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1 **Near infrared hyperspectral imaging for deoxynivalenol and ergosterol**
2 **estimation in wheat samples**

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25 **ABSTRACT**

26 The present study aimed to evaluate the use hyperspectral imaging (HSI)-NIR spectroscopy to
27 predict DON and ergosterol concentration through high-accuracy prediction and classification
28 models to quantify DON and to classify samples according to EU maximum limit (1250 µg/kg). To
29 achieve these objectives, a first set of bulk samples was scanned by HSI-NIR and divided into two
30 subsamples in which one was analysed for ergosterol and the other for DON by HPLC. The method
31 was repeated for a second larger set to build prediction and classification models. All the spectra
32 were pretreated and statistically processed by PLSR and LDA. Prediction models presented RMSEP
33 of 1.17 mg/kg and 501 µg/kg for ergosterol and DON, respectively. Classification achieved an
34 encouraging accuracy of 85.4% for an independent validation set of samples. The results confirm
35 that HSI-NIR may be a suitable technique for DON quantification and classification, although
36 ergosterol was inappropriate for DON indirect detection.

37

38 **Keywords:** Hyperspectral imaging; Deoxynivalenol; Ergosterol; Near infrared; Cereal analysis.

39

40 **Nomenclature**

41 FHB Fusarium Head Blight

42 DON Deoxynivalenol

43 HPLC High Performance Liquid Chromatography

44 ELISA Enzyme-Linked Immunosorbent Assays

45 LFD Lateral Flow Devices

46 NIRS Near Infrared Spectroscopy

47 HSI Hyperspectral imaging

48 EU European Union

49 DPI DON Preliminary Index

50	SVM	Support Vector Machine
51	PLS	Partial Least Squares
52	DA	Discriminant Analysis
53	LDA	Linear Discriminant Analysis
54	IAC	Immunoaffinity Chromatography
55	ROI	Region of Interest
56	UHPLC-DAD	Ultra High Performance Liquid Chromatography – Diode Array Detector
57	Vis	Visible range
58	PCA	Principal Component Analysis
59	RMSECV	Root Mean Square Error of Cross-Validation
60	R_{cv}^2	Coefficient of determination of cross-validation
61	RMSEP	Root Mean Square Error of Prediction
62	R_v^2	Coefficient of determination of validation
63	PC	Principal Components
64	SEP	Standard Error of Prediction
65	ABS/BC	Baseline Corrected Absorbance Spectra
66	1stD	1 st Derivate spectra
67	FDK	<i>Fusarium</i> Damaged Kernels
68	FT-NIR	Fourier-Transformed Near Infrared
69	MSC	Multiplicative Scatter Correction
70	SPA	Successive Projections Algorithm
71	SVM	Support Vector Machines
72		

73 **1. INTRODUCTION**

74 *Fusarium* is a plant pathogen extensively present in wheat that produces diseases causing the
75 loss of harvest yield, crop quality and economic value. One of the most important diseases
76 caused by these fungi is Fusarium head blight (FHB), which affects spikes causing shrivelling,
77 weight loss and discoloration of kernels (Beyer, Pogoda, Ronellen, Hoffmann, & Udelhoven,
78 2010). Moreover, under suitable environmental conditions of temperature, water activity, pH
79 and nutrient availability it is able to produce deoxynivalenol (DON), which is a mycotoxin that
80 causes negative effects to human and animal health. Ineffective approaches for DON reduction
81 in preharvested wheat and its resistance to food processing methods make DON a high
82 prevalence toxin in food chain (Pestka, 2010). For these reasons, efficient strategies to discern
83 between contaminated and toxin-free wheat batches prior to food chain entry are needed.

84 Well-known strategies such as HPLC and enzyme-linked immunosorbent assays (ELISA) are
85 extensively used for DON detection and quantification in cereal grains. However, time-consume
86 is one of the most important shortcomings of these techniques. Consequently, rapid techniques
87 for detection and measurement of DON are demanded by companies for their monitoring prior
88 to enter in food industry, such as lateral flow devices (LFD). At the moment, spectroscopic
89 techniques are promising not only for their rapid analysis power, but also because they are
90 environmental-friendly, cost-effective and non-destructive. Near infrared spectroscopy (NIRS)
91 has been already used for the determination of majority components present in food, such as
92 protein, moisture, structural carbohydrates and fats (Caporaso, Whitworth, & Fisk, 2018;
93 Pandey, Srivastava, & Mishra, 2018). The application of this technique to minority pollutants,
94 as DON, has been investigated by many authors. Some studies have also evaluated the influence
95 of ergosterol in the NIR spectra for its determination and prediction in relation with the
96 *Fusarium* growth and damage (Dowell, Ram, & Seitz, 1999; Mancinelli, Costantini, & Rossi,
97 2014). Ergosterol is a predominant chemical component of the fungi cell membrane. At the
98 same time, it is a minor or absent component in most plants and consequently it indicates the
99 presence of fungal growth in cereal products (Seitz, 1979).

100 Hyperspectral imaging (HSI) is a novel approach that presents improvements on the
101 conventional NIR devices. Its main advantage is that it provides spectral information of each
102 pixel of the captured image. Thus, it is a high-performance analytical device, especially in
103 heterogeneous samples. The most appropriate spectrometry technology for grain evaluation is
104 the diffuse reflectance which is able to partially penetrate into the sample to show their physical
105 and chemical characteristics (Fox & Manley, 2014). In addition, the push broom imaging is the
106 most widely used technique for HSI recording. This measurement mode is based on the
107 scanning through the 'y' axis for the recording of NIR spectra of each pixel of the 'x' dimension
108 for all its wavelength range (Boldrini, Kessler, Rebnera, & Kessler, 2012).

109 Several studies tested the HSI spectroscopy for *Fusarium* damage assessment. Most of them
110 used the visual inspection as the reference method for HSI calibration, in which kernel
111 symptoms have been used for kernel classification (Delwiche, Kim, & Dong, 2011;
112 Ropelewska & Zapotoczny, 2018; Serranti, Cesare, & Bonifazi, 2013). Otherwise, ergosterol
113 has not been extensively used for HSI calibration. However, as the ergosterol is considered an
114 indicator of fungal growth (Magan, 1993), some authors used this chemical compound for the
115 estimation of fungal damage in cereals (Börjesson, Stenberg, & Schnürer, 2007; Delwiche et al.,
116 2011; Dowell et al., 1999). In addition, the influence of ergosterol on the spectra near the 1200
117 nm band has also been studied (Delwiche et al., 2011; Delwiche, Rodriguez, Rausch, &
118 Graybosch, 2019; Femenias, Gatiús, Ramos, Sanchis, & Marín, 2020). Consequently, an
119 ergosterol calibration for a rapid determination of fungal growth would be an improvement to
120 the visual inspection, which is an imprecise and qualitative method. Moreover, a well-fitted
121 ergosterol prediction model in which the fungal alteration of wheat is evaluated, could be used
122 as an indirect method for DON contamination estimation.

123 Likewise, HSI-NIR has been used for rapid DON detection and quantification in wheat.
124 Barbedo, Tibola, & Fernandes (2015) focused on the correlation between the visual symptoms
125 and DON concentration by a *Fusarium* index determination in which they demonstrated that
126 visual symptoms are not totally correlated with DON contamination. A subsequent study

127 (Barbedo, Tibola, & Lima, 2017) aimed to classify wheat samples into two (above or under the
128 EU limit, 1250 µg/kg) and three groups (< 500 µg/kg; 500-1250 µg/kg; > 1250 µg/kg) using a
129 confusion matrix. In this report, a DON preliminary index (DPI) was used to obtain notable
130 classification accuracies above 70 %, although, due to its low correlation (0.54) with DON
131 concentration, a prediction model was not explored. Moreover, Liang et al. (2018) also
132 classified wheat samples according to DON mean concentrations into three groups (< 250
133 µg/kg; 1162 µg/kg; 2665 µg/kg) using a high complexity model by the combination of Support
134 Vector Machine (SVM) and Partial Least Squares – Discriminant Analysis (PLS-DA). Recently
135 published studies determined a positive correlation between the spectral signatures of wheat
136 kernels and wheat flour and fungal DNA and DON content (Alisaac et al., 2019).

137 In order to contribute to the performance improvement of the fungal and DON contamination
138 prediction, this study aimed to develop an enhanced predictive method. Firstly, HIS-NIR
139 spectroscopy was used to attempt the indirect determination of DON, first by evaluating the
140 coefficient of determination of ergosterol which could explain DON and then by the calibration
141 of an ergosterol predictive model as indicator to fungal presence. Secondly, using a
142 considerable number of samples compared to other studies with similar purposes, DON
143 quantification and classification of wheat samples according to the established EU limit by HSI-
144 NIR analysis was carried out. PLS and LDA were used as the multivariate statistical techniques
145 to reach these objectives (Esbensen, Swarbrick, Westad, Whitcombe, & Anderson, 2018).

146 **2. MATERIALS AND METHODS**

147 **2.1. Determination of ergosterol and DON concentration in wheat samples by** 148 **UHPLC**

149 **2.1.1. Reagents and chemicals**

150 Water was obtained from a Milli-Q® SP Reagent water system from Millipore Corp. (Brussels,
151 Belgium). Methanol and acetonitrile (HPLC grade) were purchased from Scharlab (Sentmenat,
152 Spain). Mycotoxin standards of DON were purchased from Romer Labs (Tulln, Austria).

