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1 **TITLE:** Association of Complement Receptor 2 polymorphisms with innate resistance to
2 HIV-1 infection

3 **RUNNING TITLE:** Complement genetics and HIV-1 infection

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22

23 **Abstract**

24 HIV-1 induces activation of complement through the classical and lectin pathways.
25 However, the virus incorporates several membrane-bound or soluble regulators of
26 complement activation (RCA) that inactivate complement. HIV-1 can also use the
27 complement receptors (CRs) for complement-mediated antibody-dependent
28 enhancement of infection (C'-ADE). We hypothesize that polymorphisms in RCA or CRs
29 that reduce the ability of complement inhibition may protect from HIV-1 infection. For
30 this purpose, 139 SNPs located in 19 RCA and CRs genes have been genotyped in a
31 population of 201 Spanish HIV-1 exposed seronegative individual (HESN) and 250 HIV-1
32 infected patients. Two SNPs were associated with infection susceptibility, rs1567190 in
33 *CR2* (OR = 2.27, $P= 1 \times 10^{-4}$) and rs2842704 in *C4BPA* (OR2.11, $P= 2 \times 10^{-4}$). To replicate
34 this finding, we analyzed a cohort of Italian, sexually HESN individuals. Although not
35 significant ($P=0.25$, OR=1.57), similar genotypic proportions were obtained for the *CR2*
36 marker rs1567190. The results of the two association analyses were combined through
37 a random effect meta-analysis, with a significant P value of 2.6×10^{-5} (OR=2.07).
38 Furthermore, we found that the protective *CR2* genotype is correlated with lower
39 levels *CR2* mRNA as well as differences in the ratio of the long and short *CR2* isoforms.

40

41 **Key words:** HIV-1, HIV-1 exposed seronegative, injection drug users, complement, *CR2*,
42 *C4BPA*

43

44 Introduction

45 HIV-1 is able to activate the classical pathway of complement in the absence of
46 antibodies, such activation depends on direct binding of C1q envelope protein ¹ and
47 the attachment of C3b on the viral membrane. This fact is enhanced in the presence of
48 specific anti-HIV-1 antibodies ². In addition, HIV-1 interacts with Mannose Binding
49 Lectin (MBL) through direct binding to gp120, triggering the activation of the
50 complement lectin pathway ³. However, the virus appears to be resistant to
51 complement ^{4,5}. The biological model to explain this fact is based in the active viral
52 exploitation of the regulators of complement activation (RCA) protein family. The
53 exploitation of RCA proteins by several families of viruses is a clear example of
54 adaptive convergence ⁶. In the case of HIV-1, it has been demonstrated the absorption
55 of membrane-bound RCA proteins in virions ^{4,7,8}. These proteins enhance virus
56 resistance to complement-mediated lysis ^{4,9,10}. This incorporation contributes to the
57 survival of HIV-1 in blood or other tissues as both virions and infected cells are
58 resistant to neutralizing antibodies ¹⁰. Other soluble proteins such as CFH interacts
59 with viral envelope ¹¹. Depletion of CFH in human serum increased destruction of cells
60 infected by HIV-1 and free virus *in vitro* ^{11,12}. Similarly, viruses produced in cells
61 defective for any of the RCA proteins are extremely sensitive to killing by serum in the
62 presence or absence of neutralizing antibodies. Interestingly *Streptococcus spp.* has
63 developed a similar strategy of resistance to serum by addition of CFH to its surface by
64 the M protein ¹³. Both complement genes and the RCA are extremely polymorphic and
65 in some cases a functional effect of the polymorphisms has been found ¹⁴.

66 Complement-coated virions are concentrated in several tissues; especially the
67 lymphatic system and can interact with complement receptors (CRs) that bind C3
68 fragments. Although viral inactivation through opsonisation and lysis have been
69 observed ¹⁵, CRs expression in T /B lymphocytes or erythrocytes can also boost viral
70 infectivity in a process known as complement-mediated antibody-dependent
71 enhancement of infection (C'-ADE) ¹⁶, the CRs implicated in C'-ADE include CR1, CR2
72 and CR3. Both *cis* and *trans* C'-ADE have been observed on cell lines as well as on
73 primary cells and with primary isolates of HIV-1¹⁷.

74 In view of the above interactions of HIV-1 with RCA and complement receptors, we
75 hypothesized that individuals carrying polymorphisms that reduce the functional
76 capacity of the CRs or RCA proteins or their site of interaction with gp120/gp41 could
77 display a natural resistance to HIV-1 infection mediated by an increased probability of
78 virolysis mediated by full complement activation or lower complement-mediated
79 enhancement of infection. To address this hypothesis we have performed a genetic
80 association analysis as well as a phenotypic characterization of RCA and complement
81 receptors in two independent cohorts of HESN and HIV-1 positive individuals.

