1 Use of hyperspectral imaging as a tool for *Fusarium*

2 and deoxynivalenol risk management in <mark>cereals: A</mark>

3 review

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9 *Abstract*

10 Hyperspectral imaging (HSI) is an emergent, rapid, cost-effective and non-destructive technique in which

11 the spectral data are obtained for each pixel location in a sample's image. The application of this

12 technique to assess mycotoxins and mycotoxigenic fungi in cereals is considered promising to replace

13 time-consuming wet-chemistry methods and for its potential grain sorting ability, in order to reduce food

14 and feed contamination and the associated toxic effects in human and animals. *Fusarium* is a plant

15 pathogen and deoxynivalenol (DON)-producer which presents high incidence in cereals such as wheat,

16 maize and barley. The following review encompasses detailed information about the HSI principle and an

17 updated outlook of its applications in the detection and quantification of *Fusarium* and DON in cereals.

18 Moreover, HSI prediction algorithms for DON quantification are novel approaches which present high

19 complexity owed to the asymptomatic nature of the grain despite of high mycotoxin concentrations. The

20 spatial faculty of this system may be able to overcome the contamination heterogeneity of the grain for its

21 elimination, enhancing risk management and rising the economic performance. Additionally, HSI is also

22 proposed as a powerful grain sorting instrument due to high accuracies obtained in classification of single

23 kernels according to *Fusarium* and DON infection. Therefore, an overview of the HSI applications for

24 on-line and massive cereal sorting in grain industry is also presented.

25 **Keywords:** Hyperspectral imaging; near infrared; *Fusarium*; deoxynivalenol; cereal sorting

26 1. Introduction

27 Deoxynivalenol (DON) is a known mycotoxin produced mainly by the plant pathogens

28 *Fusarium graminearum* and *F. culmorum*. Several environmental factors affect fungal growth

29 and therefore cereal damage and toxin accumulation, such as temperature, water activity, pH

30 and nutrient composition (Kokkonen, Ojala, Parikka, & Jestoi, 2010; Wegulo, 2012). Small

31 grains *Fusarium* contamination is an existing problem around the world, especially in temperate

32 regions in which these DON-producing species are commonly found (Sudakin, 2003). DON is

33   one of the major trichothecenes found in corn and small-grain cereals, and it is responsible of

34   substantial economic impact, mainly in the major affected products, such as wheat, barley, oat

35   and rye (Zain, 2011). It is known that mould growth and potential DON production before

36   harvest and during storage can occur, favoured by cold and damp environment in field, or due to

37   high moisture level by insufficient drying of cereals during trading (Magan, Hope, Cairns, &

38   Aldred, 2003; Reddy et al., 2010). In addition to DON contamination, Fusarium Head Blight

39   (FHB) is one of the most severe plant diseases, that causes loss of quality and reduction of the

40   grain yield (Krnjaja, Levic, Stankovic, & Stepanic, 2011).

41   Numerous harmful effects have been reported owing to acute or prolonged DON exposure.

42   Elevated dose ingestion induces headache, throat irritation, diarrhoea, nausea, vomiting and

43   gastrointestinal haemorrhage. Severe consequences, as death, can be achieved at excessive

44   exposures in a short period of time (European Food Safety Authority, 2007; Pestka &

45   Smolinski, 2005). Otherwise, chronic administration of DON in animals is associated to

46   nutritional disorders such as weight loss and anorexia and to immune problems, depending on

47   the dose and exposure ratio, including immunosuppression and immunostimulation (Eriksen &

48   Pettersson, 2004). Moreover, growth reduction related to emetic syndrome was detected in farm

49   animals and, consequently, diminution in economic performance, especially in pigs (Placinta,

50   D'Mello, & Macdonald, 1999). International Agency on Research on Cancer (IARC)

51   evaluation of carcinogenicity in humans classified DON in Group 3 (not classifiable as to its

52   carcinogenicity to humans) (IARC, 2012). However, considering the abovementioned adverse

53   effects, the EU Scientific Committee on Food established a Tolerable Daily Intake (TDI) of 1

54   μg/kg bw/d (Sobrova et al., 2010).

55   Wheat-containing products such as flour, bread and other derived products are considered

56   common daily consumption foodstuffs in European countries. Due to DON high stability, it is

57   difficult to eliminate during cereal processing and in some cases, as bread-making, its

58   concentration can also be increased (Vidal, Sanchis, Ramos, & Marín, 2016) In light of the

59   exposure to DON through cereal intake, the European Commission (EC) set maximum levels

60   for unprocessed durum wheat (1750 μg/kg), unprocessed cereals other than durum wheat, oats

61   and maize (1250 μg/kg), flour and pasta (750 μg/kg) and bread (500 μg/kg) (EC, 2006).

62   Consequently, adequate instrumentation is needed for DON detection in cereals.

63   Various techniques have been used for the detection and quantification of *Fusarium* and DON

64   contamination in cereals. Typical chemical methods including Enzyme-linked Immunosorbent

65   Assay (ELISA) and High Performance Liquid Chromatography (HPLC) are being used to

66   determine DON concentration in wheat, but they have shown some drawbacks (de Girolamo,

67   Lippolis, Nordkvist, & Visconti, 2009; Dowell, Ram, & Seitz, 1999). The most frequent

68   disadvantages present in ELISA are false positives due to antibodies' cross-reactivity and

matrix dependence and false negatives caused by low sensitivity. Nevertheless, chromatography

is characterized by a high sensitivity and selectivity, achieving precise mycotoxin

quantification. Despite of the accuracy shown by these methods, they are time-consuming,

expensive and sample-destroying. Consequently, farmers and food industry require alternative

detection and quantification techniques able to substitute expensive laboratory facilities and

qualified staff. Hence, the present article aims to review the latest improvements in HSI

technology for fungal and mycotoxin assessment focused on *Fusarium* and DON detection.

2. Basis of Hyperspectral Imaging (HSI)

Near Infrared Spectroscopy (NIR) employs the spectral range from 780 to 2500 nm, offering

information about the overtones and the combination of the molecular vibrations of the

hydrogen molecular bonds (O-H, C-H, N-H and S-H) from the tested object (Cen & He, 2007).

When the organic molecules are exposed to NIR frequencies, the vibration of the

abovementioned bonds absorbs the spectral energy. Then, the rest of the chemical bounds

reflect or transmit the other beams at different infrared wavelengths, which are dispersed and

measured by the detector. In solid samples, the spectral bands in the NIR regions are wide and

overlapped, so the spectra obtained is characterized by a smooth shape.

Preliminary studies of Dowell et al. (1999) demonstrated the ability of NIR for DON

classification. They observed absorption patterns of DON which are determined by its

functional groups (O-H, C-H and N-H), comprised between the NIR spectral region. The

characteristic overtones of each group were (750, 950 and 1400 nm), (1200, 1400 and 1650 nm)

and (1050 and 1500 nm) respectively, and the absorption wavelengths were used for DON

detection.

NIR spectroscopy is a spatial limited technique, especially for heterogeneous samples

measurement (Manley, 2014). Consequently, efficient technologies in the spatial

characterization of the samples are required.

HSI is a potent technique based on the electromagnetic spectrum collection through the spatial

positions of the measured object. Figure 1 shows a schematic representation of the three

dimensions captured by the image, two of them corresponding to the spatial location of the pixel

and the third one equivalent to the spectral data acquisition through NIR wavelength range.

Additionally, an illustration of the spectral response for both individual pixel and the whole

image is also presented. The multiple combinations between the imaging vectors (X, Y), which

determine the pixel location in the image, and the spectral information vector for each pixel at

different wavelengths create a three-dimensional hypercube containing an elevated amount of

information (Dale et al., 2013). The HSI technique generates a spectral variation map showing

many advantages such as a minimum and non-destructive sample manipulation, environmental-

104 friendly, extremely rapid measurements once validated, low cost analysis and detection of
105 different chemical compounds at specific sample locations (Sendin, Williams, & Manley,
106 2018).