153 Immunoaffinity chromatography (IAC) columns for DON (DONPREP®) were acquired from R-
154 Biopharm (Rhone LTD Glasgow, UK). Potassium hydroxide was obtained from VWR Prolabo
155 (Geldenaaksebaan, Leuven) and n-hexane was purchased from VWR BDM Prolabo (Fontenay-
156 sous-Bois, France). Mycotoxin standards of DON was purchased from Romer Labs (Tulln,
157 Austria) and ergosterol was acquired from Sigma (St. Louis, Mo).

158 **2.2. HIS-NIR experimental work**

159 **Instrumentation and data acquisition by HSI-NIR**

160 A push-broom hyperspectral imaging system composed by a Pika NIR-320 camera assembled
161 in RESONON Inc. (Boezman, MA, USA) was used. The device consists in an InGaAS sensor
162 line scan camera with 320×256-pixel resolution, a 30×30 μm pixel size, a 14-bit resolution A/D
163 spectrograph (Goldeye G-008 SWIR TEC1, Allied Vision Technologies GmbH, Germany). The
164 spectral resolution is 4.9 nm (164 spectral bands from 900 to 1700 nm), with 320 pixels of
165 spatial resolution and frame rate of 520 fps. The objective lens has 25 mm of focal length (F/1.4
166 SWIR, 0.9-1.7 μm, 21 mm image format, c-mount) and are positioned 220 mm above the image
167 surface. Illumination unit is composed by a four halogen lamps lighting system with Lambertian
168 filters fixed on an adjustable tower that are turned on at least 20 min before the image
169 acquisition. The illumination system was powered by Samplpower® power converter (SEC-
170 1223CE, Burnaby, BC, V5A 0C6, Canada) which provides a highly regulated output DC
171 voltage of 13.8 Volts at 23 Amps with an AC input of 230 Volts, 50 Hz. Finally, a motorized
172 linear translation stage of 600 mm was also used, which permitted the scan of the full sample
173 having the optical systems fixed.

174 The Spectronon PRO software was used to control Resonon's benchtop for the image
175 processing. The intensity readings of each test sample data array were automatically
176 transformed to reflectance by dividing the dark current-subtracted intensity by the dark current-
177 subtracted white standard intensity at each of the corresponding wavelengths (1). A dark current
178 intensity image was collected before samples' scanning to remove dark current noise by
179 covering the camera lens. Likewise, intensity from a 99% white reflectance standard, made of

180 polytetrafluoroethylene (Spectralon™, SRT-99-120, Labsphere, North Sutton, NH, USA) to
181 correct illumination effects, was collected immediately after the dark current image. These two
182 images were applied to the subsequent sample intensity images.

$$183 \quad I = \frac{I_0 - I_b}{I_w - I_b} \quad (1)$$

184 where I_0 is the raw hyperspectral image obtained, I_w is the white reference and I_b is the dark
185 current reference. In addition to dark and absolute reflectance response, the pixel illumination
186 saturation was also adjusted by the camera controls. Framerate and integration time were
187 established so that no pixel on the image was saturated.

188 The work was divided in two trials. In the first one, 14 g of wheat kernels were scanned without
189 any specific template. Mean spectrum of the bulk sample was recorded. This process was
190 repeated in triplicate, shaking between each scanning, to obtain a change in the kernel
191 orientation of the whole sample and representative spectra of its characteristics. Once the
192 spectra were recorded, the samples were ground with an IKA® A11 Basic mill (Darmstadt,
193 Germany). Ground wheat obtained in each sample (14 g) was scanned in the same way as for
194 the whole kernels. After these two steps, each sample was divided into two equal parts of 7 g,
195 one for ergosterol extraction and the other for DON extraction. The second trial was also
196 divided in two steps, in the first, 14 g of bulk wheat kernels were scanned in triplicate. The
197 samples were ground as in the first trial and the resulting product was scanned for three times.
198 In this case, the 14 g were entirely used for DON extraction.

199 In all cases, a black tray was used to reduce the background noise on the image and to obtain an
200 accurate pixel selection. Images were adjusted to 350 bands for horizontal size and
201 approximately 90 mm of vertical size. The pixel selection was done by the collection of the
202 mean reflectance's of similar spectrum pixels by Euclidian distance that are best adjusted to the
203 region of interest (ROI) to remove the background signal. Mean spectra for full samples were
204 recorded as text file for their subsequent exporting to the spectral analysis software.

205 **2.3. Preparation of ergosterol solutions**

206 Standard solutions were prepared dissolving 20 mg of ergosterol in 10 mL of dissolvent
207 (dicloromethane:isopropanol, 99:1, v/v). 3 mL of the solution were evaporated by nitrogen
208 steam at 40 °C. The result was resuspended in methanol (HPLC grade). Calibration curves were
209 prepared by appropriate dilution of known volumes of the stock solution in methanol.

210 **2.4. Preparation of DON solutions**

211 DON concentration in the stock solution was checked by UV spectroscopy according to AOAC
212 Official Methods of Analysis, Chapter 49 (AOAC, 2005), obtaining a concentration of the stock
213 solution of 1336 µg/mL. Standard solutions of DON were prepared in methanol at a
214 concentration of 10 µg/mL and stored at 4 °C. Calibration curves were prepared by appropriate
215 dilution of known volumes of the stock solution with the mobile phase.

216 **2.5. Ergosterol extraction in wheat**

217 A total of 270 wheat samples were supplied by a feed producing agricultural cooperative. Their
218 origin was Lleida province. They were taken within its quality control programme from each
219 incoming truck. From the whole homogenized sample, a subsample (200-500 g) was sent to our
220 laboratory. Concisely, 7 g of previously ground wheat with an IKA® A11 Basic mill
221 (Darmstadt, Germany) were mixed with 40 mL of methanol and 10 mL of hexane. The mix was
222 stirred 30 min at 112×g and filtered through a sieve of filter paper. 20 mL of the solution were
223 transferred to a tube and 2 g of KOH were added. The tube was shaken with a magnetic stirrer
224 and was placed into a bath at 55-60 °C for 20 min. The ergosterol extraction was performed
225 adding, in a first step, 2 mL of bi-distilled water (to cool the solution) and 2 mL of hexane. The
226 solution was stirred to enable a liquid-liquid extraction and the upper layer corresponding to the
227 hexane phase was recovered. Two additional liquid-liquid extraction steps with 2 mL of hexane
228 were performed, recovering a final extract volume from the three extractions of 6 mL. This
229 volume was evaporated with low nitrogen steam at 40 °C. The dried samples were resuspended
230 into 1 mL of methanol (HPLC grade) before being injected into the UHPLC-DAD system.

231 **2.6. DON extraction in wheat**

232 As stated before, the same samples were used for a mycotoxin analysis. DON was extracted
233 using specific IAC columns (DONPREP®) following the manufacturer's instructions. The
234 mycotoxin extraction followed a slightly modified version of the methodology used by Vidal,
235 Sanchis, Ramos, & Marín (2018). Briefly, 7 g or 14 g, for the first and the second trial,
236 respectively, previously ground with an IKA® A11 Basic mill (Darmstadt, Germany) were
237 mixed with 42 and 78 mL, correspondingly, of MiliQ water in a 250 mL Erlenmeyer flask,
238 followed by 10 min stirring. Then, samples were centrifuged for 10 min at 1780×g. Supernatant
239 was filtered through a 9 mm of diameter glass microfiber filter paper (Whatman™ GF/A,
240 Maidstone, UK) and 5 mL of the filtrate was passed through the IAC column. The column was
241 then washed with 10 mL of bi-distilled water and the toxins were eluted with 3 mL of methanol
242 HPLC-grade (the first 1.5 mL performing back-flushing). Samples were evaporated under a low
243 nitrogen stream at 40 °C and resuspended in the mobile phase (methanol:acetonitrile:water,
244 5:5:90, v/v/v). Every resuspended extract was filtered through a nylon filter (0.4 µm) before
245 being injected into the UHPLC-DAD system.

246 **2.7. HPLC system for ergosterol analysis**

247 The HPLC equipment consisted of a HPLC Waters 2695 system, with a Waters Spherisorb
248 5 µm ODS2, 4.6 × 250 mm analytical column coupled with a Waters 2487 UV/Visible dual λ
249 absorbance detector set at 282 nm. The mobile phase was methanol (HPLC grade) at 1 mL min⁻¹,
250 the injection volume was 100 µL and the total run time was 18 min for each sample analysis.
251 Ergosterol standard was purchased from Sigma (St. Louis, Mo) for calibration line ($R^2 = 0.99$).
252 The limit of detection (LOD) of ergosterol in wheat was considered 0.5 mg/kg.

253 **2.8. UHPLC system for DON analysis**

254 The determination of DON was performed using an Agilent Technologies 1260 Infinity UHPLC
255 system (California, USA) coupled with an Agilent 1260 Infinity II Diode Array Detector
256 (DAD). A Gemini® C18 column from Phenomenex 150×4.6 mm (California, USA) with a

257 particle size of 5 μm and a pore size of 110 \AA was used. Absorption wavelength was set at 220
258 nm. The mobile phase was composed of methanol:acetonitrile:water (5:5:90, v/v/v) and set at a
259 flow rate of 1 mL min^{-1} . The column temperature was 40 $^{\circ}\text{C}$, the injection volume was 50 μL
260 and total run time was 15 min for mycotoxin analyses. The performance of the method for the
261 quantification of DON in wheat was previously published in Vidal et al. (2018), in which the
262 LOD was considered to be three times the signal of the blank (50 $\mu\text{g/kg}$).

