.003	**
Spring 2010 - Winter 2011	0.24	0.13	< 0.001	***
Autumn 2010 - Winter 2011	0.03	0.11	0.896	
Spring 2010 - Summer 2010	0.07	0.16	0.724	
Autumn 2010 - Summer 2010	-0.14	0.14	0.056	(*)
Autumn 2010 - Spring 2010	-0.21	0.14	<0.001	***
Groundwater				_
Winter 2011 - Summer 2011	-0.17	0.09	<0.001	***
Summer 2010 - Summer 2011	0.03	0.13	0.947	
Spring 2010 - Summer 2011	-0.16	0.13	<0.05	*
Autumn 2010 - Summer 2011	-0.15	0.11	0.003	**
Summer 2010 - Winter 2011	0.20	0.13	< 0.001	***
Spring 2010 - Winter 2011	0.01	0.13	0.998	
Autumn 2010 - Winter 2011	0.02	0.11	0.972	
Spring 2010 - Summer 2010	-0.19	0.16	0.009	**
Autumn 2010 - Summer 2010	-0.18	0.14	0.004	**
Autumn 2010 - Spring 2010	0.01	0.14	1.000	

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1035 Table S2. Evaluation of the effect of the drought treatment and the species factor plus

1036 their interaction on the relative seasonal contribution of water sources by ANOVAs. The

1037 asterisks denote significance levels (*** p < 0.001, **p < 0.01, *p < 0.05, (*) p < 0.1).

Shallow soil (0-10 cm)	Df	SS	Mean Sq	F	p	
Drought	1	0.034	0.034	1.967	0.188	
Species	2	0.000	0.000	0.01	0.990	
Drought x Species	2	0.024	0.012	0.695	0.520	
Residuals	11	0.192	0.017			
Drought	1	0.319	0.319	81.876	<0.001	***
Species	2	0.003	0.001	0.32	0.732	
Drought x Species	2	0.061	0.030	7.795	0.007	
Residuals	12	0.047	0.004			
Drought	1	0.041	0.041	3.123	0.090	(*)
Species	2	0.028	0.014	1.064	0.361	
Drought x Species	2	0.002	0.001	0.076	0.927	
Residuals	24	0.312	0.013			
Drought	1	0.279	0.279	10.942	0.002	**
Species	2	0.126	0.063	2.461	0.097	
Drought x Species	2	0.079	0.040	1.551	0.223	
Residuals	45	1.147	0.026			
Drought	1	0.035	0.035	2.476	0.124	
Species	2	0.022	0.011	0.763	0.473	
Drought x Species	2	0.055	0.028	1.965	0.154	
Residuals	39	0.550	0.014			
Deep soil (10-35 cm)						
Drought	1	0.021	0.021	0.765	0.400	
Species	2	0.013	0.007	0.237	0.793	
Drought x Species	2	0.051	0.026	0.929	0.424	
Residuals	11	0.304	0.028			
Drought	1	0.057	0.057	0.308	0.589	
Species	2	0.024	0.012	0.064	0.939	
Drought x Species	2	0.105	0.052	0.283	0.758	
Residuals	12	2.219	0.185			
Drought	1	0.063	0.063	15.987	<0.001	* * *
Species	2	0.005	0.002	0.579	0.568	
Drought x Species	2	0.001	0.000	0.083	0.920	
Residuals	24	0.094	0.004			
Drought	1	0.016	0.016	1.597	0.213	
Species	2	0.037	0.019	1.883	0.164	
Drought x Species	2	0.041	0.021	2.085	0.136	
Residuals	45	0.447	0.010			
Drought	1	0.029	0.029	1.387	0.246	
Species	2	0.053	0.026	1.238	0.301	
Drought x Species	2	0.011	0.005	0.256	0.775	
Residuals	39	0.827	0.021			

	Groundwater						
	Drought	1	0.002	0.002	0.33	0.577	
	Species	2	0.014	0.007	1.507	0.264	
	Drought x Species	2	0.021	0.010	2.166	0.161	
	Residuals	11	0.053	0.005			
	Drought	1	0.107	0.107	0.627	0.444	
	Species	2	0.033	0.017	0.098	0.907	
	Drought x Species	2	0.074	0.037	0.218	0.808	
	Residuals	12	2.038	0.170		0.406	
	Drought	1	0.002	0.002	0.5	0.486	
	Species Drought x Species	2 2	0.010 0.002	0.005 0.001	1.025 0.206	0.374 0.815	
	Residuals	2 24	0.002	0.001	0.200	0.815	
	Drought	24 1	0.117	0.162	26.477	<0.001	***
	Species	2	0.026	0.013	2.142	0.129	
	Drought x Species	2	0.006	0.003	0.508	0.605	
	Residuals	45	0.275	0.006			
	Drought	1	0.128	0.128	4.485	0.041	*
	Species	2	0.135	0.067	2.351	0.109	
	Drought x Species	2	0.025	0.013	0.441	0.647	
	Residuals	39	1.117	0.029			
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Fig. S1 Temporal series (1975-2011) of the Standardized Precipitation and Evapotranspiration Index (SPEI) for September calculated for two timescales: 3 months (black line), which integrates the water balances of July, August and September, and 6 months (red line), which integrates the water balances of April, May, June, July, August and September. Note that both indices reached the period's minimum in 2011.

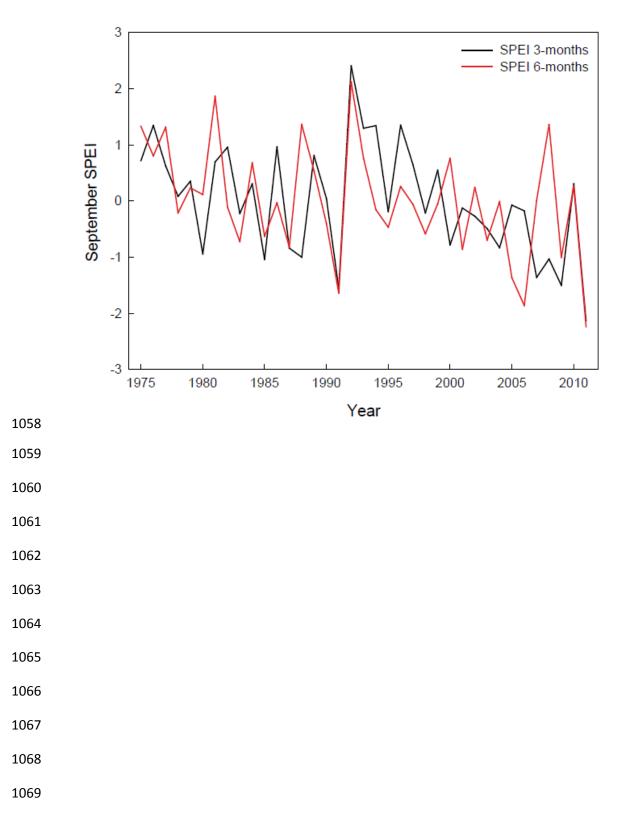


Fig. S2 Monthly values of the Standardized Precipitation and Evapotranspiration Index
(SPEI) during the study period (2010, black line; 2011, red line). Each panel
corresponds to the timescale at which the index was calculated (1 month, 3 months, 6
months and 12 months).