2.1. Equipment

108 The most common hyperspectral image acquisition method is the push-broom due to the
109 capability of online scanning line by line while the sample is moving. A classic push-broom
110 HSI system incorporates optical systems, illumination devices, a moving unit and a data
111 acquisition instrument. The optical systems include the following three components: a charged
112 coupled device (CCD) camera characterized by semiconductor electronic properties for spectral
113 and spatial detection; an spectrograph, which is considered the key of the optical system,
114 disperses the light into different wavelengths to generate an spectrum for each pixel of the
115 image (Elmasry, Kamruzzaman, Sun, & Allen, 2012); and an objective lens which is coupled to
116 the spectrograph to focus the light beam from the scanned object to the detector (camera). The
117 illumination unit is characterized by the production of an homogeneous focus of light on the
118 sample which may be free of radiation that could alter the sample (ElMasry & Sun, 2010). The
119 sample is scanned line by line due to the incorporation of a moving system which permits the
120 measurement of the full target having the optical systems fixed. The translation stage and the
121 motor are the two main components of the moving device and they control the sample
122 movement speed (Delwiche et al., 2017). Finally, the data acquisition instrument is based on a
123 computer processing software which converts the raw data obtained from the measurements to
124 band image data. Furthermore, it may also permit dark and light correction, image
125 improvement, simple mathematical operations and high volume of data storage as spectral band
126 images (Kim & Chen, 1998). Figure 2 is a schematic representation of a tower push-broom HSI
127 system.

128 Recent studies have used HSI devices to screen *Fusarium* and DON contamination of cereal
129 kernels. Tekle, Måge, Segtnan, & Bjørnstad (2015) used a SWIR (Short Wavelength Infrared)
130 camera coupled to a Mercury Telluride (HgCdTe) detector with a spectral range of 1000-2500
131 nm. The data obtained was processed by a SpectralDAQ software. The imaging system used by
132 Barbedo, Tibola, & Lima, (2017) was a XENICS camera combined with a VIS/NIR
133 spectrometer working at wavelengths of 528-1785 nm. The illumination system consisted of a
134 Quartz Tungsten-Halogen Lamp. In recent publications, Ropelewska & Zapotoczny (2018)
135 acquired images with a charge-coupled device (CCD) camera, a VIS/NIR (400-1100 nm)
136 spectrometer and a Fiber Optic Illuminator associated to a supplementary infrared lamp (600-
137 1100 nm). Liang et al. (2018) also used a CCD camera but a different spectrometer (ImSpector
138 V10) detecting 400-1000 nm wavelengths. The illumination source was a 150-W halogen lamp
139 light. Finally, Alisaac, Behmann, Kuska, Dehne, & Mahlein (2018) used two different cameras

140   (Hyperspectral Camera ImSpector V10 and SWIR-camera) with wavelengths ranging from 400

141   to 1000 and 1000 to 2500 nm, respectively. The samples were illuminated with Analytical

142   Spectral Devices PRO-Lamps and the spectral measurements of both cameras were controlled

143   by a Spectral Cube Software.

2.2. Measurements

145   The three foremost methods for hyperspectral images production are push-broom imaging (two-

146   dimension spectral information across the spatial axis line by line), whisk-broom scan

147   (spectrum generation for a single pixel at a time) and staring imaging (generation of a spectral

148   plan across the wavelength axis).

2.2.1. Push-broom imaging

150   A push-broom imaging scanner is a system which obtains spectral measurements for each pixel

151   in a line. The basis of a push-broom imager is based on the sample movement in the Y axis

152   direction, in which the spectrometer records the spectra for each pixel in the X-axis line. This

153   technique displays good relationships between spatial and spectral resolution, hence it is widely

154   used for on-line and in-line measurements (Boldrini, Kessler, Rebnera, & Kessler, 2012).

2.2.2. Whisk-broom imaging

156   A point-scanning method (or whisk-broom method) is an imaging system obtaining spectral

157   measurement pixel by pixel. The hyperspectral image is acquired while the sample or the

158   detector moves in the X and Y axis for a single position spectral acquisition. In this case, an

159   exhaustive pixel by pixel data collection is needed to obtain high spectral resolutions of the

160   physical and chemical information of the sample (Qin, 2010). Nevertheless, the method shows

161   low spatial resolutions due to the long times used to measure the sample point by point.

2.2.3. Staring Imaging

163   The staring method is an area scanning technique characterized by the 2-D spatial (x, y) plane

164   acquisition at a single spectral wavelength. The hypercube is built up with the collection of the

165   spatial areas through the spectral domain for a determined number of wavelengths. Its selection

166   is achieved by the illumination of the sample at a specific wavelength or by the monochromatic

167   reflection analysis in the detector. In this case, the advantage is that the images obtained have

168   high spatial resolution although lower spectral resolutions are obtained (ElMasry & Sun, 2010;

169   Gupta, 2011).

170   The comparison between the studies using HSI shows that the predominant method used for

171   HSI-NIR is a line-by-line scanning (push-broom imaging) at different pixel resolution (x, y, λ).

172   Barbedo, Tibola, & Fernandes, (2015) and Barbedo, Guarienti, & Tibola, (2018) used this

173   method to capture a 3D hypercube with a 320 x 800 x 256 dimension. Besides, Delwiche, Kim,

174 & Dong (2011) also acquired a push-broom imagine but with a different pixel resolution of 320

175 x 320 x 288. The hypercube dimension depends on the wavelength range (spectral resolution) of

176 the camera and the field of view (spatial resolution) selected for the image capture.

2.3. Object measurement modes

178 Hyperspectral measurement modes are generally based on reflectance, transmittance or

179 absorbance. The difference between the modes depends on how the light beam reaches the

180 detector after its interaction with the sample. The light variances after the interaction with the

181 cereal samples have a large impact in the spectral data acquisition and should be interpreted to

182 evaluate sample features and correlate them with changes in its contamination. The light

183 radiation of the illumination unit to the sample can be reflected, transmitted or absorbed, so that

184 one of them is measured. Thus, the relationship between incident illumination on a sample with

185 characteristic optical properties and the released radiation can be used to obtain information

186 about the sample properties (Pasquini, 2003).

187 For cereal grain evaluation, diffuse reflectance is the most suitable mode to distinguish features

188 from the NIR spectra, due to the its ability to partially penetrate into the sample and to show its

189 physical and chemical properties. Otherwise, in specular reflectance the sample works as a

190 mirror, so, as the light beam do not penetrate inside the grain samples, only the information of

191 the surface is detected, and both physical and chemical information is overlapped in the NIR

192 spectra. In cereal grain studies, the worst performances were obtained by transmittance mode,

193 commonly used for liquid and gas sample analysis (Caporaso, Whitworth, & Fisk, 2018). As the

194 light radiation goes through the sample, it is detected in the opposite site of the illumination

195 unit. In pasta studies, the predominant measurement mode is the transflectance, which consists

196 in amplifying the light beam by a mirror in order to make it pass twice through the sample.

197 Additionally, the interactance mode, usually used in meat analysis, gives higher incidences of

198 light on the sample due to the location of the detector distant from the emission position.

199 Consequently, the light beam can penetrate deeply inside the sample and it contains more useful

200 information for heterogeneous products where the surface does not represent the whole sample

201 (Gou et al., 2013). No studies have been published on the interactance application in cereals.

202 Finally, light absorption is also related to food chemical and biological properties and it can be

203 estimated from the logarithm of the inverse measured reflectance.

2.4. Reflectance Calibration

205 When the hyperspectral image is captured in the reflectance mode, the raw data obtained from

206 the measurements is expressed in absolute reflectance. These values are required to be

207 compensated by removing the dark current noise and correcting the white response (Ngadi &

208 Liu, 2010). Thus, a reflectance correction is performed on the original measurements obtaining
209 the relative reflectance, according to the equation 1:

210
$$I = \frac{I_0 - I_b}{I_w - I_b} \qquad (1)$$

211 where I_0 is the raw hyperspectral image obtained, I_w is the white reference and I_b is the dark
212 current reference. In practice, the compensation of dark and white references is performed by
213 covering the lens with a zero reflectance cap and using a white fluoropolymer with the highest
214 known reflectance (99%), respectively (Huang, Liu, & Ngadi, 2014).

3. Hyperspectral data pre-processing

216 Pre-processing techniques are useful tools to reduce the data variability and to highlight desired
217 spectral characteristics prior to modelling. Frequently used pre-processing techniques in HSI-
218 NIR spectroscopy can be classified in two main groups, such as scatter-correction methods and
219 spectral derivatives. The main objectives of these approaches are to improve subsequent
220 exploratory analysis, to ameliorate linear calibration modes or to improve classification or
221 prediction models (Martens, Nielsen, & Engelsen, 2003). The success of the models depends on
222 the selection of the suitable pre-processing technique in order to reduce or maintain model
223 complexity. On the other hand, too strict pre-processing steps should be avoided because they
224 can hide valuable information from the spectral data.

225 Scatter correction approaches are designed to solve multiple light scatter or additive effects
226 which produce non-linearities for the calibration model. The extensively used technique for NIR
227 pre-processing is the Multiplicative Scatter Correction (MSC). When samples are solid or
228 emulsions, multiplicative scattering effects occur due to the deviations in the optical path
229 length. This is caused by the influence of the vibrational effect of the neighbour chemical
230 bounds of the sample. Coefficients of regression which describe the dispersion are obtained
231 from the original spectrum to build a scatter corrected spectrum (Wu et al., 2019). The first step
232 involves the estimation of the correction coefficients that are obtained from a least square linear
233 regression (LS) against the average optical spectrum from the calibration samples, by:

234
$$y_i = a + b \cdot y_{ref} + e \qquad (2)$$

235 Where y_i is the previously measured spectrum from the sample; a is the specular effect of the
236 reflection of the sample; $\frac{1}{b}$ are the estimated scatter interferences in the sample; y_{ref} is a
237 reference spectrum used for the pre-processing of the data set, which usually corresponds to the
238 average spectrum of the calibration set; and e is the error which contains the chemical
239 information not explained by physical variations.

240   The second step is the correction of the recorded spectrum using the coefficients obtained

241   previously, following the equation:

242    $$y_{corr} = \frac{y_{ref} - a}{b} = y_{ref} + \frac{e}{b} \qquad (3)$$

243   Where y_{corr} is the corrected spectrum similar to y_{ref} in terms of linear regression. By this

244   transformation, the spectra would have a consistent baseline (Rinnan, Berg, & Engelsen, 2009).

245   The second most applied technique used for scatter correction of NIR is the Standard Normal

246   Variate (SNV) (4). This pre-processing method has similar benefits to MSC, as it is suitable for

247   removing multiplicative and additive interferences of scatter in light distance and particle size

248   (Barnes, Dhanoa, & Lister, 1989; Cen & He, 2007). The mean spectrum and the standard

249   deviations are calculated and each point in the spectrum is recalculated subtracting the mean

250   and dividing by the standard deviation.

251    $$SNV_i = \frac{(A_i - \bar{A})}{\sqrt{\frac{(A_i - \bar{A})^2}{n-1}}} \qquad (4)$$

252   Where, SNV_i are individual standard normal variations for i wavelengths (corrected value); A_i

253   is reflectance value at i wavelength; $\bar{A}$ is the mean of the A_i reflectance's for all the wavelengths

254   and n is the number of wavelengths from the used range (Caporaso, Whitworth, & Fisk, 2017).

255   First and second derivatives are widely applied in analytical spectroscopy in data in which noise

256   is a problem. Such transformations remove also both multiplicative and additive effects. The

257   differences between applying first or second derivative is that first derivative is based on the

258   difference between two subsequent spectral points and baseline is removed. Second derivative is

259   based on the successive spectral points of the first derivative so both baseline and derivative

260   trends are removed (Tsai & Philpot, 1998).

261   Moreover, Savitzky-Golay smoothing and differentiation is a derivative technique based on the

262   computation of a local polynomial regression to obtain a similar but smoothed function. The

263   advantages of this approach are noise reduction, smoothing of spectra and a single-step

264   derivative computation with the application of a filter. The difference with other pre-processing

265   techniques is that the initial distribution, relative maxima and minima and peak width are

266   preserved (Savitzky & Golay, 1964).

267   Two more pre-processing techniques, such as averaging and normalization, are also used to

268   transform data sets into a suitable matrix for subsequent modelling. Averaging characteristics

269   are based on the reduction of variables or objects in the data set, noise and uncertainty

270   measurements. On the other hand, normalization is based on the vector scaling (to 1.00 or 100)

271   of the sample set to obtain variables with the same size. This pre-processing is useful to

compensate variances in analytical measurements and it is similar to SNV (Esbensen, Guyot, Westad, & Houmoller, 2002).

4. Hyperspectral data analysis: Chemometric tools

4.1. Calibration models

Hyperspectral measurements are commonly characterized by a high complexity, so they should be interpreted to obtain efficient quantitative and qualitative information. Once the data have been pre-processed, multivariate statistical tools are applied to find relationships between the samples and the numerous variables obtained. Figure 3 is a graphical representation of multivariate qualification (projection and classification models) and quantification (regression models) methods that have been used as chemometric tools to deal with *Fusarium* and DON contaminated samples using HSI-NIR (Dale et al., 2012; Kumar, Bansal, Sarma, & Rawal, 2014).

Multivariate qualification methods are applied to reduce large amount of data to a limited number of variables, called principal components (PC). Principal component analysis (PCA) is based on the extraction of the most relevant information from the raw data and a reduction of its dimension by compressing the spectral data into a new group of orthogonal variables. It primarily permits the detection of sample groups, which can be used to classify them (Gatius, Lloveras, Ferran, & Puy, 2004). In order to build the best classification method, the selection of the number of PC should be considered. The optimum number is achieved when introducing one more PC, the performance does not improve, so we are increasing the computation time and the complexity of the model. The use of a cross-validation method should be considered to determine the number of PC to be selected for the best performance of the analysis (Jiang, Zhu, & Tao, 2010). A supervised classification model can be constructed making class models independently, each one described by a PCA. Unknown samples can be assigned to the known classes to classify them. Several studies of fungal contamination in cereals have used this technique to explain their results (Barbedo et al., 2015; Serranti, Cesare, & Bonifazi, 2013; Shahin & Symons, 2011). The structure of the PCA model is characterized by the equation 5:

$$X = t_1\, p_1^T + t_2\, p_2^T + \cdots + t_A\, p_A^T + E_A \qquad (5)$$

Where X are the coordinates expressed over the original X variables; t corresponds to the coordinates of the objects over the PCs; p corresponds to the vectors of the new subspace where the original variables X are projected; E corresponds to the noise characterized by the residuals; and A corresponds to the number of PC used.

Polder, Heijden, Pioneer, Waalwijk, & Young, (2005) used Fuzzy c-means clustering as statistical method for a prediction model development. Cluster analysis is a method which

306 consists in the categorization of the observations into groups (clusters), in which each
307 observation of the group has more similar features than other groups. More specifically, the
308 function used for the characterization of each observation inside the clusters by ranging the
309 observation between 0 and 1 (fuzzy partition) is called Fuzzy C-means clustering. By
310 minimizing iteratively, the function to obtain optimal fuzzy partition, an algorithm is built to
311 discriminate the relationship between the observation and the other groups. Values close to 1
312 indicate the similarity of the observation with its cluster and values close to 0 indicate less
313 similarity (Bezdek, Ehrlich, & Full, 1984).

314 An additional extensively used classification method in HSI is discriminant analysis (DA),
315 which purpose is to find recognition patterns that permits the separation of the observations into
316 classes. The rules obtained should also allow the association of new data into one of these
317 groups, so it is categorized as a supervised method (Hubert & Van Driessen, 2004). If a
318 common covariance matrix is obtained from the data estimation, a Linear Discriminant Analysis
319 (LDA) is used. LDA uses a linear combination of features in the multivariate data which are
320 able to divide observations into two or more groups by the maximization of the ratio between
321 variances of the compared observations in relation with the group variance (Esteki, Shahsavari,
322 & Simal-Gandara, 2018). This latest method is more widely applied because of the reduced
323 number of parameters involved in contrast with the unequal covariance matrix structures.
324 Consequently, both procedures have been applied for hyperspectral data analysis in *Fusarium*
325 and DON contamination in cereals (Delwiche & Kim, 2000; Delwiche et al., 2011; Delwiche,
326 Kim, & Dong, 2010; Rinnan et al., 2009; Ropelewska & Zapotoczny, 2018; Serranti et al.,
327 2013; Shahin & Symons, 2012, 2011; Singh et al., 2012; Tekle et al., 2015).

328 Linear and non-linear regression techniques have been used for the prediction of unknown
329 sample concentrations from the spectral data (Westad, Bevilacqua, & Marini, 2013). Regression
330 methods require a calibration set, in which the coefficients of the relation between
331 concentrations and spectra are calculated, and a validation set, in which these coefficients
332 obtained from the calibration set are checked with a new set of samples in order to calculate the
333 prediction error. (Boldrini et al., 2012). The most commonly used methods in hyperspectral
334 image data analysis are Principal Component Regression (PCR) and Partial Least Squares (PLS)
335 (Caporaso et al., 2018; Viscarra Rossel, 2008) .

336 MLR is the most basic regression method which can provide successful models for data matrix
337 with few variables X. Thus, for simple components prediction does not present substantial
338 differences with other regression procedures. However, its application in complex systems
339 (more X variables than Y samples) gives ineffectiveness because it is not able to deal with
340 interferences, noise, errors and collinearity between X variables. For that reason, MLR is not

341 commonly used in HSI-NIR calibration (Balabin, Safieva, & Lomakina, 2007; Fox, Onley-

342 Watson & Osman, 2002).

343 PCR is a two-step model which involves PCA on the spectral data and a subsequent MLR of the

344 prediction parameters on the scores obtained from the PCA. The regression is performed on the

345 scores with the optimum number of PC, which is much lower than the amount of original X

346 variables, so MLR weaknesses are avoided. The scores are orthogonal, so collinearity problems

347 disappear. In addition, the reduction of the number of variables permits to solve the model with

348 a lower number of equations (Næs & Martens, 2005).

349 PCR is used when the X information corresponding to the spectral data is desired because it

350 works with the direction of maximum variance of X. However, the HSI-NIR calibration purpose

351 is focused on the Y information about the analyte. PLS releases instrumental information to

352 focus on the Y information to obtain a well fitted model and lower errors of prediction.

353 PLS is, as PCR, a two-step model, that, instead of projecting on the directions of maximum

354 variance of X, it does on the maximum covariance of X-Y, so it improves the subsequent

355 regression of the dependent variable on these directions. For reaching this cooperation, latent

356 variables are computed to model the covariance structure for matrix and dependent variables

357 (Mehmood, Liland, Snipen, & Sæbø, 2012; Westad et al., 2013). PLS algorithms can be applied

358 to single Y variable (PLS1) or multiple Y variables (PLS2). Both PCR and PLS methods are

359 useful for wavelength selection because it permits the analysis of the original variables, so the

360 significant ones, which introduce much information, can be discerned from the irrelevant ones.

361 Finally, Support Vector Machines (SVM) is a method that has been applied to kernel

362 classification due to its applications in recognition and detection. Theoretically, SVM purpose is

363 to find an optimal hyperplane surface that divides the maximum of the data points into classes

364 by representing the sample points in the space. The space is divided by this hyperplane, which

365 consists in a vector between two points, of the two classes. When a new sample is introduced in

366 the model, depending on the position in the space previously separated by the hyperplane, will

367 be classified into one of the groups (X. Yang, Hong, You, & Cheng, 2015).

368 **5. Validation and performance of the calibration model**

369 The common procedures for calculating a regression model comprises the acquirement of

370 reference values and spectral data (usually pre-treated) for the calibration set. For validation, a

371 cross-validation procedure or and independent sample set may be introduced, in order to obtain

372 a realistic error of prediction to achieve concentration values as close as possible to the real

373 concentration of the analytes of interest in unknown samples from its spectral data. The

374 previous calibration step involves the reference values and spectra to be considered for the

375 training set, both related with a regression model that has a basic form as the equation 6:

$$Y = b_0 + b_1X_1 + b_2X_2 + \cdots + b_kX_k \qquad (6)$$

Where Y is the unknown variable to be measured; X_i are characteristic wavelengths used for the regression model; b_i are the regression coefficients, which estimates the unknown parameters; and b_0 is the offset (Givens, De Boever, & Deaville, 2005). In the calibration step, spectral values are used to calculate the regression coefficients. Subsequently, a set of new spectral data and the previously obtained regression coefficients are used to predict and measure the unknown variables (Y) in the validation set. The information from the reference method and the spectral data used for calibration and validation should be representative of the population that you work with.

To fit adequately the model to the data, the number of PCs to be used in the model should be adjusted to explain efficiently the variability of the calibration and validation sets. The criteria used to select the number of PCs to optimize the model is to detect the PC where a break on the curve of the validation residual variance occurs or a minimum in the prediction error is observed. Other more specific considerations about the analyte should also be reviewed to obtain a feasible method (Viscarra Rossel, 2008).

Calibration fitness can be determined by its statistical performance described by the following parameters: Coefficient of Determination of calibration (R^2_{Cal}), Standard Error of Calibration (SEC), the Root Mean Square Error of Calibration (RMSEC) (Chavez et al., 2013). The closest R^2_{cal} value to 1 and the closest SEC and RMSEC values to 0 correspond to the best calibration model.

In order to achieve the internal test and know which model is the best fitted to the data, a cross-validation procedure is commonly used when it is not possible to have a large number of autonomous samples from the calibration set. When a single sample is left out of the training set for each iteration, a leave-one-out cross-validation is performed. Otherwise, a k-fold cross-validation is carried out when a group of samples are left out. They are separated into different groups (*k*), *k-1* used for the calibration training and one remaining group for the test set. The test set group is changed until all samples have been tested (Ramírez-Morales, Rivero, Fernández-Blanco, & Pazos, 2016). Thus, the number of latent variables and the parameters (such as Root Mean Square Error of Cross-Validation - RMSECV) of the model are evaluated by its internal implementation.

Full Cross-Validation is considered the most realistic estimation when only one sample set is available, although it will always be more optimistic than the prediction error calculated from two sample sets. Two sample sets are not always accessible because it requires large number of samples and, if they are not representative of the population you are working with, the model will not be so realistic. Thus, in order to build an ideal model, large and representative sets of

samples from the calibration and external validation of the model are needed. This will prove the linearity, specificity and accuracy of the model to predict future sample concentrations (Levasseur-Garcia, 2018).

The performance of the model is assessed by statistic parameters which have to be considered for the model selection. Table 1 presents the most important statistic parameters to estimate the statistic performance of the models. The Coefficient of Determination of prediction (R^2_p) estimates the variance between reference and predicted values and the reference values versus the total variance. The Standard Error of Prediction (SEP) determines how precise is the model. However, it should be corrected by the bias, which considers the difference between the expected SEP value and its true value. The Root Mean Square Error of Prediction (RMSEP) determines how much accurate is the calibration and it is closely related with SEP and bias. Finally, the Ratio of Performance to Deviation (RPD) is the ratio between the SEP and the standard deviation of the samples and it is under discussion for its advantages in NIR calibration. Thus, some publications consider RPD a redundant parameter (Bellon-Maurel, Fernandez-Ahumada, Palagos, Roger, & McBratney, 2010). The model to be used should have the R^2_p closer to 1 and the SEPc (corrected by bias) and the RMSEP closer to 0.

6. HSI and *Fusarium* damage detection in cereals

Optical detection of fungal contamination, specially from *Fusarium* species, using hyperspectral technologies has been achieved recently by some authors, not only to obtain spectral features, but also spatial characteristics of numerous cereals (Xing et al., 2019). The research of a precise and accurate model to distinguish and classify kernels as damaged or healthy has motivated researchers to publish many works. In general, it has been concluded that NIR spectra are more suitable than VIS spectra for *Fusarium* damage detection (Polder et al. 2005; Delwiche et al. 2011). This section has focused on the studies based on detection of *Fusarium* contamination with HSI-NIR method, with special interest in wheat samples. Table 2 is a summary of the most relevant features of studies with this purpose.

Many works were concerned about *Fusarium* damage characterization in cereals by HSI using visual inspection as reference method for the classification of *Fusarium* Damaged Kernels (FDK) from colour and textural features (shrivelled, pink discoloration, white chalky, weight loss, etc.). Delwiche & Kim (2000) used a spectral range of 430-860 nm obtaining classifications accuracies of 86.8 and 98.4% in two different wheat types. Moreover, Delwiche et al. (2010) combined two pairs of wavelengths between the spectral range of 1000-1700 nm (1199, 1474 nm) and (1315, 1474 nm) obtaining a LDA classification accuracy of 82.5% in high visual contrast kernels. A year later, Delwiche et al. (2011) repeated the experiment at similar conditions but adding a VIS camera (400-1000 nm) and different wavelength pairs (502, 678 nm) and (1198, 1498 nm) achieving a better LDA correct classification accuracy (95%).

447 These authors placed the kernels in a template, each kernel crease-down located in a well, and

448 averaged the spectra of the pixels belonging to each kernel. Moreover, they showed that the

449 pixels located in the endosperm gave more useful information than those located in the germ. A

450 LDA was also built by Shahin & Symons, (2011), using a wavelength range of 400-1000 nm,

451 collected images of 800 kernels placed crease-down. The best LDA model was performed on

452 PCA score features and selecting six characteristic wavelengths (484, 567, 684, 817, 900, 950

453 nm). The classification accuracy was 92.25% for the validation set and it was similar compared

454 to previous works. The study of Singh et al. (2012) used different conditions, as *Penicillium*

455 and *Aspergillus* artificial inoculation instead of *Fusarium* and only an individual wavelength

456 (870 nm) selection (highest loading factor for the first PC). Classification by statistical LDA

457 reached a range from 88.7 to 98.0% of accuracy. The last study reported using a LDA method

458 for *Fusarium*-damage assessment was that of Ropelewska & Zapotoczny (2018) which used

459 three characteristic wavelengths (550, 710 and 850 nm) to classify two wheat varieties. The

460 classification accuracy obtained based on textural parameters of ventral and dorsal sides was 85-

461 98%, so the model presented similar precisions to the previous studies published. Even though

462 the reports used different spectral ranges or specific wavelengths, their results were similar.

463 Alternatively, PLS-DA models were also used in numerous studies for FDK classification.

464 Williams, Manley, Fox, & Geladi (2010) proved the discrimination power of HSI-NIR of

465 *Fusarium*-infected maize samples by different pre-processing techniques (MSC, SNV and non-

466 processed). Selecting the wavelengths 1960 nm and 2100 nm for the variation of the infected,

467 associated to carbohydrates and protein from fungal presence, and 1450 nm, 2300 nm and 2350

468 nm for the variance of the non-infected kernels a classification accuracy between 94.0-97.7%, a

469 coefficient of determination of 0.73 and 0.86 for each camera and a RMSECV of 0.23 were

470 reached. The best conditions to classify were applying an MSC step in infected kernels spectra.

471 A slightly poorer accuracy (90.5%) was achieved by Shahin & Symons, (2012) using 4

472 wavelength bands (494, 578, 639, 678 nm) and mean-normalized spectra. Moreover, the R^2 was

473 also lower (0.62) leading to a RMSECV of 0.31%. Serranti et al. (2013) used a general least

474 square weighting algorithm (GLSW) as pre-processing method and selected 12 effective

475 wavelength bands construct a PLS-DA model based on characteristic features. They obtained

476 good results to correctly classify FDK with 91% (RMSECV 3.8%) and 92% (RMSECV 2.4%)

477 for all wavelengths and 12 effective variables selected, respectively. Tekle et al. (2015)

478 analysed oat kernels by HSI-NIR obtaining reflectance spectrums, which were transformed to

479 absorbances and pre-processed by applying SNV. The characteristic wavelength bands for high

480 infection spectra were 1925, 2070 and 2140 nm, whereas 1400, 1626 and 1850 were related to

481 negative infections, obtained from the PCA loading weights. The average percentages of

482 damaged pixels in each group were 73.3% for severely damaged kernels, 46.9% for mildly

damaged kernels, 29.3% for asymptomatic and 26.5% for uninoculated, so it reflects the spatial

ability, in comparison to whole kernel classification, of the HSI system to detect levels of

Fusarium damage. Finally, the recent study of Delwiche, Rodriguez, Rausch, & Graybosch

(2019) displayed percentages of correct classification of cross-validation higher than 92% for

sound and *Fusarium*-damaged kernels statistically processed by both LDA and PLS-DA. In this

case, only mean-centring was applied except in the case of one assay in which SNV was used.

LDA models were performed with different wavelength properties (1000, 1197, 1308, 1394 nm)

and PLS-DA with different number of latent variables (2, 4 and 7). An independent validation

set of external samples was used to establish the SEP which were ranged between 4.9 and 6.6%,

so the results for the percentage of FDK determination were accurate. Finally, Barbedo et al.

(2015) proposed an algorithm for image pre-processing (region of interest (ROI) delimitation,

kernel and background segmentation and cluster splitting) previous to *Fusarium* Index (FI)

calculation. The higher the proportion of pixels with high reflection in the 1411 nm band was,

the larger value of FI was obtained. Moreover, for large FI values, FHB presence in the kernel

was more likely. According to them, the classification accuracy of the algorithm was

approximately 91%. The results obtained are similar to those in the abovementioned studies

based on the same purpose, but they are not comparable because they were obtained under

different parameters. In summary, all authors tried to identify the most relevant wavelengths in

order to build a multispectral equipment, affordable to be implemented in the food industry,

however, the identified wavelengths were not the same in the different studies.

The study of Polder et al. (2005) also used HSI-NIR technique for wheat analysis, but they

employed PLS regression for *Fusarium* quantitative analysis. The reference values were fungal

DNA concentration obtained with Taqman Real-Time PCR. Normalization and second

polynomial order SG-smoothing were applied for spectral pre-processing. The regression model

permitted a well-defined identification for *Fusarium* DNA in concentrations above 6000 pg and

the prediction of concentrations higher than 100 pg with an R^2 of 0.8. Although the correlation

between the *Fusarium* DNA and the spectra was acceptable, further investigations are needed to

improve fungal and DON concentration predictions.

7. HSI and DON estimation

HSI-NIR is not only applied to improve the detection of features that can be seen by eye so it is

also used for detecting components in a sample that cannot be appreciated visually. DON is

commonly found in asymptomatic grains and its detection is more complex than other visible

traits. Still, the detection of *Fusarium* damaged kernels, can be a rough indirect measurement of

DON presence. The application of the HSI-NIR technique to DON detection is a novel approach

which would be able to solve the problem of heterogeneity of the toxin through the sample, due

to the capacity of spatial examination (Fox & Manley, 2014), but still few studies exist. Recent

519 studies based on visualization, screening and quantification of DON in cereals have been

520 summarised in Table 3, with the purpose of presenting the last progresses about the topic.

521 Tekle et al. (2015) aimed to measure DON content from oat average NIR spectra by a PLS

522 regression model. The reference contamination of the samples was obtained with gas

523 chromatography coupled to mass spectrometry (GC-MS) and the images were acquired by HSI-

524 NIR at a wavelength range of 1000-2500 nm. Reflectance spectra were transformed into

525 absorbances and SNV was applied to remove scattering impact. PLS was optimized by cross-

526 validation. For 112 validation set of images and using five PCs for the model optimization, an

527 R_{val}^2 of 0.81 was obtained. An alternative PLS-LDA using the ratio of damaged pixels in the

528 kernel was also performed. The correlation between predicted and measured DON was 0.79, so

529 it was proved that both were valid for DON prediction.

530 Barbedo et al. (2015) algorithm also aimed the estimation of DON contamination of wheat

531 samples. Full-image DON estimation was performed (25-50 kernels per image), so individual

532 kernel analysis was not feasible. They studied the correlation between FI and DON

533 concentrations and they obtained a strong correlation of 0.84. However, at low DON levels, the

534 correlations with FI seems to be weaker because visual discrimination is more complex. A later

535 study of Barbedo et al. (2017) focused on DON screening of wheat samples and developed a

536 new algorithm. DON reference measurements were performed by direct competitive enzyme-

537 linked immunosorbent assay (DC-ELISA) and liquid chromatography coupled to mass

538 spectrometry (LC-MS). Two wavelengths (627 and 1411 nm) were selected because they

539 seemed to converge with DON presence. The algorithm was based on a DON preliminary index

540 (DPI) calculation, which is calculated from the kernel brightness. It was able to classify the

541 samples into the three classes proposed (<500 μg/kg, 500-1250 μg/kg, and >1250 μg/kg) with

542 an accuracy of 72%. Furthermore, the accuracy increased to 81% when the classes were reduced

543 into two, separated by the UE legal limit (1.25 mg/kg). Liang et al. (2018) also used algorithms

544 for DON detection in bulk wheat samples containing 250 – 5000 μg/kg in the Vis/NIR of 400-

545 1000 nm. First, samples were analysed by (LC-MS) and they were divided into three groups.

546 Images were acquired for each level of contamination (70 wheat kernels each) and the spectra

547 obtained were pre-processed by SNV and MSC, in order to reduce the RMSECV. Optimal

548 wavelengths were inspected for both pre-processing methods for Successive Projection

549 Algorithm (SPA) and Random Frog (RF) to reduce dimensionality. The best combination

550 between pre-processing and the selected algorithm was the MSC-SPA-SVM, obtaining an

551 accuracy of 100% and 97.72% in the training and the testing set, respectively. A visual

552 representation of the DON contamination was also achieved using the same model, which

553 provided information about the levels of the toxin within the sample.

554

8. HSI as a cereal sorting tool

Generally, mono or dichromatic cameras have been used for fungal inspection purposes. High-power LED pulses have been applied as inspection systems, in which the reflectance of the samples was measured. Although a kernel classification can be reached, spatial characterization of the sample is not possible, so the sample is processed as a whole, not being able to select its regions of interest. Consequently, one measurement analysis for the whole sample or each ROI is needed, so the selection of a the spectrum of an specific area (as a kernel) cannot be achieved (Delwiche, 2008, 2009). Thus, massive and rapid classification systems are needed to achieve discriminations of tonnes of grain in few hours (Fox & Manley, 2014).

The background of optical cereal sorting started in the Single Kernel-NIR (SK-NIR) spectroscopy, in which the automatic classification aptitudes were demonstrated. This automatization was achieved in numerous cases, providing wheat kernels discernment according to DON contamination at a limit of 60 mg/kg in 96% of the cases (Peiris et al., 2010). Moreover, positive and negative fungal infection discrimination in corn kernels was reached with an efficacy of 96% and 98%, respectively (Pearson & Wicklow, 2006). This system automatically feeds single wheat kernels to a spectrometer viewing area, which slows the sorting process.

Other rapid cereal sorting methods have also been tested for the reduction of mould-damage and DON contamination. Rejection of contaminated wheat kernels during freefalling have been evaluated for its rapid discrimination (Delwiche, 2006, 2007). Although the studies reached good results, samples were tested in a laboratory-controlled conditions, so further reports are needed to improve fungi and DON sorting in wheat. However, recent studies also reached good in-line results for AFB1 with the 99% of the peanut samples accepted below 10 μg/kg with a laser-based system (Liu et al., 2019).

HSI calibration is proposed for routine inspection of grain in food industries. Its ability to see spatially all over the kernels and across them in the cases the grain presents fissures or insect damages, have driven this instrument to be promising for real time *Fusarium* damage and mycotoxin assessment. The presence of asymptomatic kernels hinders the visual evaluation, so fungal detection is not as simple as for symptomatic ones. HSI-NIR is capable to evaluate fungal growth and spatially ubicate its presence on a single kernel. Moreover, albeit DON is produced by *Fusarium*, the contamination is not proportional. Asymptomatic samples with high DON concentrations can be fungal-free. For that reason, a precise technique with the ability of chemically inspect and sort contaminated cereals is needed (Tatzer, Wolf, & Panner, 2005).

9. Conclusions

589 Based on the last findings, the combination of spectroscopy tools and imaging opens a new field
590 in the detection of toxigenic fungi and their metabolites in cereals. HSI has been considered as a
591 rapid, non-destructive and low cost (after bearing the initial cost of the device) method for
592 reaching this aim. The review of the studies showed that this technique is able to classify
593 kernels between fungal-damaged or healthy kernels with high accuracies by simple analysis.
594 However, the visual inspection as a reference method used to build the model should be
595 changed for more robust techniques, in order to obtain wide representations of the sample.
596 Furthermore, the classification of grain in specific DON levels has been reached in some
597 studies, trying to approximate the threshold to legal limits in order to be used in real
598 applications. The advances described in this review are the preliminary models for the future
599 classification systems applied to the industry. Besides, DON quantification results showed
600 important improvements in algorithm construction and optimization of the models.
601 Nevertheless, lower prediction errors and reduced limits of detection are needed, to introduce
602 HSI-NIR as a routine inspection tool. Despite the improvements presented by numerous works,
603 enhancements in sample representativeness, in pre-processing techniques to highlight spectral
604 information and in model performances should be reached to construct routine massive sample
605 analysis.

606 HIS-NIR implementation may have an impact in food safety management of cereals, at two
607 main levels. First, industrial reception of grain is a critical step in which HSI-NIR applications
608 would be interesting for the substitution of classical analysis methods. Still, the heterogeneity of
609 the batches often presents troubles in the representativeness of the samples to be analysed.
610 Secondly, HSI-NIR would be useful to overcome this heterogeneity by rapid kernel or pixel
611 spectra evaluation (Gruna, Vieth, Michelsburg, & León, 2010). Consequently, industry-applied
612 instruments for on-line sorting of grain would be promising for fungal and mycotoxins
613 contamination management. In short, HSI is an encouraging analysis technique for fungal
614 damage and mycotoxin analysis due to the spatial dimension introduced and its fast scanning
615 capacity.

616

617 **Conflicts of interest**

618 The authors declare that they have no conflict of interest.

619 **Acknowledgements**

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Figure captions

Figure 1. Hyperspectral image diagram for a wheat sample and the relationship between spatial (x, y) and spectral axis (λ).

Figure 2. Push-broom HSI equipment.

Figure 3. Diagram of the most common used multivariate data analysis systems in HSI-NIR. Principal component analysis (PCA); component analysis (CA); DA (discriminant analysis); linear discriminant analysis (LDA); partial least squares (PLS) regression; principal component regression (PCR); multiple linear regression (MLR); supported vector machines (SVM).

879 **Table 1. Performance statistic parameters of the validation set (adapted from Agelet & Hurburgh, 2010; Levasseur-Garcia, 2018).**

Validation set parameters		
R^2_p	Coefficient of determination of prediction	$R^2_p = \dfrac{\Sigma\,(\hat{y}_i - \bar{y})^2}{\Sigma\,(y_i - \bar{y})^2}$
d_i	Residual	$d_i = \hat{y}_i - y_i$
Bias	Bias	$Bias = \dfrac{\Sigma\,d_i}{n}$
SEPc	Standard Error of Prediction (corrected by the bias)	$SEPc = \sqrt{\dfrac{(d_i - bias)}{n-1}}$
RMSEP	Root Mean Square Error of Prediction	$RMSEP = \sqrt{\dfrac{\Sigma\,(d_i)^2}{n}}$
RPD	Ratio of Performance to Deviation	$RPD = \dfrac{Sdev_{ref}}{SEPc}$

880 $\hat{y}_i$ = i[th] validation sample predicted value, y_i = i[th] validation measured values, $\bar{y}$ = mean of the n values (measured or predicted), n = number of samples; Sdev$_{ref}$ = Standard
881 deviation of reference.

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891 **Table 2. Hyperspectral imaging studies for *Fusarium* management in cereals**

Fungi or Disease	Crop	Number of samples	Wavelength range	Reference of contamination	Model	Performance and characteristic wavelength	Reference
Fusarium head blight	Wheat single kernels	32 scabby kernels, 32 control kernels	HSI-Vis/NIR 430-860 nm	Visual inspection	LDA	Increasing 5 bands from 458-844 nm Cross-validation classification accuracy: 83-98%	(Delwiche & Kim, 2000)
Fusarium culmorum infection	Wheat single kernels	96 kernels 2 images (Vis and NIR)/kernel	HSI-Vis 430-900 nm HIS-NIR 900-1750 nm	Visual inspection and TaqMan RT-PCR	PLS, Fuzzy c-means clustering	Identification of >6000 pg *Fusarium* DNA Prediction of >100 pg DNA with R^2 of 0.8	(Polder et al., 2005)
Fusarium verticillioides	Maize single kernels	15 kernels (5 infected, 5 asymptomatic, 5 control)	HSI-NIR 960–1662 nm and 1000–2498 nm (2 camera)	Fungal artificial inoculation	PCA, PLS-DA	Positive infection 1960, 2100 nm Negative infection: 1450, 2300, 2350 nm Camera 1 R^2 = 0.73 Camera 2 R^2 = 0.86 RMSEP = 0.23	(Williams et al., 2010)
Fusarium head blight	Wheat single kernels	8 samples of 2 g of intact seed (~60 kernels each sample)	HSI-NIR1000-1700 nm	Visual inspection	LDA	1001.7, 1126.9, 1199.2, 1314.8, 1473.8 nm Accuracy ND	(Delwiche et al., 2010)
Fusarium head blight	Wheat single kernels	8 samples of 2 g of intact seed (~60 kernels each sample)	HSI-Vis/NIR 400-1000 nm and 1000-1700 nm	Visual inspection	LDA	502, 678, 1198, 1496 nm Classification accuracy: 95%	(Delwiche et al., 2011)
Fusarium-damaged kernels	Wheat single kernels	Calibration set: 200 SND Validation set: 200 FDK each 24-36 kernels/image	HSI-Vis/NIR 400-1000 nm	Visual inspection	PCA, LDA	484, 567, 684, 817, 900, 950 nm Classification accuracy: 92% Prediction accuracy: 86%	(Shahin & Symons, 2011)
Penicillium spp., *Aspergillus glaucus, A. niger*	Wheat single kernels	Calibration set: 240 kernels Validation set: 60 kernels 5 kernels/image	HSI-NIR 700-1100 nm	Fungal artificial inoculation	LDA, QDA, Mahalanobis	870 nm FD classification accuracy: 94-98.3% False positives: 6.3-11.3%	(Singh et al., 2012)
Fusarium-damaged kernels	Wheat single kernels	Calibration set: 1073 SND and 1538 FDK Validation set: 1074 SND and 1536 FDK Prediction set: 799 kernels 24-36 kernels/image	HSI-Vis/NIR 400-1000 nm	Visual inspection	PLS-DA	494, 578, 639, 678 nm R_c^2 = 0.60; R_r^2 = 0.62 RMSEC = 0.31; RMSEV = 0.31 Classification accuracy: 90% False positives: 9%	(Shahin & Symons, 2012)
Fusarium-damaged kernels	Wheat kernels	Calibration set: 5 g of bulk kernels Validation set: 20-30 single kernels	HSI-NIR 1000-1700 nm	Visual inspection	PCA, PLS-DA, iPLS-DA	1209-1230, 1489-1510, 1601-1622 nm PLS-DA classification accuracy: 96% iPLS-DA: 94%	(Serranti et al., 2013)
Fusarium head blight	Wheat single kernels	25-50 kernels/image 27 hyperspectral images 830 total kernels	HSI-Vis/NIR 528-1785 nm	Visual inspection	*Fusarium* index, PCA	ROI: 647 nm; PCA: 1411 nm Classification accuracy: 91%	(Barbedo et al., 2015)
Fusarium-damaged kernels	Oat single kernels	Calibration set: 30 kernels Validation set: 14 kernels (4 categories each)	HSI-NIR 1000-2500 nm	Fungal artificial inoculation and SEM	PLS-LDA	Positive infection: 1925, 2070, 2140 nm Negative infection: 1400, 1626, 1850 nm Accuracy ND	(Tekle et al., 2015)
Fusarium graminearum infection	Wheat single kernels	1 kernel/image Ventral/dorsal scan 240 hyperspectral images	HSI-Vis/NIR 600-1100 nm	Visual inspection	LDA, K Star, PART, LMT	550, 710, 850 nm Classification accuracy: Ventral 78-100%; dorsal: 78-98%; both: 76-98%	(Ropelewska & Zapotoczny, 2018)
Fusarium head blight	Wheat single kernels	5 calibration samples 82 validation samples	HSI-NIR 938-1654 nm	Visual inspection	PLS-DA, LDA	1000, 1197, 1394, 1308 nm (LDA) LDA R = 0.772 and 0.811 PLS-DA classification accuracy: >92% PLS-DA/LDA SEP: 4.9-6.6%	(Delwiche et al., 2019)

892 FDK = *Fusarium* damaged kernel, iPLS-DA = interval partial least squares – discriminant analysis, LDA = Linear discriminant analysis, LMT = decision tree classifier, ND =
893 not defined, PART = rules classifier, PCA = Principal component analysis, QDA = quadratic discriminant analysis, SEM = scanning electron microscope, SND = sound.

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Table 3. Hyperspectral imaging studies for DON management in cereals.

Crop	Number of samples	Wavelength range	Reference of contamination	Spectral pre-processing and characteristic wavelength	Model	Performance	Reference
Oat single kernels	Calibration set: 248 kernels; 31 kernels/category; 4 dorsal, 4 ventral Validation set: 112 kernels; 14 kernels/category; 4 dorsal, 4 ventral	HSI-NIR 1000-2500 nm	GC-MS	Positive infection: 1925, 2070, 2140 nm Negative infection: 1400, 1626, 1850 nm SNV	PLSR, PLS-LDA	Calibration R^2 = 0.75 Cross-validation R^2 = 0.71 Correlation PLSR = 0.81 Correlation PLS-LDA = 0.79	(Tekle et al., 2015)
Bulk wheat kernels	25-50 kernels/image 6 hyperspectral images	HSI-Vis/NIR 528-1785 nm	LC-MS	ROI: 647 nm; PCA: 1411 nm	*Fusarium* index	Correlation FI/DON = 0.84	(Barbedo et al., 2015)
Bulk wheat kernels	30-50 kernels/image 3 levels of contamination 251 total images Calibration set: 33 images Validation set: 218 images	HSI-Vis/NIR 528-1785 nm	DC-ELISA, LC-MS	Wavelength observation 623, 1411 nm	Confusion matrix, k values	Classification accuracy: 72% in three classes, 81% in two classes (EU limit)	(Barbedo et al., 2017)
Bulk wheat kernels	70 kernels/image 60 images/level of contamination Calibration set: 44 images Validation set: 16 images	HSI-Vis/NIR 400-1000 nm	LC-MS/MS	SNV, MSC 14, 12, 7, 14 wavelengths for each pre-processing method	SVM, PLS-DA	Classification accuracy: 100% in training set; 97.92% in testing set	(Liang et al., 2018)

896   FI = *Fusarium* index, PLS-DA = partial least squares regression – discriminant analysis, PLSR = partial least squares regression, SVM = support vector machine.
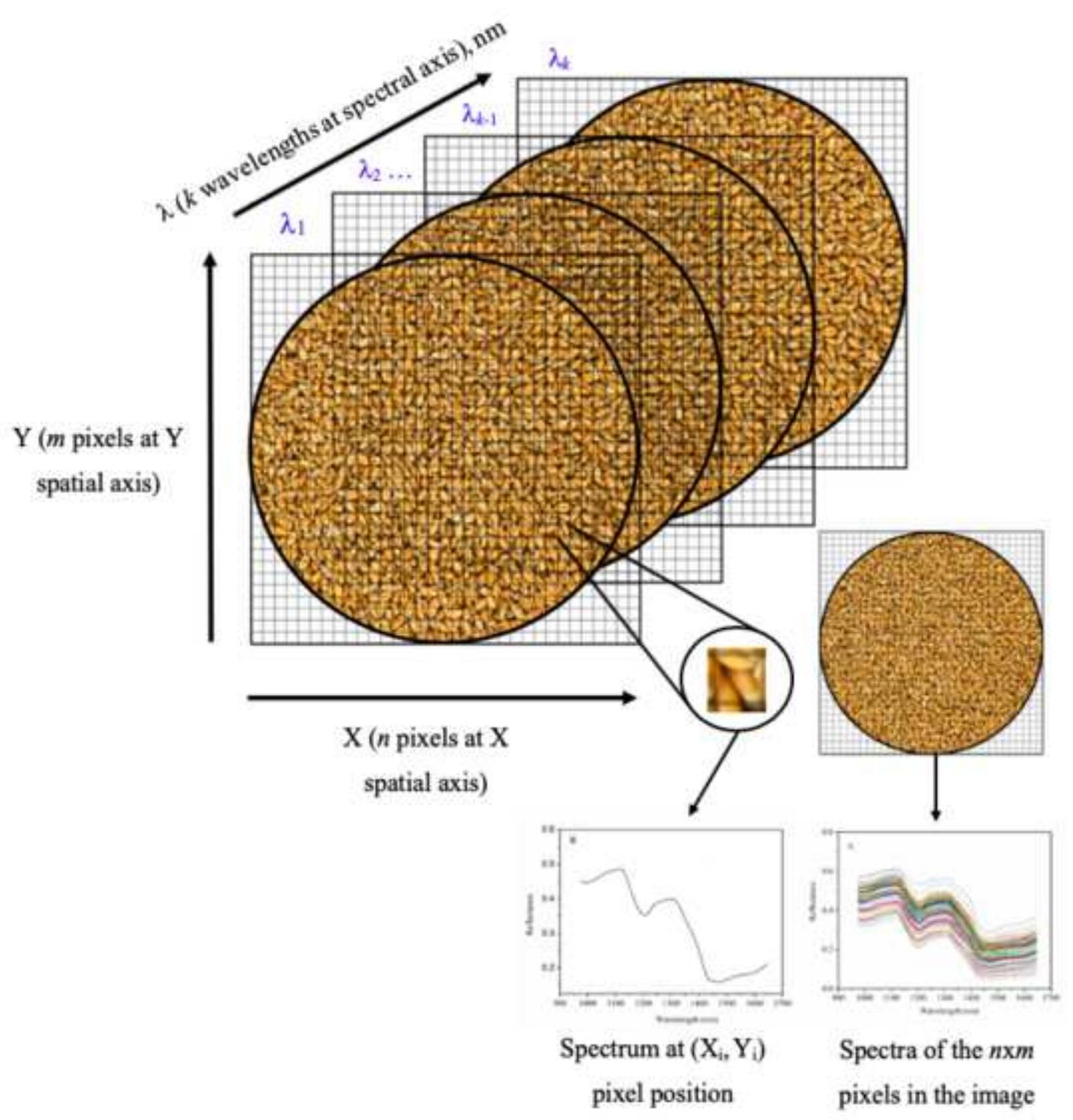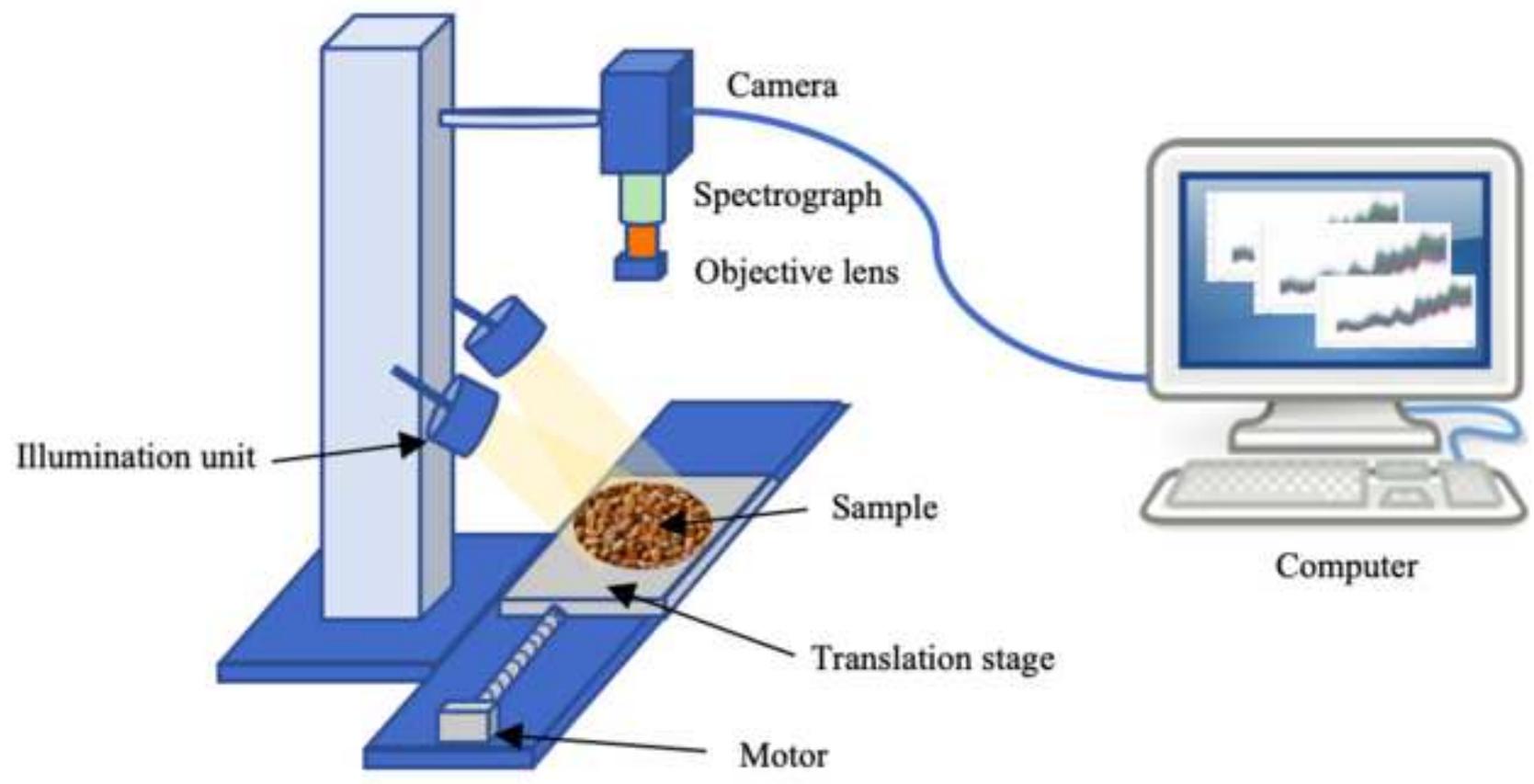
Figure 1

Y (*m* pixels at Y spatial axis)

λ (*k* wavelengths at spectral axis), nm

λ_1 λ_2 ... λ_{k-1} λ_k

X (*n* pixels at X spatial axis)

Spectrum at (X_i, Y_i) pixel position

Spectra of the *nxm* pixels in the image

Figure 2

Figure 3