263 **2.9. Hyperspectral data processing for bulk ergosterol and DON determination**

264 Firstly, the spectral data was obtained recording sample's mean reflectances for 167
265 wavelengths of 14 g from 50 samples as explanatory variables. A total of 150 images for
266 unground samples and 150 images (50 samples in triplicate in both cases) for ground samples
267 were obtained to develop a cross-validated model. The triplicates were achieved by shaking the
268 samples between scans to randomly distribute the unground kernels or ground meal to obtain a
269 major representativeness of the sample. The 14 g from each sample were divided into two
270 subsamples of 7 g, one for ergosterol and the other for DON analysis. The ergosterol
271 concentration range went from 1.9 to 18.7 mg/kg and DON contamination range included
272 samples from <LOD to 2660.0 $\mu\text{g/kg}$. These results were obtained by HPLC and were
273 categorized as the dependent variables.

274 Secondly, the prediction model was performed collecting sample's mean reflectances for 167
275 wavelengths of 14 g from 165 samples in the DON concentration range of <LOD - 2660.0
276 $\mu\text{g/kg}$ as explanatory variables and DON concentration obtained by UHPLC as dependent
277 variable. A total of 495 images (165 samples in triplicate) were obtained to develop a cross-
278 validated model. The same samples were divided in two sets, in which kernels arising from 83
279 samples were scanned (249 images) for the calibration set and kernels from 82 samples (246
280 images) for the validation set.

281 Mean spectra were calculated from the pixel spectra of all scanned kernels. Triplicate spectra
282 were treated independently to introduce a total of 495 observations in the multivariate analysis
283 tool (The Unscrambler 7.6 SR1 software by CAMO AS, Oslo, Norway, 2001). On one hand, the

284 reflectances were transformed by a baseline correction to the absorbance spectra and, on the
285 other hand, a first derivative application. A PLS model was built for each transformation and it
286 was refined in order to simplify the model. Two prediction models were built. The first
287 regression model used a full cross-validation to obtain the best RMSECV as possible. The
288 second one used the two divided sample sets, in order to obtain the prediction performance with
289 the RMSEP (Root Mean Square Error of Prediction). Some spectra were removed as they were
290 considered outliers for the all the models. The criteria followed for outlier detection was to
291 represent the influence plot, and reject those spectra with higher residual Y-variance, which
292 described the mismatch between the sample and the model. The samples with high leverage and
293 low residual Y-variance were considered extremal samples and they were maintained in the
294 model. Sample with a high leverage and with high residual Y-variance were considered out of
295 adjustment and with a reduced importance on the model, thus they were rejected. Less than 10%
296 of the original spectra were removed. The criteria used to select the number of PCs to optimize
297 the models (for cross-validation and for test set) was the PC number where the first minimum
298 on the curve of the Root Mean Square Error (RMSE) occurs. Validation accuracy was assessed
299 by the performance parameters, that is, the slope, correlation, coefficient of determination,
300 RMSEP and Standard Error of Prediction (SEP).

301 The classification models were developed using the data from the preceding PLS model, that
302 were 495 images from 165 samples at different concentrations. First, a cross-validated
303 classification model was achieved. Moreover, the images were distributed into two sets, each of
304 them covering the widest range of concentrations as possible for an independent validated
305 model. Statistical analysis was performed with JMP PRO 14.1.0 (SAS Institute Inc., 2018)
306 software using Linear Discriminant Analysis (LDA) model able to characterize two or more
307 classes, first by a dimensionality reduction step and a second classification stage. The limit
308 established for the two classes separation was the EU legal limit for DON (1250 $\mu\text{g}/\text{kg}$). From
309 the 249 images used for the calibration and the 246 for the validation set, 411 corresponded to
310 kernels arising from samples below 1250 $\mu\text{g}/\text{kg}$ of DON and 84 to kernels from samples above

311 the legal limit. Accuracies were obtained as the percentage (%) of correctly classified images
312 from the total (495).

313 **3. RESULTS**

314 **3.1. Ergosterol distribution in wheat samples**

315 The mean concentration of the 50 samples was 6.13 mg/kg and the standard deviation was 3.64
316 mg/kg. The concentration range comprised samples from <0.5 mg/kg to 18.65 mg/kg. Finally,
317 the coefficient of variation (CV) obtained was 59.33 %. Fig. S1 (supplementary material)
318 represents the frequency of distribution of ergosterol concentration in the whole data set. The
319 highest frequencies are obtained for the medium-low concentrations (from 2 to 12 mg/kg), so
320 the distribution of the data is asymmetric under the mean.

321 **3.2. Ergosterol quantification in wheat ground and unground samples through** 322 **HIS-NIR spectroscopy**

323 PLS regression between Y variable (ergosterol HPLC results) and X variables (HSI-NIR
324 results) was performed for both whole and ground samples. The models were cross-validated as
325 they were calibrated with just 150 images. The performance results for raw spectra and both
326 spectral pretreatments are included in Table 1.

327 The application of 1st derivative on the raw spectra seemed to be the best pretreatment for wheat
328 unground samples, obtaining the lowest RMSEP (1.17 mg/kg) and the highest slope and
329 coefficient of determination (0.92 and 0.89, respectively) (Fig. 1). However, the model needed a
330 higher number of principal components (21) for its calibration. The models were debugged by
331 removing 14 outliers.

332 In the case of ground samples, 9 samples were considered as outliers. The results for the three
333 applied pretreatments are presented in Table 1. The best fitted model was the one built on the 1st
334 derivate spectra, in which the lowest RMSEP (1.57 mg/kg) was obtained and the slope and the
335 R² were higher than the obtained with other pretreatments (0.84 and 0.77, respectively) (Fig. 1).

336 Nevertheless, the principal components used in this model were also higher (23), so the model
337 presented more complexity.

338 Based on these models, we considered to estimate DON concentration based on the ergosterol
339 regression. To appraise the ability of ergosterol differences (independent variable, X) to explain
340 DON variability (dependent variable, Y), a regression between both variables was performed
341 (Fig. S2, supplementary material). It was carried out for 50 samples in which DON and
342 ergosterol were previously analysed by HPLC. The coefficient of determination (R^2) of the
343 adjustment was 0.37. This means that ergosterol could only explain a 37 % of the DON
344 variation, thus it was concluded that ergosterol is not appropriate for indirect DON
345 determination, even though it could determine the fungal growth. For that reason, a direct DON
346 quantification by HSI-NIR is required.

347 **3.3. DON variability in wheat samples**

348 The distribution of DON concentration in the samples used in the present study for DON
349 quantification and classification trials are reported in Fig. S3 (supplementary material). The
350 statistics for the calibration and the validation sets are shown independently, with mean DON
351 concentrations of 497.7 and 467.1 $\mu\text{g}/\text{kg}$, respectively. Both values are considered as low-
352 contamination, due to the high frequency of samples above the LOD. Samples defined as high-
353 contaminated contained maximum concentrations of 3537.0 and 2628.5 $\mu\text{g}/\text{kg}$ for the
354 calibration and the validation sets, respectively. Fig. S3 represents the frequency and the
355 distribution of the reference DON content. The frequency histogram showed the number of
356 samples in each category. A high-density of samples are located in the medium-low
357 contaminated area of the representation which follows a non-Gaussian distribution, in which
358 most of the DON contents are under the mean. This fact is expected to be due to the
359 heterogeneity between batches in which fungal production of DON can depend on
360 environmental factors, such as moisture, temperature or harvest season. The samples were
361 divided with the aim to obtain two sets covering the widest range as possible and with similar

362 frequencies in all the levels of contamination for the calibration and validation. Fig. S3 shows
363 that both sample groups showed similar distributions.

364 **3.4. Quantification of DON in unground samples by HIS-NIR PLS regression**

365 The models were based on 495 images (from 165 samples in triplicate) used for the calibration
366 of the model. Different spectral pretreatments were introduced in order to find the best fitted
367 model with the lowest error of prediction as possible so, apart from the raw data, a baseline
368 correction to the absorbance spectra (ABS/BC) and a first derivative (1stD) were also tested. As
369 the models were verified by cross-validation and for an independent test set (dividing the
370 samples in two sets of 83 samples for calibration and 82 samples for validation), a total of six
371 models were assembled for each data set. For both validations, the models were built using the
372 same sample set. Their parameters are presented in Table 2.

373 From the original 495 images, a total of 23 (4.64 %) were rejected as outliers. The predicted
374 versus measured plot and the performance parameters for the independent validation of best
375 fitted model are shown in the Fig. 2. The trend line corresponds to the prediction regression line
376 obtained for the validation of the model. The slope obtained was 0.59, the offset was 13.03
377 $\mu\text{g}/\text{kg}$, the correlation was 0.81, the coefficient of determination (R_v^2) was 0.61 and the root
378 mean square error of prediction (RMSEP) was 501.36 $\mu\text{g}/\text{kg}$. The optimum number of PC used
379 to fit the model were 13. From the spectral range used (895–1730 nm), two characteristic
380 wavelengths contributed substantially to explain DON variance within the model. These
381 spectral bands were a maximum peak at 1220 nm and a minimum at 1380 nm, whose regression
382 coefficients had higher positive and negative weights than the rest of the wavelengths. Thus,
383 both bands contributed significantly to the prediction of DON.

384 **3.5. Classification of unground samples according to DON concentration by**

385 **LDA**

386 The 495 mean spectra from unground wheat samples used for the calibration of the models were
387 introduced to the JMP Pro statistics software for a LDA classification. Two models were built,

388 one was validated by cross-validation and the other dividing the samples into training and
389 validation sets. The classification threshold was established in the actual maximum EU limit
390 (1250 µg/kg) for unprocessed cereals.