82

83 **Methods**

84 **Patients and controls**

85 We recruited 451 white males exposed to HIV-1 infection by injection drug use (IDU-
86 Spain), both infected (HIV, 250 subjects) and at HIV-1 exposed seronegative (HESN, 201
87 subjects), enrolled in prospective cohort studies in Spain, Arnau de Vilanova Hospital

88 (Lleida), Valme Hospital (Sevilla) and Reina Sofía Hospital (Córdoba) who had shared
89 needles for >3 months¹⁸. Concurrent markers of hepatitis C virus (HCV) infection, one
90 of the most prevalent blood borne virus, was present in 100% of HESN and HIV-1
91 positive subjects. The main epidemiological and clinical characteristics of the series
92 studied here were described previously¹⁸. Additionally, a second population exposed
93 to HIV-1 infection by sexual route was analyzed as replication population; both HIV-1
94 infected (62 subjects) and HESN (80 subjects), enrolled in prospective cohort studies in
95 Italy (Sexual-Italy). The main characteristics of this population has been previously
96 described¹⁹.

97 **Ethical aspects**

98 The study was designed and performed according to the Helsinki declaration and was
99 approved by the Ethics Committee of the three participating hospitals. All patients and
100 donors provided written informed consent to participate in this study.

101 **Polymorphism selection and genotyping**

102 SNPs from complement regulatory genes (table 1) were selected according to two
103 criteria, first, genotype data from Hapmap-CEU population were directly downloaded
104 from the Hapmap database (www.hapmap.org) and the Tag SNPs were indentified
105 using Haploview's V4.1 software tagger option
106 (<https://www.broad.harvard.edu/haploview/haploview>). Second, SNPs list were
107 completed with potentially functional polymorphisms previously associated or not
108 with disease. DNA was extracted from fresh peripheral blood mononuclear cells or
109 frozen whole blood using the Quick Pure Blood DNA extraction Kit (Macherey-Nagel,
110 Düren, Germany) or Magna Pure system (Roche, Basel, Switzerland). The SNPs were

111 genotyped using a custom Golden Gate Veracode genotyping assay (Illumina, San
112 Diego, California USA). Original genotyping data are available upon request.

113 **Sequencing of C4BPA, CD55, CR2 and CR1 chromosome region**

114 DNAs from 33 HESN individuals, homozygous CC for the rs1567190 SNP associated
115 with the resistant phenotype, were mixed in 3 independent pools. In parallel, DNA
116 from 46 HIV positive individuals homozygous TT associated with the risk phenotype
117 were mixed in 5 independent pools. Sequencing of each pool DNA was done by custom
118 capture and New Generation Sequencing using Illumina HiSeq2000 sequencer in
119 Otogenetics facilities (www.otogenetics.com). The resequencing strategy was focused
120 on 515.844 bp from chromosome 1 region including the genes *C4BPA*, *CD55*, *CR2* and
121 *CR1* (coordinates from 207.224.455 bp to 207.740.299 bp, NCBI buildGRCh37/hg19).
122 Raw data generated were analyzed using the SNP discovery tool of DNAnexus cloud
123 computing software (www.dnanexus.com). We calculate a score for each polymorphic
124 position identified (frequency of reads equal to the consensus sequence/number of
125 total reads). The original sequencing data are available upon request.

126 **Biostatistics and bioinformatics**

127 Hardy-Weinberg equilibrium and pairwise linkage disequilibrium (D') were calculated
128 using Haploview V4.1 software. Block structure was considered for marker pairs
129 showing $D' > 0.8$, following the solid-spine block definition implemented in Haploview.
130 Single marker and haplotype frequencies were estimated and compared using PLINK
131 software, complemented with the Java-based implementation software gPLINK
132 (<http://pngu.mgh.harvard.edu/~purcell/plink/gplink.shtml>). Complementary analysis
133 was performed by use of SPSS software (version 14.0). Single-marker association P

134 values were corrected for multiple testing following the SNP spectral decomposition
135 approach²⁰, experiment-wide significance threshold required to keep type I error rate
136 at 5% was $5 \cdot 10^{-4}$. Haplotype association tests were adjusted using a Bonferroni's
137 correction for multiple testing by dividing the significance level (0.05) by the number of
138 major haplotypes (i.e., >5%), a p value $< 5 \cdot 10^{-3}$ was considered statistically significant.