391 The results obtained for the cross-validated models are shown in the Table S1 (supplementary
392 material). The use of 1st derivative spectra led the higher classification accuracies for LDA in
393 which a 95.66 % of the samples were correctly classified. Only 24 samples were incorrectly
394 classified, so it would be the best model for sample classification according to their DON level
395 for a single data set. Otherwise, the classification accuracies achieved for the independent
396 validation set were similar for the three pretreatments (Table 3). Despite of the similarity in the
397 accuracies, the best accuracy was obtained for the 1st derivate pretreatment, in which 82.93 %
398 of samples were correctly classified. Despite the similarity in the validation accuracies with
399 other pretreatments, it was selected for its higher correctness in the training set (97.99), which
400 provides robustness to the model. Consideration should be given to the significant number of
401 samples with DON levels below the EU limit incorrectly classified as highly contaminated. It
402 may be due to the elevate number of samples below the 1250 µg/kg (411) in comparison with
403 the samples above the threshold in both calibration and validation sets.

404 **3.6. Quantification of DON in ground samples by HIS-NIR PLS regression**

405 The predictive model for ground samples was based on the regression of the same samples than
406 in previous models. As for unground sample analysis, the same spectral pretreatments were
407 applied to the raw data and validated in two ways, thus, six models were also built. The results
408 obtained for all the models are collected in Table 4. The models with the best performance were
409 obtained for the data transformed with the 1st derivative, which had the lower RMSEP and the
410 higher R^2 . The independent set validated model is represented by the measured versus predicted
411 plots in the Fig. 3.

412 First, for the original 495 images used for the cross-validated model, 24 (4.84 %) were
413 discarded as they were detected as outliers. These 471 samples were used to both calibrate and
414 validate the model. The most remarkable performance parameters were a slope of 0.72, an offset

415 of 108.57 $\mu\text{g}/\text{kg}$, a correlation of 0.83, a coefficient of determination (R_{cv}^2) of 0.69 and a root
416 mean square error of prediction (RMSEP) of 403.29 $\mu\text{g}/\text{kg}$. The model needed 16 PC to be
417 correctly fitted.

418 Likewise, the same pretreatments were applied for ground samples spectra divided into a
419 calibration and a test set. Only 5 (1.98 %) outliers were discarded from the calibration data as
420 they were considered abnormal compared with the major part of the data. The regression
421 parameters were a slope of 0.55, an offset of 122.30 $\mu\text{g}/\text{kg}$, a correlation of 0.78, a coefficient of
422 determination (R^2) of 0.59 and a root mean square error of prediction (RMSEP) of 518.95
423 $\mu\text{g}/\text{kg}$. The predictive model needed a smaller number of PC than for its cross-validated
424 obtaining an optimum number of PC of 10. In this case, two wavelengths were also detected to
425 highly contribute to explain the variance of DON into the model. However, these two
426 wavelengths were positioned at the spectral extremes (941.7 and 1728 nm), where for the 1st
427 derivate spectra noise is enhanced (Agelet & Hurburgh, 2010). A third wavelength (1380 nm)
428 also had a significant weight on the model (local minimum), which matched with the
429 characteristic wavelengths found in the models for unground wheat. This fact led us to select
430 unground samples as a more reliable choice for model development.

431 **3.7. Classification of ground samples according to DON concentration by LDA**

432 A total of 495 images were used for they classification by LDA. Initially, all the images were
433 used for the estimation of the classification performance by a cross-validation. Then, they were
434 divided into a training and a validation set for an independent validation. The process used to
435 obtain ground samples consisted only in a milling step, in which the product obtained was not
436 refined, so the wheat bran was maintained in the samples. Thus, the classification threshold was
437 established at the UE maximum limit of DON (1250 $\mu\text{g}/\text{kg}$), as for unprocessed cereals.

438 The first model results are collected in the Table S2. As for the unground sample analysis, the
439 1st derivative pretreated spectra presented the highest classification accuracy (97.18 %), beyond
440 the non-treated and the absorbance and baseline corrected spectra results (94.35 and 84.05 %,
441 respectively). An important consideration should be given to the low number of over-limit

442 samples misclassified as under-limit contaminated samples in all cases. For the 1st derivative
443 model a single high-contaminated sample was classified under the limit. Conversely, the LDA
444 results for the training and validation sets are gathered in the Table 5. The highest accuracy for
445 the training set was obtained for the 1st derivate spectra with 99.20 %. In addition, the
446 accuracies obtained for the validation set were similar for both absorbance and baseline
447 corrected spectra and for 1st derivate spectra (87.81 and 85.37 %, respectively), although the 1st
448 derivative model presented a higher overall accuracy. For the absorbance transformed and
449 baseline corrected spectra, the calibration efficiency was poorer than in the validation step. This
450 could occur as the validation was done with a different sample set not used in calibration.
451 Nevertheless, the accuracies of the 1st derivative corrected spectra as a whole (training and
452 validation) presented higher overall performances than the ABS/BC transformed data set
453 efficiencies. As in the cross-validated models, few samples with concentrations over the limit
454 were misclassified as under-limit.

455 **4. DISCUSSION**

456 **4.1. Ergosterol quantification in wheat samples through HIS-NIR spectroscopy**

457 Ergosterol was detected in all wheat samples, with most of the samples showing medium-low
458 content, while few samples presented high concentrations. This suggests that all samples
459 presented fungal contamination. Our ergosterol results presented higher contents than
460 Perkowski et al. (2008) who presented a range of 0.40-3.40 mg/kg for naturally-infected wheat
461 and similar results to Lamper et al. (2000) with a range from 1.46 to 42.14 mg/kg. This
462 demonstrated that our samples covered a wider range of concentrations than others naturally-
463 infected, making them appropriate for HSI-NIR evaluation.

464 In the present work, the quantitative correlation between fungal growth, in terms of ergosterol
465 content, and DON incidence in wheat was assessed. A weak correlation of 0.63 between
466 ergosterol and DON concentrations was observed. Comparing our study with that of Barbedo et
467 al. (2015), in which they tried to establish a correlation between a *Fusarium* Index (based on
468 visual symptoms) and DON, they obtained a correlation of 0.84, which is higher than ours. Both

469 visual symptoms and ergosterol content are indirect indicators of fungal growth which may be
470 representing different stages of fungal growth, thus none of them are perfect. Furthermore,
471 ergosterol content accounts for fungal presence and not exclusively for DON-producing
472 *Fusarium* incidence, so we are obtaining an estimation of fungal growth on wheat, although it
473 can be due to other species. Moreover, ergosterol could be produced in wheat before DON
474 production, thus the correlation between fungal growth and this compound is not perfect.

475 In our previous study (Femenias et al., 2020) we assessed the correlation between kernel
476 symptomatology and DON contamination using PCA, which showed that, in general, DON
477 contaminated kernels showed visible symptoms, but could also occur in asymptomatic kernels.
478 Nevertheless, the kernels used to build the PCA were not individually analysed, but the
479 contamination was evaluated from the whole sample, so it must be taken in consideration. Paul,
480 Lipps, & Madden (2005) study evaluates the relationship between visual *Fusarium* Damaged
481 Kernels (FDK) assessment and DON, in which they also obtained an imperfect correlation of
482 0.73. Moreover, Stanisiz, Zgola-Grezekowiak, Stepien, & Beszterda (2015) obtained a weaker
483 R^2 (0.068) between ergosterol and DON concentration in wheat samples. Even though the
484 ergosterol is found in higher concentrations than DON and it would be a great compound for
485 indirect DON detection, the correlation between both substances is insufficient for accurate
486 mycotoxin detection. In addition, to build a DON predictive analysis from wheat ergosterol
487 content by HSI-NIR, the predictive deviation of its adjustment should be added to the weak
488 ability of ergosterol to predict DON ($R^2 = 0.37$), thus the indirect detection would present higher
489 disadvantages in comparison with a direct model calibration of DON by HSI-NIR.

490 Interestingly, the HIS-NIR models from unground samples performed better than those for
491 ground samples. This fact could be attributed to the fact that ergosterol is expected to be present
492 in the surface of the kernels as a result of fungi colonising their surface, thus grounding would
493 led to a reduced detection of ergosterol. The high-influence coefficients of regression were
494 extracted for the best fitted model. Two maxima (983.8 and 1701 nm) and two minima (960.3
495 and 1380 nm) peaks are considered to have the highest weights on the model, which would

496 explain ergosterol variability. One of the first publications that quantified ergosterol in wheat
497 samples by NIR was from Dowell et al. (1999) who adjusted their model with a R^2 of 0.62 and a
498 SEC of 108 mg/kg for ergosterol concentrations above 50 mg/kg. As in our study, they used all
499 the spectral range that was comprised between 500-1700 nm. These results were improved by
500 recent reports (Berardo et al., 2005; Börjesson et al., 2007) that worked with maize and barley,
501 respectively. Berardo et al. (2005) best regression models showed a R^2 of 0.81 and a SEP of
502 1.74 mg/kg using characteristics bands of 1430, 1470, 1820, 2140, and 2180 nm and Börjesson
503 et al. (2007) detected ergosterol by NIR reflectance with a R^2 of 0.83 and a RMSE of 4.5 mg/kg,
504 considering the whole complex information from the NIR region to estimate ergosterol. Our
505 best results (1st derivate spectra for unground kernels) showed lower errors (RMSEP = 1.17
506 mg/kg) and higher R^2 (0.89). In our study, four wavelengths (960, 983.8, 1380 and 1701 nm)
507 presented higher weights on the whole model as they explained much variance of ergosterol
508 than other bands. Only the 1400 nm region of the spectra was also used in previous studies for
509 ergosterol detection. Furthermore, HIS-NIR spectroscopy may be more appropriate to describe
510 ergosterol content by the reflectance of the surface of unground wheat kernels, due to our results
511 for ground samples presented weaker adjustment parameters (R^2 of 0.77) than previous reports.
512 In conclusion, HIS-NIR spectroscopy would be a suitable method to assess grain quality in
513 terms of fungal presence, but not to assess wheat safety in terms of DON.

514 **4.2. DON quantification in wheat samples through HIS-NIR**

515 From the 165 original samples analysed by the reference method, 62 presented concentrations
516 under the LOD. Ideally, the data set should represent the widest DON concentration range as
517 possible which represents the maximum variability to build a solid prediction model. Our results
518 presented a distribution of DON which showed a high density of samples in low concentrations.
519 Despite this, the key point of this study is that it was carried out with naturally-contaminated
520 samples, and consequently, results are directly applicable in real situations for DON prediction
521 in wheat. In addition, the data set was equally divided into calibration and validation groups,
522 covering similar ranges of concentration. Balanced sets of data are required to obtain validation

523 performance parameters that represent the maximum variance of the model calibration. The
524 models were performed for unground and ground wheat samples for which 1st derivative was
525 the best pretreatment for both. For the intact grain, a slope of 0.59, a R² of 0.61 and a RMSEP of
526 501 µg/kg were obtained. For the milled, a slope of 0.55, a R² of 0.59 and a RMSEP of 518
527 µg/kg were achieved. These results provide slightly higher performances for unground samples
528 than for ground. Avoiding the grinding step presents advantages to the method, which would be
529 faster and the complexity of the method is reduced.

530 Preliminary studies used pre-HSI technology for DON quantification, in which the majority are
531 based on NIR, Fourier Transformed NIR (FT-NIR) and Middle Infrared (MIR). Although these
532 technologies are not able to recognize the spatial distribution of the cereals, first approximations
533 were developed for mycotoxin content prediction by Peiris, Pumphrey, & Dowell (2009). In
534 their study, they mentioned the typical absorption wavelengths of DON, which were 1408 nm,
535 1904 and 1919 nm for the second derivative spectra. To reach their results, they worked with
536 pure DON diluted into acetonitrile to scan it by NIR, consequently the results are not entirely
537 comparable as they worked with a different matrix. Although the spectral range used in our
538 study do not cover the same spectral range (maximum 1728 nm), our characteristic wavelength
539 (1380 nm) is close to the 1408 nm band reported in the mentioned study. In our research, the
540 extracted 1380 nm band was highly explanatory in both ergosterol and DON models. This led
541 us to consider that DON producing fungi presence could be explained by the abovementioned
542 NIR region.

543 Dvořáček, Prohasková, Chrpová, & Štočková (2012) estimated DON content in intact wheat
544 samples by FT-NIR, thus we can discuss their results with our outcomes for unground wheat.
545 Their best PLS-DA result showed a high correlation of 0.92, but also a high SEP of 2.35 mg/kg.
546 Unlike in our study, they used ELISA as reference method and they worked on artificially
547 contaminated samples at a higher DON range (0-90 mg/kg). Moreover, they identified two
548 spectral regions between 1390-1770 nm and 1880-2070 nm which would describe DON
549 contamination. Our methodology is focused on the NIR spectra, which goes from 895 nm to

550 1728 nm, thus only the first region could be compared to our results. Conversely, Jin et al.
551 (2014) obtained a R^2 of 0.46 for NIR analysed wheat inoculated single kernels with a DON
552 contamination range from 0.46 to 29.25 mg/kg. Different reference methods from our study
553 were used (GC-MS and visual inspection). In the same way we proceeded in our study, they
554 used the whole spectra for the calibration of the model. Additionally, the study of Peiris, Dong,
555 Davis, Bockus, & Dowell (2017) obtained a SEP of 2400 $\mu\text{g}/\text{kg}$ and an R^2 of 0.48, and, in this
556 case, the whole spectral range with a mean centering was used for the calibration process. They
557 scanned 65-70 g of non-milled wheat, thus their model parameters can be compared to ours for
558 unground samples. However, some spectral bands were related to the presence of DON, as they
559 correlated them with the bonds vibrations of the DON molecule. They found spectral peaks in
560 their regression coefficients at 1310, 1400, 1420, 1920 and 1960 nm. The first three could be
561 compared to our peak at the same spectral region (1380 nm) which led us consider it as the
562 region of maximum information for DON. It is remarkable that the present study is the first one
563 in which naturally contaminated wheat samples were used for HSI-NIR technology.

564 HSI-NIR technologies have been used by few authors for DON quantification. Although the
565 study was performed with oat single unground kernels, Tekle, Mage, Segtnan, & Bjornstad
566 (2015) used HSI-NIR for a rapid DON estimation. The PLS performance cannot be fully
567 compared with our results, as they used a cubic logarithm of DON concentration as dependent
568 variable for oat single grain. However, an optimal correlation of 0.81 was achieved. Only one of
569 the peaks which permitted the DON detection were comparable to our spectral range, which was
570 the 1400 nm wavelength. In this case, it is also very close to the band which explained more of
571 the information in our model (1380 nm). In our previous study (Femenias et al., 2020), the PLS
572 regression parameters for bulk samples were poorer than in the present one, although the
573 number of samples in the present study have been increased, and exactly the same kernels from
574 each sample were used for both analysis. The R^2 has increased from 0.27 to 0.61 and the
575 RMSEP has been reduced from 1174 $\mu\text{g}/\text{kg}$ to 501 $\mu\text{g}/\text{kg}$. Moreover, the 1st derivative of the
576 spectra, which was not established as the best spectral pretreatment method for DON-

577 contaminated single kernel discrimination by PCA in our previous work, presents the best
578 results for bulk kernel analysis by PLS regression in the present work. However, comparing the
579 absorbance and baseline corrected spectra model results from both studies, the PLS parameters
580 have also improved (R^2 of 0.52 and RMSEP of 561 $\mu\text{g}/\text{kg}$). The characteristic peaks obtained in
581 the previous study were local minima or maxima in 955 nm, 1287, 1403, 1455, 1528, 1671 and
582 1714 nm. The pretreatment of the spectral data may introduce changes in our spectral behaviour,
583 but our peaks are still comparable to the ones obtained in unground models previously presented
584 (1220 and 1380 nm). However, the selection of the unground model for DON determination
585 would avoid characteristic bands near the extremes which introduce noise to the ground wheat
586 model (Agelet & Hurburgh, 2010; Yao & Lewis, 2010).

587 Our results can be compared with De Girolamo, Lippolis, Nordkvist, & Visconti (2009)
588 outcomes, who also worked with ground naturally-contaminated wheat samples and with a
589 similar concentration range (50-3000 $\mu\text{g}/\text{kg}$), but they used FT-NIR to scan milled and sieved
590 wheat. For our ground model, we obtained a similar slope and coefficient of determination for
591 the cross-validated model (0.72 and 0.69, respectively) than in their case (0.71 in both cases).
592 Nevertheless, our RMSECV was better (403 $\mu\text{g}/\text{kg}$) than the one obtained in the previous study
593 (516 $\mu\text{g}/\text{kg}$). For the independent validation of the model of milled samples, the R^2 was slightly
594 lower (0.59) than the compared study (0.63) and the RMSEP was better (518 $\mu\text{g}/\text{kg}$) than the
595 868 $\mu\text{g}/\text{kg}$ obtained by De Girolamo et al. (2009). Moreover, the high-influence peaks of this
596 study were situated at 1409 nm region and at the 1904 nm band. Our results pointed out also to
597 the spectral region near the 1400 nm, which may be related to DON contamination. In addition,
598 De Girolamo, Cervellieri, Visconti, & Pascale (2014) used FT-NIR for DON evaluation in
599 ground naturally-contaminated wheat samples. In this case, the range of concentration raised up
600 to 16,000 $\mu\text{g}/\text{kg}$. First, PLS results for ground and sieved samples presented a reduced
601 prediction capacity in comparison with our model (only ground). They obtained a RMSEP of
602 1,977 $\mu\text{g}/\text{kg}$ and an R^2 of 0.63. Although their coefficient of determination was similar to ours
603 (0.69), their RMSEP was much higher than ours (403 $\mu\text{g}/\text{kg}$). This increase in the RMSEP could

604 be attributed to the raised up DON range, which depends on the original Y variable scale. In the
605 last two studies, both sieved their samples with a sample size $< 500 \mu\text{m}$. This step may reduce
606 sample heterogeneity at the expense of losing DON, which is found with higher probability at
607 the external layer of the kernel. Thus, during the milling step, the larger particles corresponded
608 to the outer layer while the small particles to the endosperm. To avoid DON loss in our samples,
609 we avoided the sieving step, which also introduces time consume and complexity to the
610 analysis.

611 **4.3. Classification of wheat samples according to DON levels through HSI-NIR**

612 Peiris et al. (2010) published one of the first studies focused on wheat sorting according to
613 kernel DON levels by NIR spectroscopy. The methodology used is not fully comparable with
614 ours, as they worked with single kernel analysis and they had a high concentration range.
615 However, they achieved a classification accuracy of 96 % fixing the threshold in 60 mg/kg.
616 Despite of the high accuracy obtained, the cut-off established was too high to be applied to
617 contaminated batches at industrial level.