139 **CR2 and C4BPA mRNA quantification**

140 PBMC from healthy blood donors (City of Jaen, Blood Bank) were purified by
141 centrifugation in Ficoll gradient, DNA was extracted as previously described and
142 genotyped for the SNPs rs1567190 of *CR2* and rs2842704 of *C4BPA* with Taqman
143 probes. Nine individuals harboring CC genotype and nine with TT genotype for *CR2* and
144 ten individuals carrying AA genotype and ten with AG/GG genotype for *C4BPA* were
145 randomly selected gene expression analyses. Then, from each sample, total RNA from
146 5×10^6 PBMCs was isolated using Tripure reagent (Roche), quantified by
147 spectrophotometry and dissolved at 1 $\mu\text{g}/\mu\text{l}$. Reverse transcription of RNA was
148 performed using the Superscript III (Invitrogen), following manufacturer's instructions.
149 All the RNA samples were also subjected to control reactions without reverse
150 transcriptase to check for contamination. The normalized *CR2* expression was
151 determined in a multiplexed, one tube PCR reaction using the *CD19* as endogenous
152 control (Hs01047410_g1, Applied Biosystems) and *CR2* Gene Expression Assays
153 (Hs00153398_m1 FAM probe, Applied Biosystems). Quantitative real-time PCRs were
154 performed in a total volume of 12.5 μl containing 6.25 μl of Quantimix Easy probes
155 master mix (Biotools, Madrid, Spain), 0.25 μl of each probe/primer set *CD19* and 1 μl
156 of probe/primer set for *CR2*, 2 μl of cDNA and 3 μl of water. Cycling conditions were:

157 95 °C for 10 min, and 45 cycles at 95 °C for 15 s, 60 °C for 1 min. Similarly *C4BPA*
158 expression was quantified. In that case, *CD14* probe (Hs001691220_g1 VIC Probe,
159 Applied Biosystems) was used as endogenous control mixed in the same PCR reaction
160 tube with *C4BPA* probe (Hs00426339_m1 HEX probe, Applied Biosystems). The real-
161 time PCR reaction were prepared in a total volume of 12.5 µl containing 6.25 µl of
162 Quantimix Easyprobes master mix (Biotools, Madrid, Spain), 0.5 µl of each
163 probe/primer set for *CD14* and 1.2 µl of probe/primer set for *C4BPA*, 2 µl of cDNA and
164 2.55 µl of water. Cycling conditions were: 95 °C for 10 min, and 45 cycles at 95 °C for
165 25 s, 60 °C for 1 min. Fluorescence was monitored at the end of each annealing phase
166 on a MX3005P thermocycler (Stratagene, La Jolla, CA, USA). Each donor cDNA were
167 amplified in duplicate wells, and repeated in two independent days. Parallel negative
168 control experiments were done with reverse transcriptase negative cDNAs. The
169 relative expression of *CR2* and *C4BPA* mRNAs compared to endogenous *CD19* (*CR2*) or
170 *CD14* (*C4BPA*) were calculated using the algorithm $-\Delta\Delta$ CT implemented in the MX-pro
171 V4.0 software (Stratagene) according to manufacturer's instructions. The Comparative
172 quantitation of short and long isoform of *CR2* transcripts has been done as previously
173 described²¹

174 **Results**

175 **Genotyping statistics**

176 Four SNPs did not passed the genotyping quality controls of Illumina Veracode Golden
177 Gate protocol rs7084554 (*MBL2*), rs12406509 (*CFHR4*), rs7046 (*CD59*) and rs9837104.
178 Additionally, we found significative deviation from HWE ($P=1.3 \cdot 10^{-5}$) in the marker
179 rs9331949 (*CLUS*). Mean genotyping call rates for the rest of the SNPs were 99.6%.

180 **Univariate genetic association analysis**

181 We selected 139 polymorphic markers (Table 1) within regulators of complement
182 activation and complement receptors genes for susceptibility to HIV-1 association in
183 the IDU-Spain cohort. Two intronic SNPs positioned in the same region of the
184 chromosome 1 (Figure 1), showed experiment-wide significant allelic or genotypic
185 association with resistance to HIV infection. The G allele of rs2842704 in *C4BPA* was
186 associated with decreased risk of infection under dominant model ($P=2\times 10^{-4}$; OR: 0.47
187 [0.31-0.70]). Subjects carrying GG+AG genotypes were significantly over-represented
188 in HESN group (43%) compared to HIV-1 infected one (26%) (Table 2). Regarding *CR2*
189 gene, the T allele of rs1567190 was associated with increased risk of HIV infection
190 under a dominant model ($P=1\times 10^{-4}$; OR: 2.27 [1.46-3.51]) (Table 3). Subjects carrying
191 TT+TC genotypes were significantly over-represented in the HIV+ group (81%)
192 compared to HESN (65.5%). Three other SNPs showed a trend to association with HIV-
193 1 infection protection but did not reach statistical significance after correction by
194 multiple testing; rs419137 in *CFH* ($P=6\times 10^{-3}$), rs831618 in *CD59* ($P=7\times 10^{-3}$) and
195 rs6690037 in *C4BPB* ($P=8\times 10^{-3}$) (supplementary table 1).