618 As in the present study, De Girolamo et al. (2014, 2009) also classified milled wheat samples by
619 LDA. In the first study, they obtained an accurate precision of 69% of correctly classified
620 samples with a DON contamination cut-off in 300 $\mu\text{g}/\text{kg}$. A high precision accuracy from 75-
621 90% was achieved for 3 classes discrimination ($< 1000 \mu\text{g}/\text{kg}$; $1000\text{-}2500 \mu\text{g}/\text{kg}$; $> 2500 \mu\text{g}/\text{kg}$)
622 by De Girolamo et al. (2014) using FT-NIR, which is comparable to our results of more than a
623 82% of correctly classified samples. Despite of the high-accuracy of classification obtained,
624 from the 495 spectra used in this work, only 84 corresponded to samples above the UE
625 maximum limit. Future studies should evaluate the introduction of similar frequencies of DON
626 between groups above and under the threshold to have the same weight in the model.

627 Peiris et al. (2017) also employed the FT-NIR device for DON classification in different
628 thresholds. The spectral range was comprised between the non-detectable levels to 58,100
629 $\mu\text{g}/\text{kg}$. Their closest cut-off to the one used in this work was 2000 $\mu\text{g}/\text{kg}$. Their overall
630 classification accuracy for this cut-off was 87%, similar to ours. Nevertheless, their

631 classification accuracy for the samples below the threshold (only a 7.2% of the total samples)
632 was 38.9%. In our case, we used a higher number of samples below the limit with 96 % of
633 correctly classified samples. This demonstrated that it may be possible to manage naturally
634 contaminated wheat batches in which these moderate concentrations are frequently found.
635 However, we need a more balanced data set in which high-contaminated samples are found in
636 similar frequencies than low-contaminated ones.

637 Moreover, Barbedo et al. (2017) published the first study which tried to evaluate DON by HSI
638 in the NIR region. Despite they did not consider feasible the estimation of DON content, they
639 focused in a classification method of wheat batches in two or three categories. The two
640 categories model followed the EU legal limit for unprocessed cereals (1250 $\mu\text{g}/\text{kg}$) as the cut-
641 off. They achieved a correctly sorting ratio of 81% for two groups splitting which is slightly
642 lower than ours (83%). Our study presented differences in the sampling conditions, in which the
643 sample consisted in 14 g (approximately 400 kernels), different to their 30-50 kernels in each
644 image. Consequently, our sample volume would be more representative of the whole batch for
645 future classifications, in which more spectral features can be represented.

646 A more statistically complex research has been published by Liang et al. (2018) in which
647 spectral preprocessings and novel algorithms were assembled for the determination of different
648 DON levels in wheat bulk samples. The classes were divided into three mean levels of < 250,
649 1162 and 2665 $\mu\text{g}/\text{kg}$ for a PLS-DA classification. Samples containing from 250 to 5000 $\mu\text{g}/\text{kg}$
650 were analysed by HSI-Vis/NIR. The wavelength range was between 250-1000 nm, so that only
651 one peak (980 nm) can be compared with our spectra (893-1731 nm). Consequently, their
652 characteristic wavelengths cannot be compared with the present study, as they worked in a
653 different spectral range. Even though the computation of their models was complex, they
654 obtained high classification accuracies achieving their best result for the MSC-SPA-SVM
655 combination, which obtained a 97.75% of correctly classified samples for the test set.

656 **5. CONCLUSIONS**

657 Food and feed industries have an urgent need for rapid methods which allow analysis and
658 rejection of mycotoxin contaminated batches in a short period of time. Traditional screening
659 analysis, such as ELISA and LFD, could be replaced by rapid and non-destructive screening
660 methods with the application of HSI-NIR technique for fungal growth and DON inspection. It
661 has been demonstrated that in spite of the low correlation between ergosterol and DON,
662 successful outcomes of ergosterol quantification with high performance could be achieved by
663 HSI-NIR. In addition, the findings established that it could be possible to quantify DON in bulk
664 naturally contaminated wheat samples with a 501.36 µg/kg error of prediction. With such error
665 this quantification could be used as a screening tool. However, the classification model used to
666 reject samples according to the UE maximum limit presented remarkable accuracies (> 90%),
667 which could be applied to discard high-contaminated batches entering in food chain. In addition
668 to the foregoing, further studies including single kernel screening will be required to avoid the
669 whole batch discard by individual kernel sorting to improve DON reduction and production
670 yield.

671 **6. CONFLICTS OF INTEREST**

672 The authors declare that they have no conflict of interest.

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806 **Figure captions**

- 807 Figure 1. Predicted vs. measured plot of ergosterol PLS regression for 1st derivate spectra. a)
808 Unground wheat data set. Optimum number of PC = 21; N = 135. b) Ground wheat data set.
809 Optimum number of PC = 21; N = 141.

810 Figure 2. Predicted vs. measured plot for the PLS validation set in unground samples. Optimum
811 number of PC = 13. N of validation = 239.

812 Figure 3. Predicted vs. measured plot for PLS validation set. Optimum number of PC = 10. N of
813 validation = 243.

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816 Table 1. Performance parameters of ergosterol predictive models.

Sample	Pretreatment	Slope	RMSEP (mg/kg)	R²	Number of PC
	Raw spectra	0.88	1.35	0.85	20
Whole samples	ABS/BC	0.85	1.35	0.82	18
	1 st derivative	0.92	1.17	0.89	21
	Raw spectra	0.62	2.15	0.57	10
Ground samples	ABS/BC	0.60	2.24	0.53	11
	1 st derivative	0.84	1.57	0.77	23

817 ABS/BC = Baseline-corrected absorbance spectra; PC = Principal Components; RMSEP = Root
818 Mean Square Error of Prediction; R² = Coefficient of Determination.

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824 Table 2. Performance parameters of DON predictive models for unground samples.

Bulk samples	Validation	Slope	RMSEP ($\mu\text{g}/\text{kg}$)	R²	Number of PC
Raw spectra	Cross-validation	0.67	252.70	0.62	18
ABS/BC	Cross-validation	0.59	503.76	0.55	20
1st derivative	Cross-validation	0.76	354.28	0.73	16
Raw spectra	Test set validation	0.56	540.19	0.56	15
ABS/BC	Test set validation	0.58	561.04	0.52	14
1st derivative	Test set validation	0.59	501.36	0.61	13

825 ABS/BC = Baseline-corrected absorbance spectra; PC = Principal Components; RMSEP = Root
826 Mean Square Error of Prediction; R² = Coefficient of Determination.

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837 Table 3. Linear discriminant analysis (LDA) accuracies for training and validation sets in
 838 unground samples.

Training set				Validation set				
Raw spectra	Groups	Predicted		Accuracy (%)	Groups	Predicted		Accuracy (%)
		B	C			B	C	
	B	170	34	86.35	B	172	35	82.52
	C	0	45		C	8	31	
	Groups	B	C		Groups	B	C	
ABS/BC	B	172	32	86.35	B	174	33	83.33
	C	2	43		C	8	31	
		Groups	B		C	Groups	B	
1st Derivative	B	199	5	97.99	B	170	37	82.93
	C	0	45		C	5	34	
		Groups	B		C	Groups	B	

839 B = low-contaminated group of samples (< 1250 µg/kg); C = contaminated group of samples (>
 840 1250 µg/kg). Grey cells indicate the number of correctly-classified samples. White cells indicate
 841 the number of miss-classified samples.

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852 Table 4. Performance parameters of DON predictive models for ground samples.

Bulk samples	Validation	Slope	RMSEP (ppb)	R²	Number of PC
Raw spectra	Cross-validation	0.72	483.28	0.62	13
ABS/BC	Cross-validation	0.73	438.94	0.70	16
1st derivative	Cross-validation	0.72	403.29	0.69	16
Raw spectra	Test set validation	0.55	578.68	0.49	7
ABS/BC	Test set validation	0.71	588.21	0.48	13
1st derivative	Test set validation	0.55	518.95	0.59	10

853 ABS/BC = Baseline-corrected absorbance spectra; PC = Principal Components; RMSEP = Root
854 Mean Square Error of Prediction; R² = Coefficient of Determination.

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865 Table 5. Linear discriminant analysis (LDA) accuracies for training and validation sets
 866 (threshold 1250 $\mu\text{g}/\text{kg}$) in ground samples.

Training set				Validation set				
	Groups	Predicted		Accuracy	Groups	Predicted		Accuracy
		B	C			B	C	
Raw spectra	B	191	13	93.58	B	176	31	82.53
	C	3	42		C	12	27	
ABS/BC	B	168	36	83.14	B	180	27	87.81
	C	6	39		C	3	36	
1st Derivative	B	203	1	99.2	B	188	19	85.37
	C	1	44		C	7	22	

867 B = low-contaminated group of samples ($< 1250 \mu\text{g}/\text{kg}$); C = contaminated group of samples ($>$
 868 $1250 \mu\text{g}/\text{kg}$). Grey cells indicate the number of correctly-classified samples. White cells indicate
 869 the number of miss-classified samples.

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Figure 1
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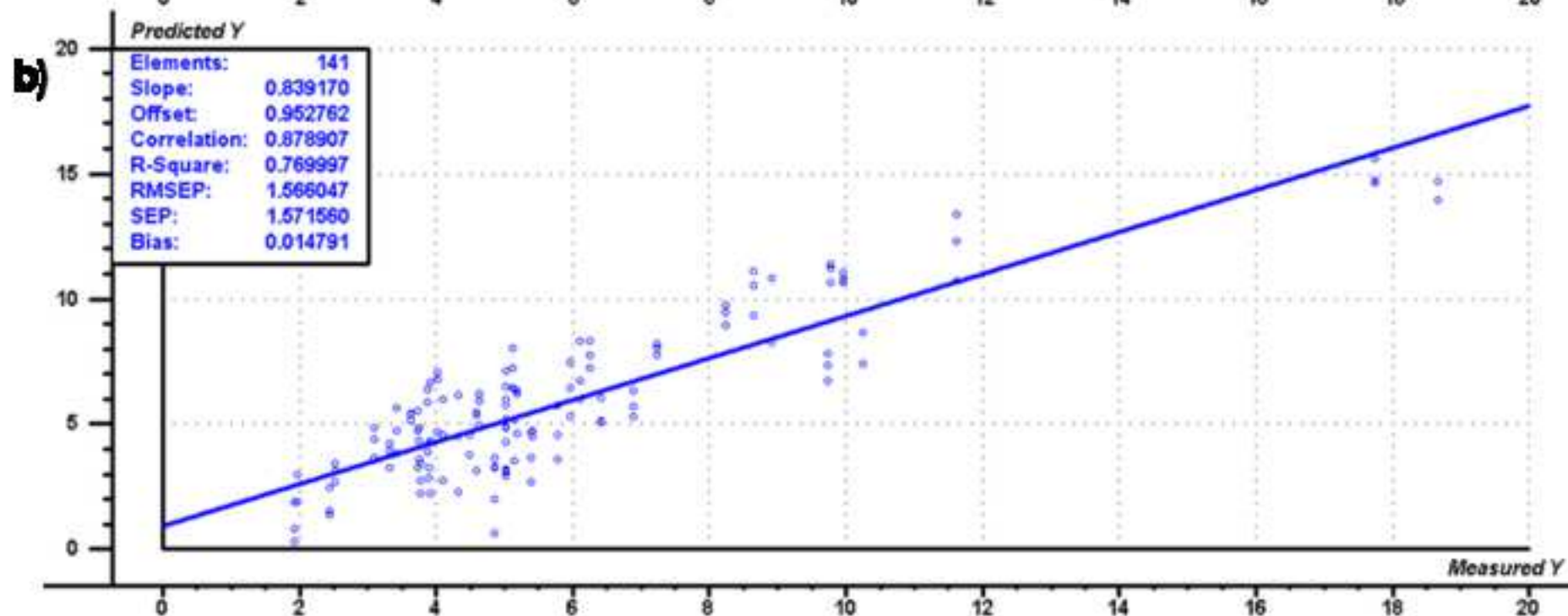
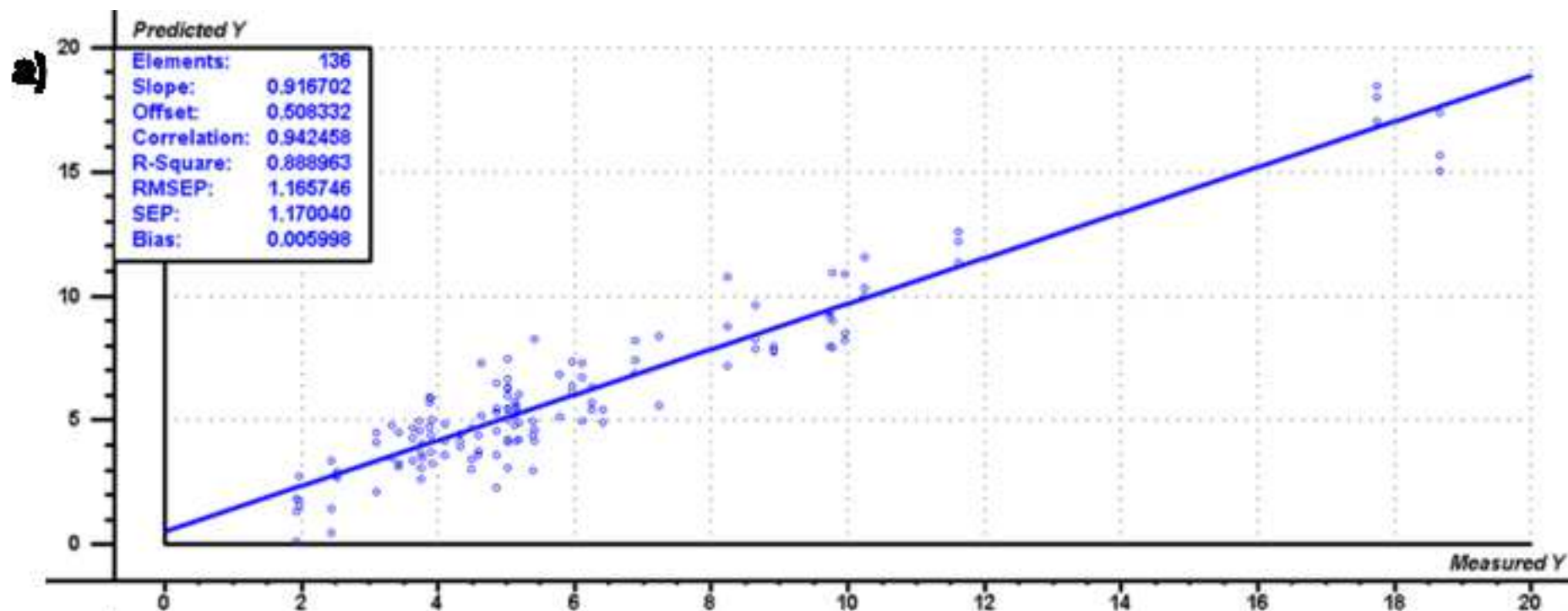


Figure 2
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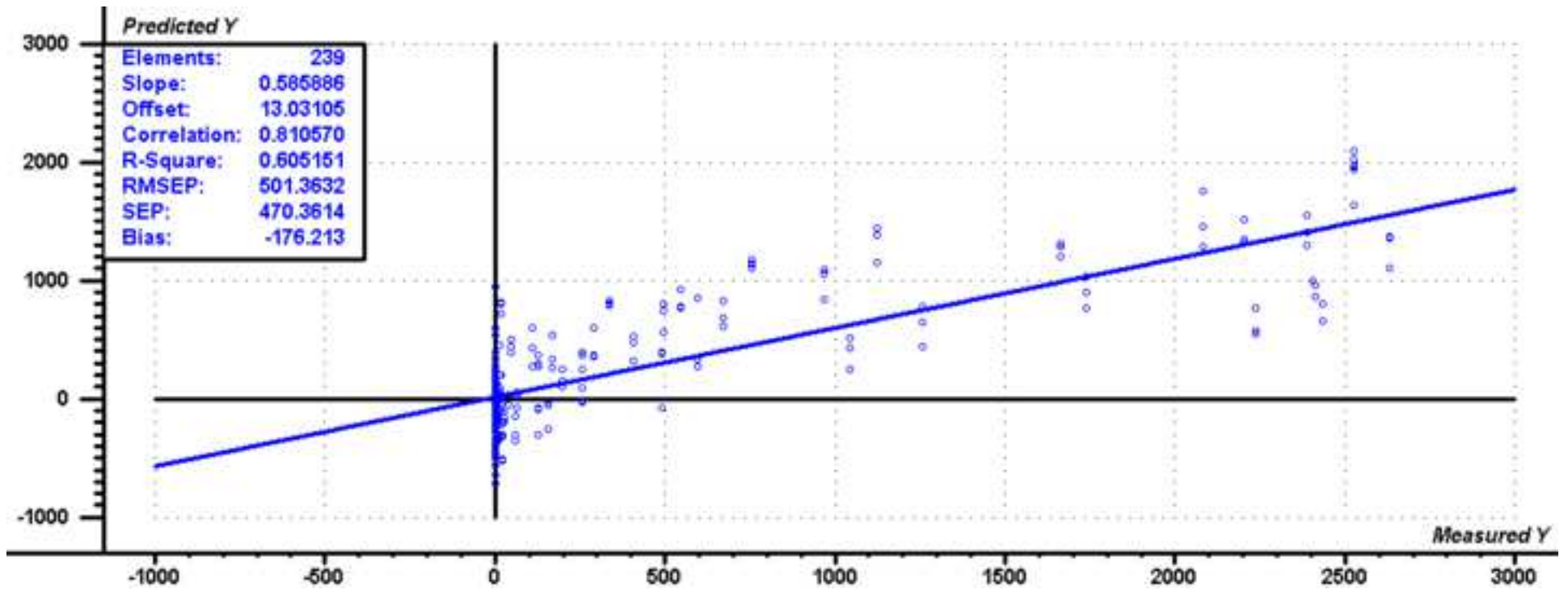
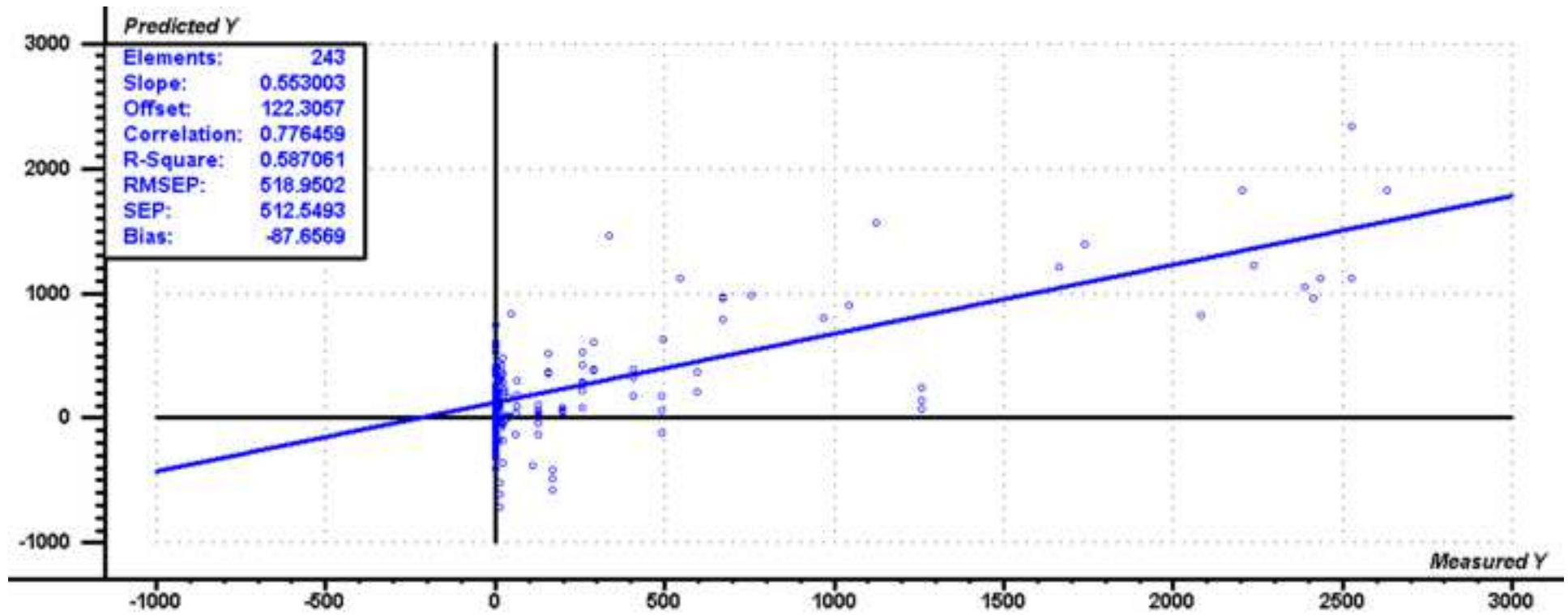