196 Additionally to replicate the previous findings, rs2842704 in *C4BPA* and rs1567190 in
197 *CR2* were genotyped in a second population (Sexual-Italy) exposed to the virus through
198 sexual route. Both genetic markers were in accordance with the HWE law. Genotype
199 distribution of rs2842704 marker differs to that observed in the IDU-Spain sample not
200 supporting the observed association for this SNP in the IDU-Spain cohort (Table 3).

201 Similarly to what was observed in the IDU-Spain sample, *CR2* rs1567190 TT+TC
202 genotype was over-represented in HIV-1 (77.5%) compared to HIV-1 (68.5%), although

203 it did not reach statistical significance ($P=0.25$; OR: 1.57 [0.7-3.4]) Table 3). The results
204 of the two association analyses were combined through a random effect metaanalysis,
205 with a significant p value of 2.6×10^{-5} (Table 3). These observations suggest that the T
206 allele of rs2074560 confers risk to HIV-1 infection with a dominant effect,
207 independently infection route.

208 **Haplotype association analysis in Spanish intravenous drug users**

209 A haplotype-based association test was performed by multimarker regression analysis
210 using Plink and Haploview software. Haplotype frequencies were estimated and
211 compared between the two groups of the IDU-Spain cohort. This analysis identified 3
212 haplotypes associated with HIV-1 resistance with a significant multiple-testing p value
213 $<5 \times 10^{-3}$. Haplotypes from, *CFH*, *C4BPA* and *CR2* genes included SNPs previously
214 identified as associated in the univariate analysis (Table 4). Using Plink software, we
215 calculated the chromosome phases for HESN and HIV groups and performed haplotype
216 copy number calculation in HIV-1 positive and HESN individuals. The presence of 2
217 copies of the haplotype CGGGG encompassing the promoter, and the first 12 exons of
218 *CR2* (rs1567190, rs1507764, rs1048971, rs17615, rs4317805) showed the strongest
219 association with protection under a recessive model ($P=8 \times 10^{-5}$) (table 4). The other two
220 haplotypes associated with innate resistance to HIV-1 infection followed a dominant
221 model and included presence of one or two copies the haplotype GCT (rs2842704,
222 rs4425986 and rs9943077) located in the fourth intron of *C4BPA* ($P=1.1 \times 10^{-3}$) (Table 4).
223 As well as the haplotype AAAGTGG (rs2019727, rs6695321, rs10737680, rs419137,
224 rs395544, rs2284664, rs11582939, rs16840658) that spans from the intron 9 of *CFH* to
225 the 5' end of *CFHR1* gene (Table 4).

226 **Sequencing of *C4BPA*, *CD55*, *CR2* and *CR1* chromosome region**

227 In order to identify new functional SNPs in the candidate region and perform a
228 sequence haplotyping, a mean of 900,000 bp have been sequenced confidently with a
229 30X mean coverage. Spanning the chromosome 1 region 207,224,455-207,740,299
230 (GRCh37/hg19); including *C4BPB*, *C4BPA*, *CD55*, *CR2*, *CR1* and *CR1L* in 5 pools of HIV
231 positive homozygous for the risk (T) allele of the SNP rs1567190 and 3 pools of HESN
232 patients homozygous for the protective (C) allele of the SNP rs1567190. The main
233 statistics for pool sequencing can be found in Supplementary Table 2. We observed a
234 perfect match between the two genotyping systems Veracode Golden Gate and New
235 Generation Sequencing. A total of 189 new polymorphic sites have been identified in
236 the region (supplementary table 3), most of them located in introns or intergenic
237 regions. Only one SNP produces a non-synonymous coding change in the *CR1* gene
238 (supplementary table 3). The individuals homozygous for the C allele of rs1567190 are
239 also homozygous for 52 polymorphisms spanning 45 Kb of the *CR2* gene (Figure 3,
240 supplementary table 4). In particular these individuals are homozygous for the coding
241 SNPs rs1048971, rs17615, rs4308977, rs17616 (G-G-T-G). On the contrary, individuals
242 homozygous for the risk allele of rs1567190 displayed a lower level of homozygosity
243 along the *CR2* gene, only 11 polymorphisms are also homozygous and only one of
244 them (rs17258982) are located in the *CR2* coding region (Figure 3, Supplementary
245 Table 4).

246 ***CR2* and *C4BPA* phenotyping**

247 Next, we validated whether the observed effect could be associated with differential
248 expression of *CR2* mRNA in PBMC from healthy donors. Nine samples homozygous CC

249 for rs1567190 of *CR2*, and nine homozygous TT samples were examined by Taqman
250 real-time quantitative PCR. The relative amount of the *CR2* expression of different
251 genetics backgrounds were measured normalized to CD19 (a specific B- lymphocyte
252 marker). The normalized expression of *CR2* revealed a lower level of expression of the
253 protective genotype CC (n=9, mean=0.39±0.08) compared to the risk genotype TT
254 (n=9, mean=0.77±0.11; $P=0.014$, Student T-test, Figure 2). These data suggest that the
255 global level of *CR2* expression is increased in B-lymphocytes from rs1567190 TT
256 carriers. These data represent the mean of two independent experiments performed
257 in duplicate. The levels of the short and long *CR2* mRNA splicing isoforms were also
258 quantified by real time PCR in both genetic backgrounds. The CD19-normalized short
259 *CR2* isoform expression were significantly reduced in PBMC from homozygous CC
260 individuals compared with TT (n=9, mean=0.12±0.09 vs. 0.64±0.19; $P=0.024$, Student T-
261 test, Figure 2). Finally, we have found that the expression of long *CR2* isoform in
262 rs1567190 CC carriers is more elevated (although without reaching statistical
263 signification) compared to the other genotype (n=9, mean=1.9±1.04 vs. 0.07±0.07;
264 $P=0.09$, Student T-test, Figure 2).

265 The mRNA levels of C4BPA normalized by CD19 in PBMC from individuals harboring the
266 protective genotype does not display significant differences with the risk genotype
267 (n=9, mean=0.36±0.81 vs. 0.26±0.26; $P=0.77$, Student T-test).

268

269

270 **Discussion**

271 In this hypothesis-driven, low-scale, candidate gene association study we have
272 genotyped 139 SNPs in 19 genes related to complement regulatory proteins or
273 complement receptors. Our discovery population is highly uniform: all are male, white
274 Caucasians of Spanish ancestry, HCV positive and intravenous drug users; including 201
275 HESN and 250 HIV-1 positive. We found two SNPs that are significantly associated after
276 Bonferroni's correction with resistance to HIV-1 infection; rs1567190 located in *CR2*
277 and rs2842704 in *C4BPA*. A metanalysis including an independent cohort of HESN
278 individuals from Italy at risk of infection through sexual route gave support only the
279 association of *CR2* with the risk of infection.

280 This study has several limitations, first, the list of genes related to complement system
281 is not exhaustive; polymorphisms in other genes not included in this study may also be
282 considered as potential modulators of HIV-1 susceptibility, additionally some genes
283 included in this study, as *CFHR1* or *CD55* are represented by only one to three SNPs.
284 Second, our phenotypic analysis is limited to mRNA expression; inclusion of protein
285 quantification as well as complement-mediated antibody-dependent enhancement of
286 infection in the context of *CR2* isoforms and *C4BP* overexpression may give us a more
287 complete picture of the role these polymorphisms in HIV-1 susceptibility and it will
288 generate new tools to counteract the viral subversion of the complement system.
289 Third, the sizes of our HESN cohorts are very limited and do not allow us to perform
290 epistatic or gene-gene interaction analysis. Four, the replication cohort from Italy is
291 significantly smaller than the Spanish one and the genetic factors affecting the innate

292 resistance against HIV-1 infection through sexual route could be different compared to
293 the parenteral one.

294 The *CR2* gene encodes a membrane protein also known as CD21, which functions as a
295 receptor for five ligands: C3d/iC3b, Epstein-Barr Virus, CD23²², Interferon alpha²³ and
296 DNA²⁴. *CR2* together with CD19, CD81, and CD225, forms the B-cell coreceptor
297 complex in the surface of B lymphocytes, and binding of *CR2* with immune complexes
298 coated with C3 results in B-lymphocyte enhanced proliferation, up regulation of co-
299 stimulatory molecules, and activation of intracellular signaling pathways including
300 calcium release or MAP kinase activation (Cambier et al., 1994; Matsumoto et al.,
301 1991). On Follicular dendritic cells (FDCs) *CR2* captures C3 coated antigens, apparently
302 to hold these on the surface for long periods of time, facilitating a prolonged immune
303 response²⁵. *CR2* gene contains 18 exons and there exist two main isoforms that
304 results from alternative splicing of exon 11: *CR2-L* and *CR2-S*. The first form is
305 expressed mainly in follicular dendritic cells and contains 16 short consensus repeats
306 (SCRs); the second isoform is expressed principally in B lymphocytes, some T activated
307 cells and endothelial cells and it contains 15 SCRs. Both SCRs form the extracellular
308 domain²¹. The specific functions of each isoform as well as its binding capacity to the
309 natural ligands are unknown.

310 *CR2* function can be subverted by some viruses that use antibodies and/or
311 complement for a highly efficient entry into target cells; in a process known as
312 antibody-dependent enhancement (ADE) of viral infection. Two types of ADE have
313 been described in the context of HIV-1. The first type of ADE is dependent on the
314 interaction between antibody and FcR, specifically the FcγRIIIa appears to be the most

315 important receptor for FcR-dependent ADE, and polymorphisms in this gene were
316 associated with outcomes in a trial testing recombinant gp120 vaccination in
317 preventing sexually acquired HIV-1 infection ²⁶. Second, complement alone can boost
318 infection of dendritic cells as well as monocytes/macrophages and antibodies can
319 augment this process by a more efficient recruitment of complement on the viral
320 surface. This mechanism is dependent on the CR2/CR1 expression in the target cells
321 ^{17,27} and probably is mediated by and increased adhesion of the virus-antibody-
322 complement (C3d) complexes through binding with CR2 on the target surface ^{28 29}. The
323 protective SNP identified in this study, belongs to an extended haplotype that display
324 strong linkage disequilibrium and include other 52 genetic polymorphisms that span
325 45 Kb from the promoter to 3' downstream region. The protective *CR2* haplotype
326 displays lower levels of total *CR2* mRNA as well as reduced short isoform expression; in
327 view of the data about the role of CR2 in complement-mediated ADE, we hypothesize
328 that the ratio of short/long *CR2* isoform expression and/or the global CR2 level, may
329 contribute to the likelihood of HIV-1 acquisition through the parenteral as well as
330 sexual routes. ADE is an important factor affecting other viruses as Dengue, Ross River
331 or Ebola ¹⁶; we conjecture that the *CR2* haplotypes could have also an influence in the
332 susceptibility to these viruses. **Although the main SNP identified in this study has not
333 been previously related with any pathology, the protective haplotype has been
334 associated with susceptibility to systemic lupus erythematosus ^{30 21}.**

335 *C4BPA* gene encodes a plasma protein that forms an oligomer complex with *C4BPB*,
336 producing three isoforms: $\alpha7\beta1$, $\alpha7\beta0$ y $\alpha6\beta1$. C4BP is a plasmid regulator of the
337 classical and alternative complement pathways. It hydrolyzes the complement
338 fragment C4b, accelerates the degradation of the C3 convertase and regulates as a

339 cofactor the alternative pathway by binding to Factor I ³¹. Gene expression profiling of
340 peripheral blood mononuclear cells from patients with acute HIV-1 infection has
341 identify C4BPA as a protein capable of restrict HIV-1 replication ³². Other viruses as
342 Dengue, West Nile or yellow fever actively recruit C4BP through the NS1 protein,
343 reducing the functional capacity of C4 and complement activation. Bacterial infections
344 as *Streptococcus* ³³, *Yersinia* ³⁴, *Lepstospira* ³⁵ or *Neisseria* ³⁶ among others, have also
345 developed active protein systems to capture C4BP in the surface to escape
346 complement mediated lysis. The observed association of *C4PBA* haplotypes with HIV-1
347 resistance in HESN at risk through the parenteral route suggests that this gene could
348 be a candidate for further functional phenotypic characterization. Several observations
349 may underlie the absence of association of *C4BPA* marker in the Italian cohort at risk
350 through the sexual route. First, this cohort is mainly constituted by women ¹⁹ and the
351 Spanish one is exclusively constituted by males. There are significant gender
352 differences in the innate and adaptive immune responses, the pathogenesis of
353 infectious diseases, response to vaccines and the prevalence of autoimmune diseases
354 ^{37,38}. In the case of C4BP, the synthesis appears to be influenced by sex hormones ³⁹,
355 pregnancy ⁴⁰ or contraceptive treatment ⁴¹. Finally, environmental factors as HCV
356 infection (with 100% prevalence in the Spanish cohort) may modulate the genetic
357 susceptibility in the drug users but not in the sexual cohort. HCV actively interferes
358 with the complement system, inhibiting the transcription and protein production of C3
359 ⁴², C4 ⁴³ and C9 ⁴⁴.

360 CFH regulates the function of the alternative complement pathway in fluid phase and
361 on cellular surfaces. It binds to C3b, accelerates the decay of the alternative pathway
362 convertase C3bBb, and also acts as a cofactor for complement factor I, another C3b

363 inhibitor ^{45 46}. The *CFH* gene consists of 20 short consensus repeats (SCR), each of
364 which encodes a functional domain containing a C3d/C3b binding site ^{47 14}. CFH is
365 synthesized primarily by the liver and secreted into the circulation ¹⁴. SNPs in the *CFH*
366 region that appears to be related with HIV-1 resistance at the haplotypic level, has
367 been previously associated with several autoimmune diseases ¹⁴; as well as
368 susceptibility to meningitis ⁴⁸ and Dengue ⁴⁹. High levels of CFH in human serum can
369 protect cells infected by HIV-1 and free virus *in vitro* [14,22,23,24], we hypothesize
370 that the protective haplotype of CFH may be related to lower levels of CFH expression
371 by the liver or altered splicing efficacy.

372 The discovery of genetic polymorphisms associated with innate resistance to HIV-1
373 infection can increase our understanding on the viral replication and spreading
374 strategies and can potentially lead to the identification of new antiviral targets acting
375 on the host proteins needed by the virus to achieve optimal replication or immune
376 escape. The results presented here if confirmed, could lead to develop alternative
377 strategies to combat the viral infection by the means of development of new
378 antagonists of CR2, C4BPA or CFH. This approach has formerly assayed using inhibitors
379 of CD59 ⁵⁰, another membrane-bound RCA implicated in HIV-1 resistance to serum.
380 Finally, our results may have implications in the pharmacogenetics of HIV-1 vaccine.

381

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522 **Authorship contributions:**

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524 Performed the experiments: RH, PK, ML, AC

525 Contributed reagents/materials/analysis: AR, JAP, ARJ, JM, ACam, JCS, JMS, MB, IS, SLC. JF, FJM

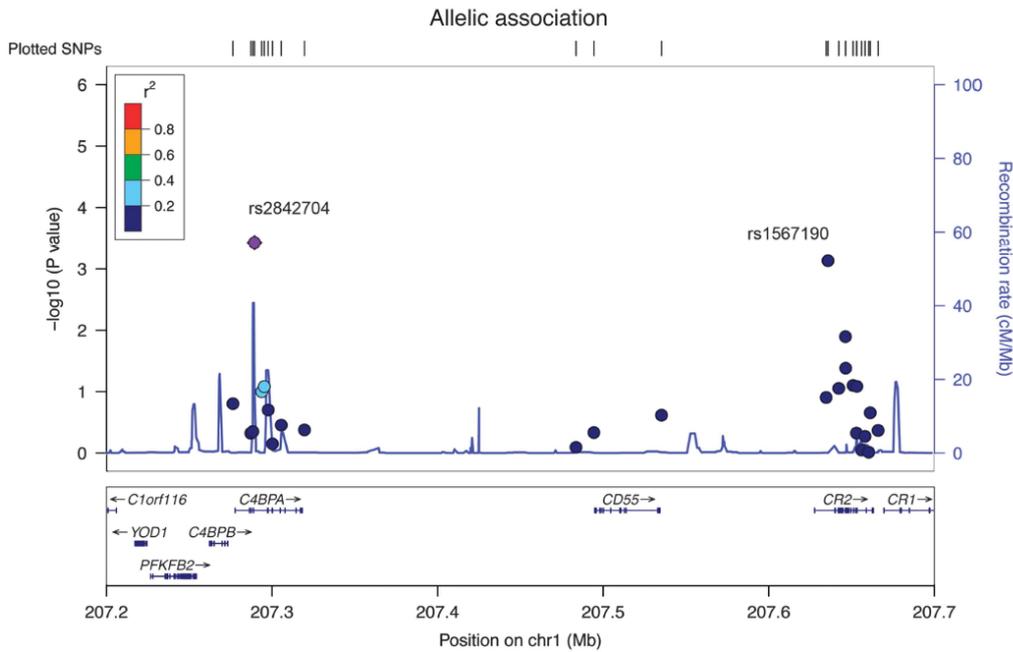
526 Wrote the manuscript: AC, RH, JF

527

528 **Figures legends**

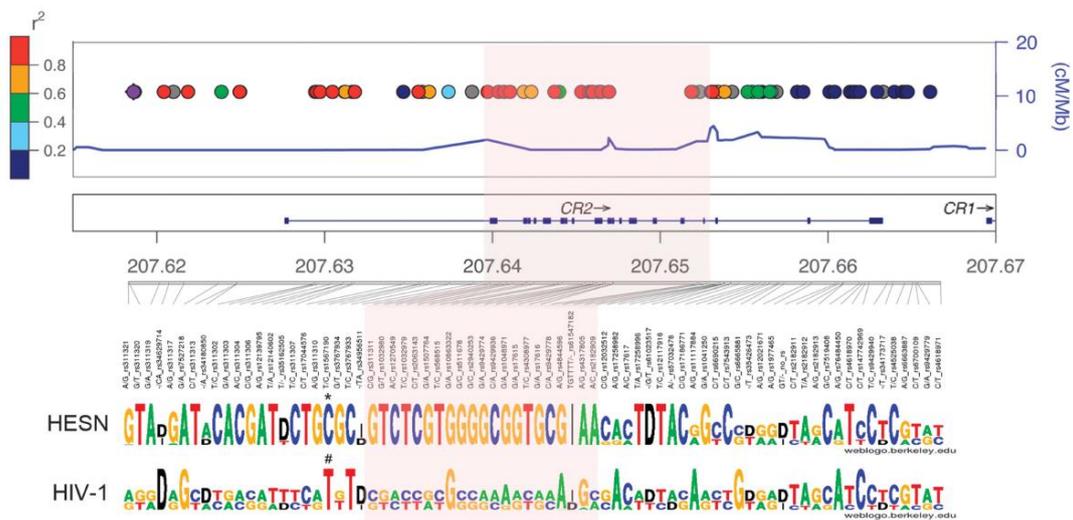
529

530 **Figure 1:** Scatter plot of genetic association results. Negative log₁₀-transformed P-
 531 values are plotted against physical position in the chromosome 1. SNPs rs2842704
 532 rs1567190 are statistically significant after Bonferroni's correction.



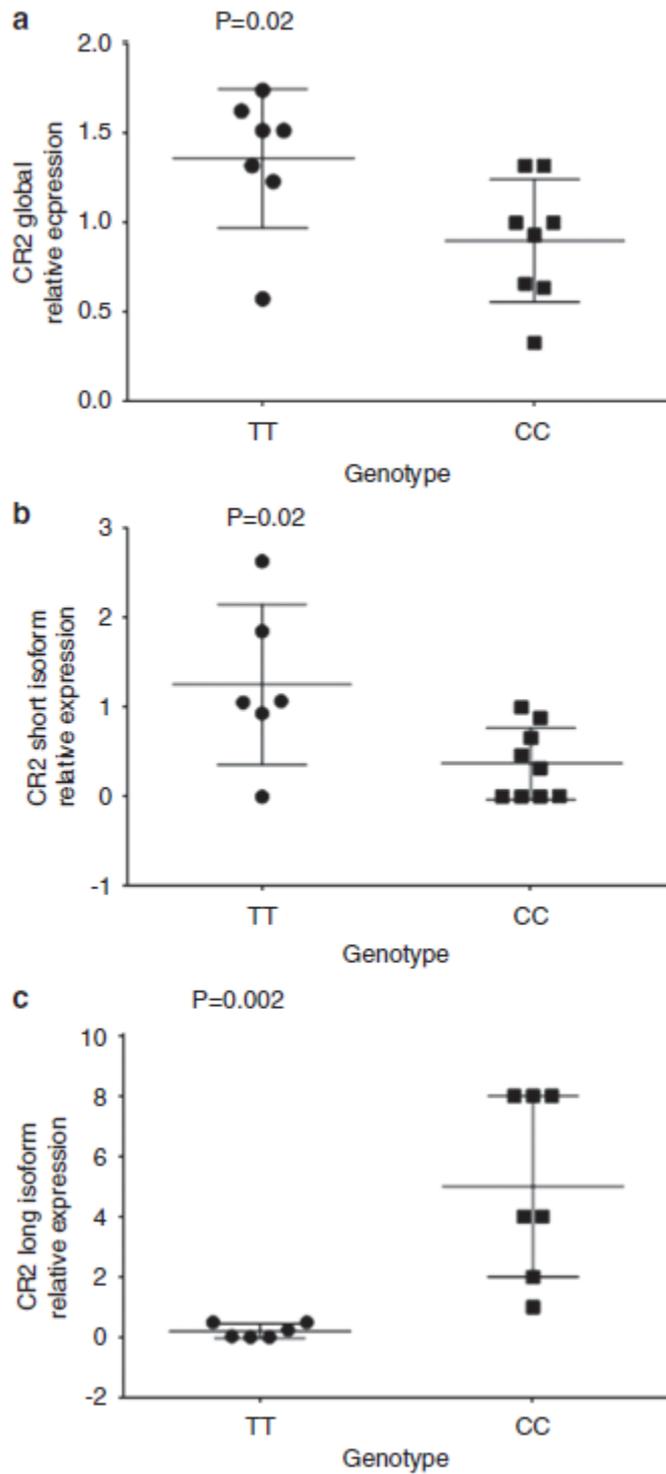
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534 **Figure 2:** CR2 mRNA expression normalized by CD19 according to the genotype for
 535 rs1567190. a) Global CR2 expression levels ($P=0.014$) b) Short CR2 isoform ($P=0.024$)
 536 and c) Long CR2 isoform ($P=0.77$).



537

538 **Figure 3:** Sequence-inferred haplotypes of *CR2* gene in pools of HESN with protective
539 (a) or HIV-1 infected patients with risk (b) genotypes. The Y-axis represents the % of
540 reads with consensus sequence. The X-axis represents the relative position of *CR2*
541 SNPs along the gene.



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