Figure 3
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Supplementary material

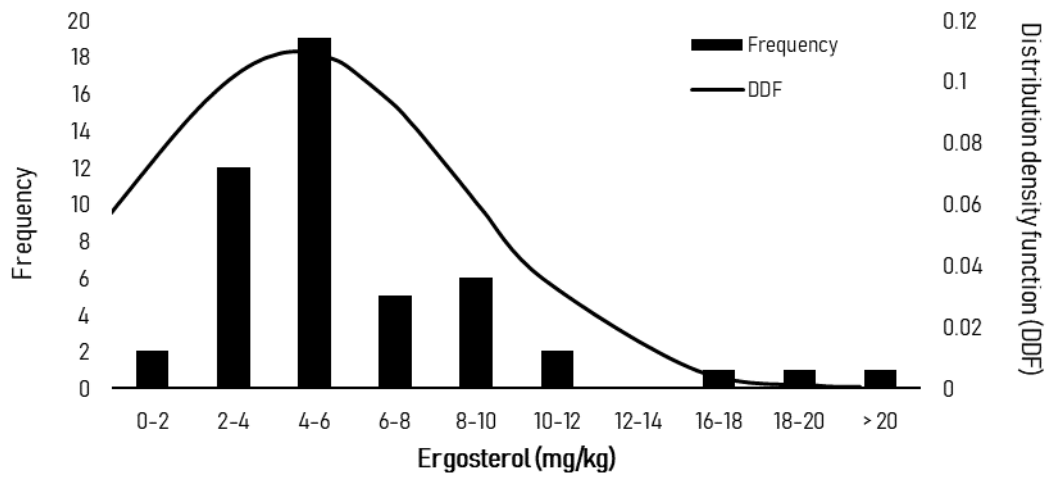


Figure S1. Distribution of total ergosterol content in single wheat kernels, on the full dataset used in the present experiments.

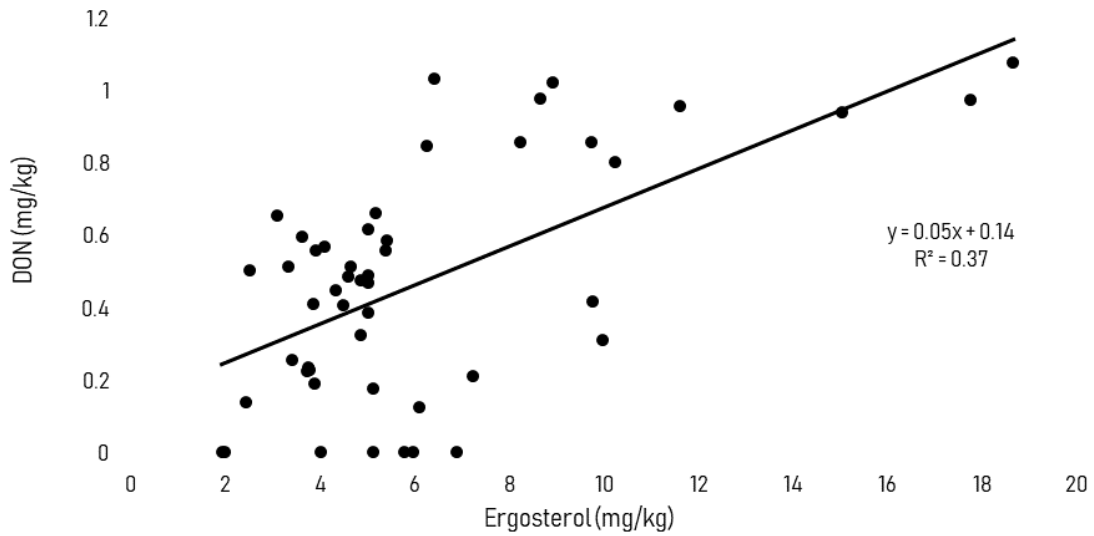
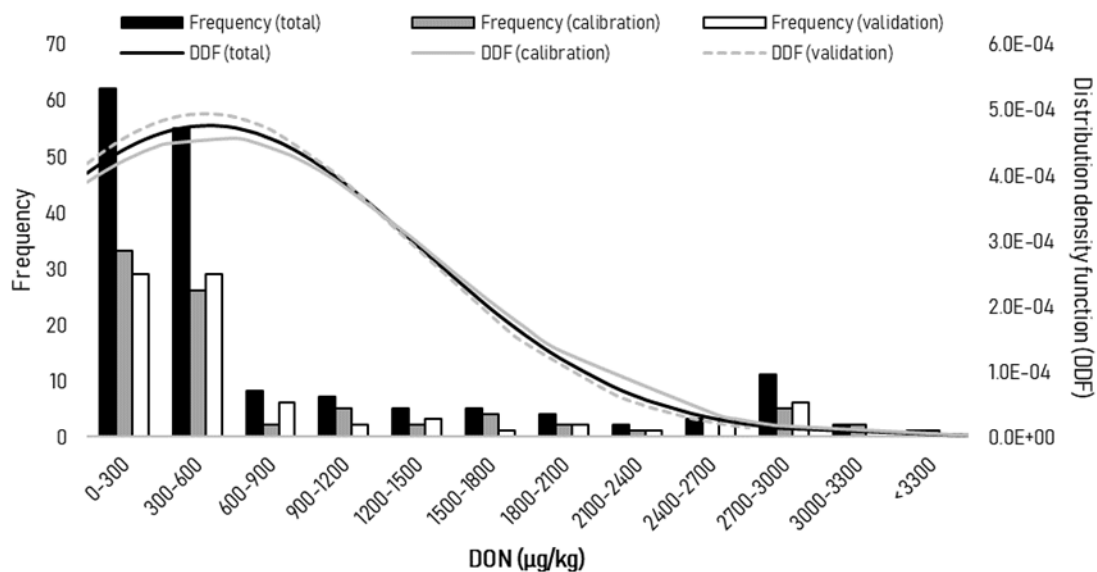


Figure S2. Correlation between DON concentration (Y) and ergosterol concentration (X).



		No. of samples	Mean	Range	SDev	CV (%)
DON content (µg/kg)	Calibration data set	83	497.7	< 50 – 3537.0	873.7	175.5
	Validation data set	82	467.1	< 50 – 2628.5	807.8	172.9

Figure S3. Distribution of total DON content in wheat samples, on the full dataset used in the present experiments, and separately for the calibration and validation sets. Statistical analysis of the parameters of the samples used for DON models building, showing independently calibration and validation sets.

Table S1. Linear discriminant analysis (LDA) accuracies for the cross-validated model in unground samples.

		Cross-validated set		
		Predicted		Accuracy (%)
	Groups	B	C	
Raw spectra	B	341	70	84.65
	C	6	78	
	Groups	B	C	
ABS/BC	B	336	75	83.64
	C	6	78	
	Groups	B	C	
1st Derivative	B	393	18	95.66
	C	4	80	
	Groups	B	C	

B = low-contaminated group of samples (< 1250 µg/kg); C = high-contaminated group of samples (> 1250 µg/kg). Grey cells indicate the number of correctly-classified samples. White cells indicate the number of miss-classified samples.

Table S2. Linear discriminant analysis (LDA) accuracies for a cross-validated model (threshold 1250 $\mu\text{g}/\text{kg}$) in ground samples.

		Cross-validated set		
		Predicted		Accuracy (%)
	Groups	B	C	
Raw spectra	B	389	22	94.35
	C	6	78	
ABS/BC	B	341	70	84.05
	C	9	75	
1st Derivative	B	400	11	97.18
	C	3	81	

B = low-contaminated group of samples (< 1250 $\mu\text{g}/\text{kg}$); C = contaminated group of samples (> 1250 $\mu\text{g}/\text{kg}$). Grey cells indicate the number of correctly-classified samples. White cells indicate the number of miss-classified samples.

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: