

Embodied Energy and Cost of High Temperature Thermal Energy Storage Systems for use with Concentrated Solar Power Plants

Rhys Jacob^{1*}, Martin Belusko¹, A. Inés Fernández², Luisa F. Cabeza³, Wasim Saman¹, Frank Bruno¹

¹ Barbara Hardy Institute, University of South Australia, Mawson Lakes, SA 5095, Australia

² Department of Materials Science and Metallurgical Engineering, Universitat de Barcelona, Martí i Franqués 1, 08028 Barcelona, Spain

³ GREA Innovació Concurrent, Universitat de Lleida, Edifici CREA, Pere de Cabrera s/n, 25001 Lleida, Spain

* Corresponding author E-mail: rhys.jacob@mymail.unisa.edu.au (R. Jacob)

Abstract

The intermittency of renewable energy systems remains one of the major hurdles preventing a large scale uptake of these technologies and concentrated solar power (CSP) systems are no different. However, CSP has the benefit of being able to store excess heat using thermal energy storage (TES). For the uptake of CSP with TES it must be demonstrated that the technology is both economically as well as environmentally feasible. This paper aims to investigate the economic and environmental impact of several TES options that are available for CSP systems. The investigated systems include an encapsulated phase change material (PCM) system, a coil-in-tank PCM system and a liquid sodium TES system. The economic impact in the current study refers to the capital cost (CAPEX) of each system including the tank, storage material, encapsulation cost (if applicable) and allowances for construction and engineering. The environmental impact of each system is accounted by calculating the embodied energy of each of the system components. Each storage system will be required to store a comparable amount of energy so that reliable conclusions can be drawn. The results from this analysis conclude that the encapsulated PCM (EPCM) and coil-in-tank system represent an embodied energy of roughly one third of the corresponding state-of-the-art two-tank molten salt system.

29 Furthermore, the EPCM and coil-in-tank systems result in CAPEX reductions of 50% and
30 25% over the current state-of-the-art two-tank molten salt system. The liquid sodium system
31 was found to result in higher embodied energy and CAPEX than any previously studied TES
32 system. Finally, the advantages and disadvantages of each system was discussed and
33 compared to previous literature.

34 *Keywords: embodied energy; environmental impact; high temperature thermal energy storage (TES) systems;*
35 *concentrated solar power (CSP) systems.*

36 *Abbreviations: Phase Change Material (PCM); Thermal Energy Storage (TES); Concentrated Solar Power*
37 *(CSP); Capital Expenditure (CAPEX); Encapsulated Phase Change Material (EPCM).*

38 1. Introduction

39 1.1 Background

40 The field of high temperature thermal energy storage (TES) has steadily been growing with
41 several successful demonstrations showing the benefit of TES as a storage method for high
42 temperature concentrated solar power (CSP), however the cost and environmental impacts of
43 these system is largely unknown, unpublished or overlooked. Previous attempts at
44 quantifying the embodied energy or carbon footprint of phase change material (PCM) TES
45 have been performed by [Oró et al, 2012], [Anisur et al, 2013], [Lopez-Sabiron et al, 2014]
46 and [Miró et al, 2015]. [Anisur et al, 2013] found that about 3.43% of CO₂ emissions by 2020
47 could be reduced through the application of PCM in building and solar thermal power
48 systems. Further savings could be achieved if PCMs could be used in other applications such
49 as thermal comfort of vehicles, transport refrigeration, engine cold start or waste heat
50 management. [Lopez-Sabiron et al, 2014] investigated the potential of PCMs as a storage
51 option for recovering waste heat for downstream applications, thereby reducing the amount
52 of fossil fuel needed for heat generation. The life cycle analysis (LCA) and global warming
53 potential (GWP100) methodology were used to identify the best cases, considering the
54 environmental benefits that each case can generate. It was found that in general PCMs
55 achieve an environmental benefit as the reduction in fossil fuel use is enough to balance the
56 energy consumed in the production of the PCM. However, they noted that the selection of the
57 PCM greatly influences the environmental benefit. This sentiment is echoed by [Miró et al,
58 2015] who investigated the embodied energy of three high temperature TES options. The
59 studied options were high temperature concrete slabs, a two-tank molten salt system and a

60 PCM system. From the analysis it was shown that the high temperature concrete system had
61 the lowest embodied energy. As the liquid molten salt and PCM were nitrate-based the
62 embodied energy of these systems was large. The results from [Miró et al, 2015] are found to
63 be similar to that of previous research by [Oró et al, 2012] using the same methodology. [Oró
64 et al, 2012] found that the solid TES system corresponded to the lowest LCA impact across
65 all three of the main factors; namely eco system quality, human health and resources. The
66 molten salt system was found to have the largest impact on human health and resources due
67 to the materials used in the molten salt. The PCM studied by [Oró et al, 2012] was also found
68 to have a high impact on human health and resources but was less than the molten salt system
69 due to a lower material inventory. In the current study an environmental and economic
70 analysis has been performed on several promising methods of high temperature TES. The
71 embodied energy methodology has been selected as the environmental evaluation tool due to
72 its versatility and ability to easily compare systems. This type of evaluation allows materials
73 with large embodied energy values to be replaced by more favourable materials while still at
74 the design phase, saving time and money. The embodied energy methodology can be defined
75 as an energy accounting process investigating the energy used through the entire production
76 chain. However, the main issue with this type of methodology is the lack of agreement on the
77 system boundary: some of them consider the transport from the industry to the application,
78 while others consider the disposal of the material or the percentage of recycled material in the
79 production process. In the current study the embodied energy data has been taken from
80 sources with known system boundaries so that a direct comparison can be made. The current
81 method of storage for large scale CSP plants is the two-tank system utilising molten salt.
82 While this method of storage has been successful in current plants, if CSP is to be
83 economically competitive in the future the cost must be reduced. Furthermore, it has been
84 shown that the two-tank molten salt storage method suffers from a significantly high
85 environmental and health impact [Oro et al, 2012; Miró et al, 2015], reducing the
86 environmental savings of the overall system. To achieve economical competitiveness and a
87 reduction in environmental impacts a new generation of CSP plants (and TES systems) must
88 be realised. To increase the cost competitiveness of future CSP plants they must be able to
89 operate at higher temperatures to increase the turbine efficiency. The current operating limit
90 of solar salt is 565°C, which is well below the predicted temperature of 600°C-700°C
91 required in future s-CO₂ Brayton cycles. If storage is to be coupled to the new generation of
92 turbines it must be able to supply heat above 600°C. Furthermore, the cost of the storage

93 system must be reduced to \$25/kWh_t in order to reduce the LCOE of the CSP plant to
94 12c/kWh [ASTRI, 2016]. Current two-tank molten salt storage systems are unable to achieve
95 these targets so new methods of storage must be investigated. To this end PCMs or liquid
96 metals are seen as a promising solution. PCMs are materials that can store/release a large
97 amount of heat as they undergo a change of phase. The high energy density of these materials
98 allows the storage volume and therefore the storage cost to be reduced. However, the main
99 issue with current PCMs is their inherent low thermal conductivity. This low thermal
100 conductivity leads to long charge/discharge times, which is undesirable for power generation.
101 To increase the thermal conductivity, the PCM can either be encapsulated or placed in a coil-
102 in-tank arrangement. Alternatively, liquid metals, principally liquid sodium, have an
103 inherently high thermal conductivity and high temperature stability which could allow them
104 to be a suitable high temperature sensible energy storage option if the safety issues associated
105 with them can be curtailed. To identify the potential benefits of PCM or liquid metal systems,
106 an economic and environmental evaluation must be accomplished. Therefore, the aim of the
107 current study is to quantify the environmental and economic impact of two (2) PCM-based
108 and a liquid metal-based TES system. This will be done by utilising the embodied energy and
109 CAPEX methodologies to identify if any or all of the proposed systems can reduce the
110 environmental and economic impacts of the current two-tank molten salt system.

111 2. TES Systems

112 In the current study three different TES systems have been investigated due to their
113 promising suitability with the next generation of CSP plants. Two of the systems utilise latent
114 heat while the other is based on sensible heat storage. The investigated systems are:

- 115 • Encapsulated PCM (EPCM) system: latent heat system using a two chloride PCMs,
116 geopolymer shell and air as the heat transfer fluid (HTF).
- 117 • Coil-in-Tank system: latent heat system with stainless steel tubes in which liquid
118 sodium flows.
- 119 • Liquid sodium system: Two-tank sensible heat system using liquid sodium as the
120 HTF and storage material.

121 2.1 Encapsulated PCMs

122 In an attempt to increase the PCM thermal conductivity it can be encapsulated in another
123 material.

124 As well as increasing the overall thermal conductivity of the material, encapsulation of PCMs
125 also helps to form a barrier between the PCM and the containment material and helping to
126 control the large volume change that occurs during phase change. A variety of PCMs and
127 shell materials have been studied and demonstrated for high temperature applications
128 [Mathur et al, 2013; Alam et al, 2015; Jacob and Bruno, 2015], however in the current study
129 a novel solution proposed by [pending] has been selected for further study. The design and
130 properties of the EPCM system are shown in *Figure 1* and *Table 1*.

131 **INSERT FIGURE 1 HERE**

132 **INSERT TABLE 1 HERE**

133 2.2 Coil-in-Tank PCMs

134 In the coil-in-tank arrangement a HTF flows through tubes to exchange energy as heat to the
135 bulk PCM (see *Figure 2*).

136 **INSERT FIGURE 2 HERE**

137 The amount and material of tubes is dependent on the corrosion capability and thermal
138 conductivity of the HTF and bulk PCM. In the current study the coil-in-tank system
139 dimensions have been based on CFD analysis and the effectiveness-NTU method as
140 presented in [Liu et al, 2014]. The parameters used to design the system can be found in
141 *Table 2*.

142 **INSERT TABLE 2 HERE**

143

144 2.3 Liquid Sodium Two-Tank

145 As an alternative to molten salt receivers, liquid metals can be used due to their stability at
146 higher temperatures and increased thermal conductivity. Recent studies have shown the
147 benefit of using liquid metal receivers for future CSP plants [Pacio and Wetzel, 2013;
148 Coventry et al, 2015; Fritsch et al, 2015]. As the thermal conductivity of liquid metals is high
149 the solar field and receiver can be smaller therefore reducing cost. Additionally, the higher
150 operating temperatures that can be achieved allow high efficiency turbines to be used, further
151 reducing the system cost. Of the liquid metals studied liquid sodium has been shown to be the
152 most efficient and cost effective [Pacio and Wetzel, 2013] and despite potential safety
153 concerns, proposals for liquid metal storage has been suggested [Fritsch et al, 2015]. In the

154 current study the liquid sodium is stored in a ‘hot’ tank at 700°C and a ‘cold’ tank at 360°C
155 as shown by *Figure 3*.

156 **INSERT FIGURE 3 HERE**

157 The design of the two-tank system was performed using the SAM software [NREL, 2015]
158 where the properties of liquid sodium are taken from [Holman, 2010] and are listed in *Table*
159 *3*.

160 **INSERT TABLE 3 HERE**

161 3. Methodology

162 The specific methodology for the environmental and economic methodologies are given in
163 the following section.

164 3.1 Environmental Evaluation

165 The environmental evaluation used in the current study is based on embodied energy values
166 found on specialised software (such as EcoInvent [EcoInvent] and CES Selector [CES,
167 2012]) or published literature [Jamieson et al, 2015; McLellan et al, 2011]. Where indicated
168 the embodied energy has been taken directly from the data. Where this is not available an
169 educated assumption based on similar materials has been used. Multiple databases have been
170 used in the current study due to gaps in the data for this type of analysis. To minimise
171 discrepancies, the EcoInvent database was consulted first. If it could not be found there, CES
172 Selector or published literature values were used. Finally, educated estimates were used for
173 the remaining materials. In this analysis the embodied energy is used to describe the direct
174 energy input used to manufacture, transport and refine the material prior to construction. It
175 will not take into account any of the environmental externalities (eg. pollutants) unless
176 specifically mentioned. In addition, all systems are assumed to have equal lifetimes. At the
177 end of life materials are recycled where applicable.

178 *3.1.1 Embodied Energy Values*

179 *Table 4* below shows the materials used in construction and their given embodied energy
180 value.

181 **INSERT TABLE 4 HERE**

182 **INSERT FIGURE 4 HERE**

183 *3.1.2 Tank Design*

184 The designs of the tanks used in the current study are based on previously reported designs
185 found in literature [Hermann et al, 2004; Kelly and Kearney, 2006]. As the temperature is
186 higher than previously installed tanks, a method of insulation thickness was developed,
187 wherein the insulation is based on a commercial high temperature mineral wool and T is the
188 stored temperature (°C).

189
$$\text{Insulation Thickness (mm)} = 0.0018T^2 - 0.8084T + 461.68 \quad [1]$$

190 The foundations of the tank consist of a steel slip ring, firebricks, foamglass insulation, a
191 concrete thermal foundation, and a reinforced concrete slab. For all cases the steel slip ring is
192 assumed to be 6mm and constructed of stainless steel. The firebricks, foamglass insulation
193 and thermal foundation thickness is dependent on the temperature of the tank. The thickness
194 of the reinforced concrete is constant for each case. A summary of the tank design parameters
195 are shown in *INSERT TABLE 5 HERE*

196 **INSERT TABLE 5 HERE**

197 An example of the tank design for the EPCM system is shown in *Figure 6*.

198 **INSERT FIGURE 5 HERE**

199 3.2 Economic Evaluation

200 The economic evaluation performed in the current study is based on a slightly modified
201 costing methodology described in [Jacob et al, 2014] for the EPCM and coil-in-tank systems.
202 The costing of the liquid sodium two-tank system was based on the cost of the storage
203 material and tanks, subject to the same procedure. The cost data for the materials used in the
204 current study are shown in *Table 6*. All costs are described in USD (\$) unless otherwise
205 indicated.

206 **INSERT TABLE 6 HERE**

207 **4. Results**

208 In this section the environmental and economic results are compared for each design. Each
209 system has a desired storage capacity of 405MWh_t. The temperature of the sodium to and
210 from the power block is set to 700°C and 360°C, respectively. For the air based system, the

211 temperature of the air to and from the power block is set to 660°C and 360°C, respectively.
212 Each system is assumed to operate independently from the receiver, with the parasitic losses
213 in the system assumed to be comparable in each system. As such as the storage capacity is the
214 same it can be assumed that the electricity generation will be the same. The effectiveness
215 described in each section is used to appropriately compare the systems. As not all of the
216 material is capable of 100% energy storage, an effectiveness can be used to ‘oversize’ the
217 system so that a desired storage capacity can be achieved.

218 4.1 Environmental Evaluation

219 *4.1.1 EPCM System*

220 The effectiveness of thermocline systems is generally 69% [Brosseau et al, 2004], however it
221 is predicted to be more for cascaded PCM systems [Adebiyi et al, 1996; Wang et al, 2015].
222 Therefore, using the model described in [*pending*], a storage effectiveness and a storage
223 capacity of 90% and 450MWh_t respectively was calculated. The breakdown of the system
224 embodied energy is shown in *Figure 5*.

225 **INSERT TABLE 7 HERE**

226 **INSERT FIGURE 6 HERE**

227 *4.1.2 Coil-in-Tank System*

228 The estimation of the size of the coil-in-tank system was performed using [Liu et al, 2014]. In
229 this scenario the inlet HTF temperature was 700°C, and an overall effectiveness of 0.9 is used
230 (0.8 for latent, 0.6 for sensible). The embodied energy breakdown is shown in *Figure 7*.

231 **INSERT TABLE 8 HERE**

232 **INSERT FIGURE 7 HERE**

233 *4.1.3 Liquid Sodium System*

234 For the liquid sodium two-tank system, construction of the storage tank is assumed to be
235 made from stainless steel. Usually for a two-tank system the ‘cold’ tank is made from carbon
236 steel, however as sodium poses a major safety factor and corrosion rates of sodium on carbon
237 steel are three times greater than for 316 stainless steel [Davis, 1997], both tanks will be
238 constructed of stainless steel. An efficiency of 85% is used for the two-tank system owing to
239 the liquid ‘heel’ left in each tank which is not utilised [Brosseau et al, 2004], therefore the

240 system is designed for a storage capacity of 476MWh_t. The breakdown of embodied energy
241 is shown in *Figure 8*.

242 **INSERT TABLE 9 HERE**

243 **INSERT FIGURE 8 HERE**

244 *4.1.4 Comparison and Energy Payback Period*

245 A comparison and breakdown of the embodied energy in the studied systems is shown in
246 *Table 10*.

247 **INSERT TABLE 10 HERE**

248 As the goal of the thermal energy storage system (TESS) is to minimise the use of heavy
249 polluting fossil fuels, the system should not require a significant amount of energy to
250 construct compared to the additional output that the system can achieve. To calculate the
251 energy payback of the TESS, the additional energy generated from the turbine is calculated
252 assuming the storage is charged and discharged once a day (see *Equation 2*).

$$253 \quad Q_{year} = Q_{cap} * \eta_{turbine} * Days_{op} \quad [2]$$

254 In *Equation 2* Q_{cap} , $\eta_{turbine}$ and $Days_{op}$ have the values of 405MWh_t, 37% and 355days/year,
255 respectively. Using these values, the TESS is able to supply an additional 192TJ of energy a
256 year. The energy payback of each system is calculated and shown in *Figure 10*.

257 **INSERT FIGURE 9 HERE**

258 From *Figure 10* it can be seen that the EPCM and coil-in-tank systems have the energy
259 required in their construction offset in under two months. Due to the high embodied energy of
260 the sodium two-tank system, the energy is not offset by the system until over three years has
261 elapsed.

262 4.2 Economic Evaluation

263 Using the methodology previously discussed, the CAPEX of the studied systems could be
264 estimated. The results from this analysis are shown in *Figure 9*.

265 **INSERT TABLE 11 HERE**

266 **INSERT FIGURE 10 HERE**

267 5. Discussion

268 5.1 Embodied Energy

269 The major contributor to the embodied energy of the EPCM and coil-in-tank systems (see
270 *Figure 5* and *Figure 7*) is from the steel tank. For the two-tank sodium system, the sodium is
271 the main contributor (see *Figure 8*). In all cases large amounts of embodied energy are from
272 metals. This is due to the metal extraction and production process being highly energy
273 intensive, with the energy used in this process largely derived from fossil fuels. To reduce the
274 embodied energy of the storage tanks the steel can be replaced by a less energy intensive
275 product such as concrete, such as those demonstrated by Airlight Energy [Airlight, 2016]. For
276 example, the substitution of a steel tank to a concrete tank in the EPCM system results in a
277 41% reduction in embodied energy. Furthermore, the embodied energy of the tanks can be
278 additionally reduced by substituting waste materials into the concrete mixture [McLellan et
279 al, 2011; Jamieson et al, 2015]. Other methods to reduce the embodied energy of the studied
280 systems include reducing or substituting the sodium silicate binder in the EPCM system,
281 substituting the sodium HTF in the tubes with a less energy intensive HTF such as solar salt
282 or air, or producing energy/electricity from renewable sources.

283 5.2 CAPEX Estimate

284 The cost breakdown for the studied systems can be seen in *Figure 9*. For the EPCM and coil-
285 in-tank systems the major contributors to the CAPEX are the encapsulation or tubing, the
286 storage tank and the construction costs. In the liquid sodium case nearly half of the CAPEX is
287 from the sodium storage material. Because of the low thermal conductivity of salt PCMs it
288 should be encapsulated or in contact with a large amount of tubes/fins to reduce charging and
289 discharging times. However, this increases the cost of the system by adding extra processing
290 steps or extra materials. Cost reductions can be realised in the encapsulation process by the
291 scale-up of the encapsulation process while the cost of tubing can be reduced by employing a
292 thermally conductive PCM such as aluminium.

293 5.3 Comparison with previous work

294 With no viable alternatives, the two-tank molten salt system was the only technology that
295 could be used for large-scale TES in CSP until recently. With the successful pilot scale
296 testing of concrete storage [Laing and Lehmann, 2008] and rock-bed thermocline systems
297 with molten salt [Pacheco et al, 2002] there are now viable alternatives to the traditional two-
298 tank system. In addition, PCM systems (both coil-in-tank and EPCM) have been successfully

299 demonstrated at small scale [Zanganeh et al, 2015]. As such there is now an increasing body
300 of work that investigates the non-operational aspects of these systems such as environmental
301 impact and system economics. The following section is used to discuss the findings in the
302 current study with those published previously.

303 *5.3.1 Comparisons based on embodied energies*

304 As previously mentioned there has been little work to date investigating the embodied energy
305 of high temperature TESS. However, several papers have been identified with the results
306 summarised here and compared to the current study. [López-Sabirón et al, 2014] investigated
307 the carbon footprint of TESS for recovery of industrial energy recovery as a method to reduce
308 fossil fuel consumption. In this study a life cycle analysis (LCA) of four (4) PCM systems
309 was carried out and evaluated using the Global Warming Potential (GWP) method. All
310 systems were based on the same mass of PCM (825kg) with a range of energy storage
311 capabilities (see *Figure 12*).

312 **INSERT FIGURE 11 HERE**

313 Having sized the system, the carbon footprint could be found by including the PCM, HTF
314 (diphenylether-compounds) and the heat exchanger area (330kg of steel). Particular attention
315 was paid to the manufacture of the PCM, which has a significant impact on the final carbon
316 footprint of the system (see *Figure 11*).

317 **INSERT FIGURE 12 HERE**

318 In *Figure 12* and *Figure 11* the case number represents the potassium nitrate (KNO_3), sodium
319 hydroxide (NaOH), carbonate ($K_2CO_3/Na_2CO_3/Li_2CO_3$) and the lithium and potassium
320 hydroxide (LiOH/KOH) systems as described by [López-Sabirón et al, 2014].

321 [Miró et al, 2015] expanded on the previous work of [Oró et al, 2012] by analysing three (3)
322 high temperature TES options using the embodied energy of materials found in EcoInvent
323 [EcoInvent, 2016]. It was found that under the studied conditions the solid media system
324 utilising high temperature concrete blocks provided the lowest embodied energy with the
325 PCM system corresponding to the highest embodied energy. Molten salt two-tank systems
326 were found to the intermediate system (see *Figure 13*).

327 **INSERT FIGURE 13 HERE**

328 Using the data contained in *Figure 11* and *Figure 13* a comparison of the embodied energy of
329 the previously studied systems could be compared to the current work. Unfortunately due to
330 the differing methodologies, inaccurate or unavailable data and assumptions used in the
331 environmental analysis of high temperature TESS a direct comparison is difficult to make but
332 is made here as an initial attempt (see *Figure 14*). In *Figure 14* PCM-NO₃, PCM-OH, PCM-
333 CO₃ and PCM-OH₂ correspond to cases 1-4, respectively, in [López-Sabirón et al, 2014],
334 whereas PCM-NO₃, SOLID-Concrete and 2-Tank-NO₃ correspond to the PCM, solid and
335 PCM systems described in [Miró et al, 2015].

336 **INSERT FIGURE 14 HERE**

337 From *Figure 14* several conclusions can be made such as the importance of the evaluation
338 method employed (carbon footprint vs embodied energy) and the type of PCM used. The
339 varying environmental impact of high temperature PCMs was alluded to in [López-Sabirón et
340 al, 2014] and confirmed in the current study. When nitrates are used as a storage material
341 (either as sensible or latent) the environmental impact is significant and should be
342 reconsidered. However, for less energy intensive materials such as chlorides, hydroxides and
343 carbonates, the environmental impact is significantly less. For example, the embodied energy
344 of halite (NaCl) is 0.15MJ/kg whereas the embodied energy of the nitrate salts (K/Na-NO₃) is
345 over 100 times more at 16MJ/kg. This is also relevant when calculating the carbon footprint
346 with sodium hydroxide (NaOH) releasing nearly half as many kilograms of carbon-dioxide
347 equivalent (kg CO_{2-eq}) as Li/K-OH and K/Na/Li-CO₃ and nearly 14 times less than potassium
348 nitrate [López-Sabirón et al, 2014]. More generally it can be seen that when the carbon
349 footprint analysis is performed the results are much higher than those calculated using the
350 embodied energy methodology. This is likely due to the different system boundaries and
351 scope used in the analysis, the source of the input data or the conversion from carbon
352 footprint to embodied energy (1 kg CO_{2-e} is equivalent to 7 MJ/kg in the current study). For
353 more accurate comparisons to be made in the future a universal system boundary or
354 methodology should be established. Furthermore, additional data on the embodied energy of
355 materials used in high temperature TESS needs to be produced. This has successfully been
356 prepared for building materials and other low temperature materials but needs to be expanded
357 to the high temperature materials. In comparison with previous studies the EPCM and coil-in-
358 tank systems described in the current study are able to achieve lower or comparable
359 embodied energies with the other studied systems presented in the literature. It should be
360 noted that the embodied energy of the EPCM and coil-in-tank system is roughly a third of the

361 corresponding state-of-the-art two-tank molten salt system as presented in [Miró et al, 2015],
362 therefore a major reduction in the environmental impact of these two (2) systems is realised
363 with the energy payback estimated to be in the order of a couple of months. Due to the large
364 embodied energy and energy payback of sodium it should not be recommended as an
365 environmentally beneficial storage material in high temperature TESS.

366 5.3.2 Comparison based on CAPEX estimations

367 CAPEX estimations are an important part of project development as it is usually one of the
368 major deciding factors as to whether a project is likely to be implemented or scaled up. If a
369 project proves unfeasible at the planning stage it is highly unlikely to continue to be
370 developed. CAPEX estimations of various alternatives to two-tank molten have begun to be
371 published recently to showcase the cost benefits of such systems over the costly two-tank
372 molten salt system. While difficult to directly compare CAPEX estimations as system
373 boundaries and cost data may differ, the reported CAPEX of the studied systems are shown
374 where possible.

375 **INSERT TABLE 12 HERE**

376 **INSERT TABLE 13 HERE**

377 As most of the studies shown in *Table 12* and *Table 13* are for various system configurations
378 it is difficult to quantitatively compare each system, however general conclusions can be
379 made. Most CAPEX estimations are based on systems utilising EPCMs with solar salt as the
380 HTF. It can be seen that there is quite a range of estimations for these systems (\$5.7/kWh_t-
381 \$22/kWh_t) with the major determining factor being the PCM used. It can also be seen that the
382 HTF can have a major effect on the overall cost with oil-based systems suffering a
383 significantly higher CAPEX (\$108/kWh_t) than solar salt (\$13.9/kWh_t) or air (\$13.6/kWh_t)
384 based systems. Based on previous studies it would seem plausible that the EPCM system
385 described in the current paper could achieve a lower CAPEX than those described in previous
386 studies. The CAPEX of the coil-in-tank system seems to be in-line with other studied
387 systems, however is still higher than other studied systems such as metallic alloy PCMs (Al-
388 Si₁₂) or higher temperature carbonate PCMs (51K₂CO₃:49NaCO₃). The CAPEX of the coil-
389 in-tank could be reduced by optimising the system configuration or using cascaded PCMs to
390 make it favourable with similar systems. However, it is also important to consider that the
391 CAPEX for the EPCM and the coil-in-tank system described in the current study present
392 savings of 50% and 25% respectively over the CAPEX of the two-tank system shown in

393 [Glatzmaier, 2011]. The CAPEX of the liquid sodium system is significantly higher than any
394 studied high temperature TES system and should not be considered on economic grounds.
395 However due to the high thermal conductivity of liquid sodium system, it could potentially be
396 employed for small-scale (>3hr) rapid response systems if managed correctly.

397 6. Conclusions

398 In the current study the embodied energy and CAPEX of three (3) high temperature TES
399 options which have been proposed for CSP are estimated. The embodied energy of the
400 studied systems was estimated using commercial software and relevant literature studies. Of
401 the studied systems, the EPCM system resulted in the lowest value with an embodied energy
402 equivalent to 47.8TJ/MWh_t. The coil-in-tank had a similar embodied energy to the EPCM
403 system (65.2TJ/MWh_t) whereas the sodium two-tank system had a significantly larger
404 embodied energy than the other studied cases (1528TJ/MWh_t). The CAPEX of the system
405 was estimated using the methodology described in [Jacob et al, 2014] with material costs
406 found from various vendors. From these estimates the EPCM system resulted in the lowest
407 CAPEX (\$11.2/kWh_t) followed by the coil-in-tank (\$19.2/kWh_t) and sodium two-tank
408 (\$43.4/kWh_t) systems. Where possible the results found in the current study were compared
409 to the embodied energy and CAPEX of other high temperature TES options with favourable
410 results. It was concluded that the EPCM and coil-in-tank systems described in the current
411 study have lower or comparable embodied energy values to other high temperature TES
412 options. Furthermore, the current EPCM system resulted in a lower CAPEX than previous
413 EPCM systems. The current coil-in-tank system has a comparable CAPEX to similar systems
414 described in literature but would benefit from further optimisation including configuration
415 and the use of multiple PCMs. The two-tank liquid sodium tank was unable to result in a
416 lower embodied energy or CAPEX than the systems presented in the current study or those
417 presented in the literature.

418 6.1 Future Work

419 While the work presented in the current study provides an important advancement in the
420 environmental and economic impact of high temperature TES, there is still significant
421 improvements that can be made. Future work should be focussed on improving these types of
422 study by:

- 423 • Improving or increasing the transparency of the data obtained for embodied energy
424 values.
- 425 • Implementing a well-defined system boundary for both the embodied energy and
426 economic estimates.

427 By making these improvements a more thorough comparison between systems can be made.
428 This will allow researchers and industry alike to focus on the technologies that are likely to
429 provide a significant improvement on the currently employed two-tank molten salt TES
430 system. Furthermore, a thorough investigation into the use of metallic PCMs (particularly
431 aluminium) should be undertaken to determine if the higher material cost and embodied
432 energy is offset by the higher energy density and reduced material usage.

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545 TABLES

	PCM1	PCM2	Shell	HTF
Description	53BaCl:28KCl:19NaCl (wt%) [Marianowski and Maru, 1977] [Maru et al, 1978]	36KCl:64MgCl ₂ (wt%) [Marianowski and Maru, 1977] [Maru et al, 1978]	Fly Ash/Black Slag Geopolymer	Air [Holman, 2010]
ρ (kg/m³)	3010 (s) 2342 (l)	2190 (s) 1752 (l)	2500	0.39
cp (kJ/kgK)	0.63	0.84	0.6	1.12
Δh (kJ/kg)	221	388	-	-

546

Table 1- EPCM System Properties

547

	PCM	Tubing	HTF
Description	40NaCl:60NaCO ₃ (wt%) [?]	Stainless Steel 316	Sodium [Holman, 2010]
ρ (kg/m³)	2300 (s)	7900	830
cp (kJ/kgK)	0.98	0.5	1.26
Δh (kJ/kg)	278	-	-

548

Table 2- Coil-in-tank System Parameters

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Temperature (°C)	Heat Capacity (kJ/kg°C)	Density (kg/m ³)	Viscosity (Pa.s)	Kinematic Viscosity (m ² /s)	Thermal Conductivity (W/m°C)
204	1.34	900	4.3E-04	4.78E-07	80.3
304*	1.32	876	3.8E-04	4.29E-07	76.2
404*	1.31	852	3.3E-04	3.79E-07	72.1
504*	1.29	828	2.8E-04	3.30E-07	67.9
604*	1.28	804	2.3E-04	2.80E-07	63.8
704	1.26	780	1.8E-04	2.31E-07	59.7

*Interpolated Values

550

Table 3- Liquid Sodium Properties

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Material	Embodied Energy (MJ/kg)	Description	Reference
Sodium	136.5	Sodium	CES
Stainless Steel (Plate)	80.6	Stainless Steel, austenitic, AISI 316, wrought, annealed, recycled	CES
Concrete (Foundation)	0.35	Concrete (normal(Portland Cement)), recycled	CES
Concrete (High Temperature)	0.35	Concrete (high alumina), recycled	CES
NaCl	0.15	Halite (NaCl)	CES
Tubes of Steel	4	Drawing of pipes, steel [kg] (#1163)	EcoInvent
Rock Wool	22	Rock wool, packed, at plant [kg] (#1001)	EcoInvent
Foamglass	36	Foam glass, at plant [kg] (#7160)	EcoInvent

Firebricks	20	Refractory, fireclay, packed, at plant [kg] (#498)	EcoInvent
Fly Ash	0.05	Capture, Separation	McLellan et al. 2011
Black Slag	0.05	Assumed same as Fly Ash	-
Sodium Silicate Solution	0.87	GPC is 80% OPC, unrecycled	Jamieson et al 2015, CES
Air	0	-	-
BaCl	0.21*	Calculated Value	CES
KCl	0.21*	Calculated Value	CES
MgCl ₂	0.2*	Calculated Value	CES
NaCO ₃	0.2*	Calculated Value	CES

*When embodied energy is graphed against price an approximately linear relationship is found. Using the bulk price of materials the embodied energy of similar materials can be found (see Figure 4).

552

Table 4- Embodied Energy of Studied Materials

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Material	Density (kg/m ³)			Thickness (mm)		
Mineral Wool	48 [350°C]	64 [450°C]	80 [650°C]	403 [360°C]	721 [660°C]	789 [700°C]
Steel Ring	7900			6		
Firebricks	1915			0 [≤360°C]	165 [>360°C]	
Foamglass	120			400 [≤360°C]	300 [>360°C]	
Thermal Foundation	2400			0 [≤360°C]	230 [>360°C]	
Reinforced Concrete*	2400			610		

* Steel reinforcement is required at a ratio of 73kg steel/m³ concrete

554

Table 5- Tank Design Parameters

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	EPCM System	Coil-in-Tank System	Liquid Sodium 2-Tank
C_{FM} (\$/kg)	0.33 (PCM1) 0.26 (PCM2) [Xu et al, 2015a; Liu et al, 2015]	0.19 [Xu et al, 2015a; Liu et al, 2015]	2 [Pacio and Wetzel, 2013]
C_{SHELL} (\$/kg)	0.05 [pending]	N/A	N/A
C_{HTF} (\$/kg)	0	2 [Pacio and Wetzel, 2013]	N/A
C_{TUBE} (\$/kg)	N/A	3.4 [pending]	N/A

556

Table 6- Material Cost Data

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	Material	Estimated Usage (kg)	Embodied Energy (MJ/kg)	Total Embodied Energy (MJ)
Tank	Stainless Steel Tank	98,949	80.6	7,975,284
	Tank Insulation	28,495	22	626,901
	Steel Slip Ring	23,242	80.6	1,873,268
	Firebricks	154,931	20	3,098,626
	Foamglass Insulation	17,652	36	635,465
	Thermal Foundation	270,661	0.35	94,731
	Concrete Foundation	717,840	0.35	251,244
	Steel Reinforcements	21,834	4	2,871,359
Storage Material	(Ba-K-Na)-Cl	105,673	0.2	21,115
	(K-Mg)-Cl ₂	2,007,786	0.2	398,696
	Air	613	-	-
Encapsulation	Geopolymer	1,628,422	0.05	14,981
	Binder	705,650	0.87	1,483,167

Table 7-Material Usage and Embodied Energy in EPCM System

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560

	Material	Estimated Usage (kg)	Embodied Energy (MJ/kg)	Total Embodied Energy (MJ)
Tank	Stainless Steel Tank	194,912	80.6	827,828
	Tank Insulation	37,629	22	566,470
	Steel Slip Ring	7,028	80.6	937,014
	Firebricks	46,851	20	192,162
	Foamglass Insulation	5,338	36	28,646
	Thermal Foundation	81,847	0.35	75,975
	Concrete Foundation	217,072	0.35	868,289
	Steel Reinforcements	6,603	4	3,260,889
Storage Material	NaCO ₃ -NaCl	4,812,426	0.18	861,251
	HTF	22,481	136.5	3,068,700
Tubing	Stainless Steel	815,222	4	3,260,889

Table 8- Material Usage and Embodied Energy in Coil-in-tank System

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	Material	Estimated Usage (kg)	Embodied Energy (MJ/kg)	Total Embodied Energy (MJ)
Tank	Stainless Steel Tank	768,988	80.6	61,980,412
	Tank Insulation	82,878	22	1,823,306
	Steel Slip Ring	25,545	80.6	2,058,948
	Firebricks	85,144	20	1,702,883
	Foamglass Insulation	22,635	36	814,862
	Thermal Foundation	148,745	0.35	52,061
	Concrete Foundation	788,993	0.35	276,148
	Steel Reinforcements	23,999	4	3,155,972
Storage Material	Sodium	4,007,962	136.5	547,086,879

Table 9- Material Usage and Embodied Energy of Liquid Sodium System

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	EPCM	Coil-in-Tank	Liquid Sodium Two-Tank
<i>Steel (Tank) (MJ)</i>	12,719,911	17,144,645	67,195,332
<i>Concrete (MJ)</i>	345,975	104,622	328,208
<i>Insulation/Firebricks (MJ)</i>	4,360,991	1,957,005	4,341,050
<i>HTF (MJ)</i>	-	3,068,700	547,086,879
<i>PCM (MJ)</i>	419,811	861,251	-
<i>Shell/Tubing (MJ)</i>	1,498,148	3,260,889	-
<i>Total (MJ)</i>	19,344,836	26,397,112	618,951,470
<i>Total (MJ/MWh_{th})</i>	47,765	65,178	1,528,275

Table 10- Comparison of Embodied Energies of Studied Systems

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	EPCM	Coil-in-Tank	Liquid Sodium
Cost of Encapsulation/Tubing (\$)	992,111.77	2,771,755.88	-
Cost of Storage Tank(s) (\$)	1,044,949.90	1,063,794.57	3,058,098.34
Cost of Storage Material (\$)	556,896.47	895,111.20	8,015,924.97
Balance of System Cost (\$)	357,207.21	357,207.21	420,243.77
Construction Cost (\$)	885,349.60	1,526,360.66	3,448,280.13
Engineering and Inspection Cost (\$)	383,651.49	661,422.95	1,494,254.72
Contingency Cost (\$)	295,411.65	509,295.67	1,150,576.14
Installed Cost (\$)	4,515,578.09	7,784,948.15	17,587,378.07
Installed Cost (\$/kWh_t)	<u>11.15</u>	<u>19.22</u>	<u>43.43</u>

Table 11- CAPEX of investigated systems

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Storage Description	CAPEX Estimate (\$/kWh _t)	Ref	Notes
3-PCM EPCM System	16	[Mathur et al, 2013]	<i>Developed product trading under Terrafore ©</i>
Cascaded EPCM System	5.8 [1 PCM] 5.7 [2-PCM] 5.8 [3-PCM]	[Nithyanandam and Pitchumani, 2014]	
EPCM System	109 [PCM1, 8h] 115 [PCM1, 6h] 101 [PCM2, 6h]	[Xu et al, 2015]	<i>Therminol VP-1 used as HTF; likely cause of high costs</i>
EPCM System	21 [PCM1, 8h] 22 [PCM1, 6h] 21 [PCM2, 6h]	[Xu et al, 2015a]	<i>Solar Salt used as HTF</i>
EPCM System	15.9	[Jacob et al, 2014]	<i>Alloy PCM, Silicon Carbide Shell</i>
Cascaded EPCM System	11.2	Current Study	<i>Geopolymer Shell, 53BaCl:28KCl:19NaCl PCM, Air HTF</i>

Table 12- Comparison of EPCM CAPEX Estimations

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Storage Description	CAPEX Estimate (\$/kWh _t)	Ref	Notes
Shell-and-tube Latent Heat System	9.5 [PCM-1, Tower] 21.6 [PCM-2, Tower] 17.7 [PCM-1, Trough]	[Bai et al, 2014]	<i>Lowest cost of system shown</i>
Coil-in-tank PCM System	19.7 [450 PCM, Incalloy Tubes] 19.1 [623 PCM, Incalloy Tubes] 22.8 [508 PCM, SS316 Tubes] 48.3 [560 PCM, Incalloy Tubes] 12.1 [Al-Si PCM, Titanium Tubes] 10.2 [710 PCM, SS316 Tubes]	[Jacob et al, 2014]	<i>Variety of PCMs and tube materials studied</i>
Coil-in-tank PCM System	19.2 [623 PCM, SS 316 Tubes]	Current Study	

Table 13- Comparison of Coil-in-Tank CAPEX Estimations

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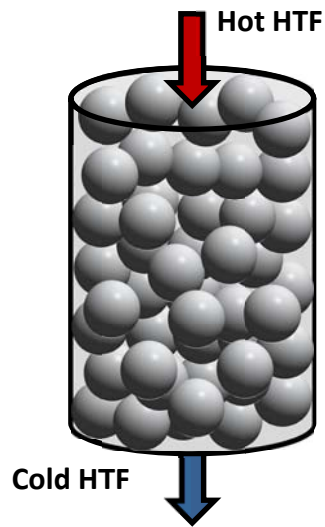


Figure 1- EPCM System

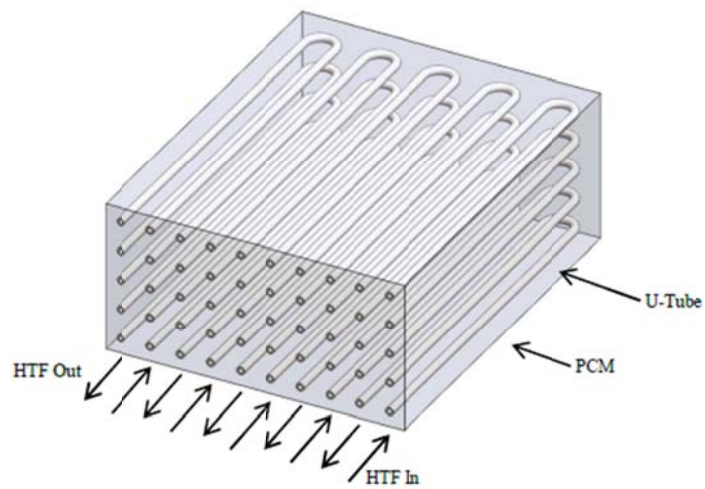


Figure 2- Tube-in-tank (shell and tube) PCM energy storage system with U-Tube

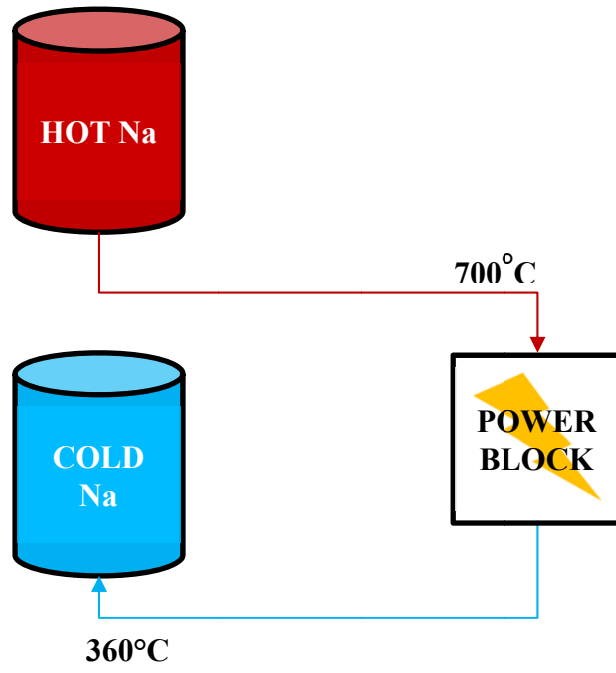


Figure 3- Liquid Sodium Storage

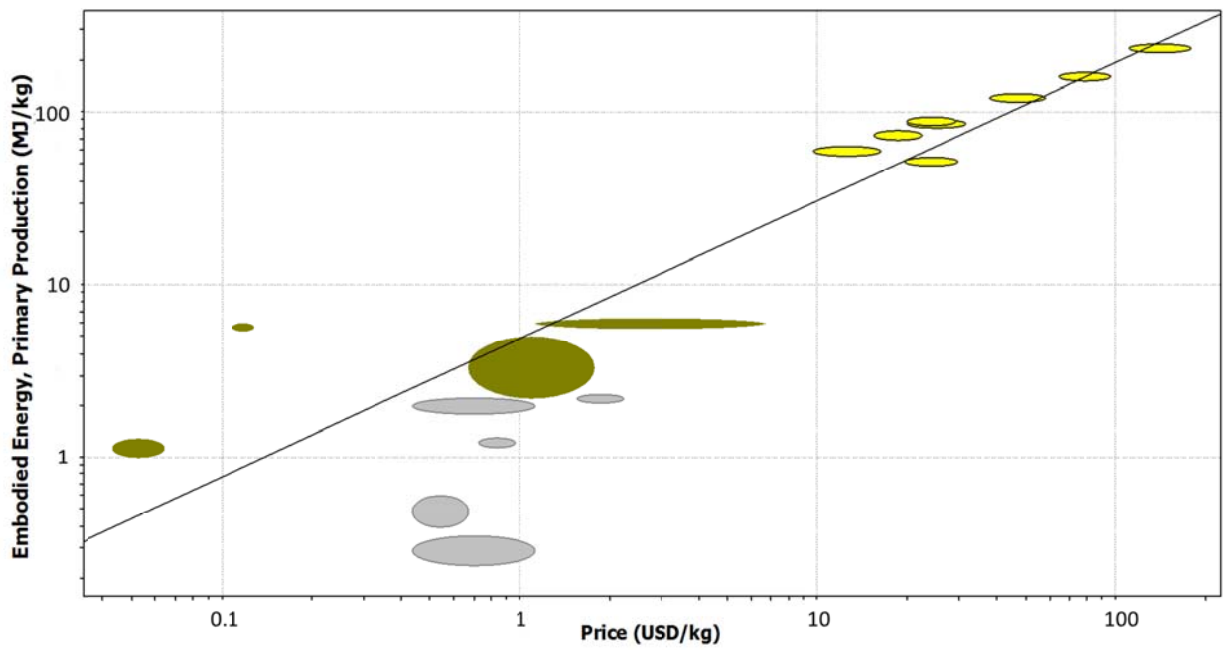


Figure 4- Embodied Energy vs. Price of Ceramics

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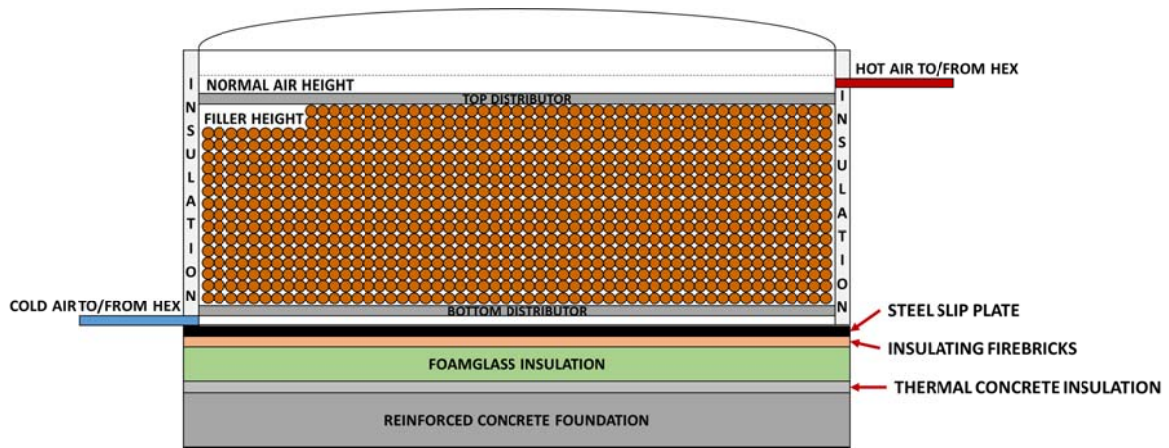


Figure 6- EPCM Tank Design

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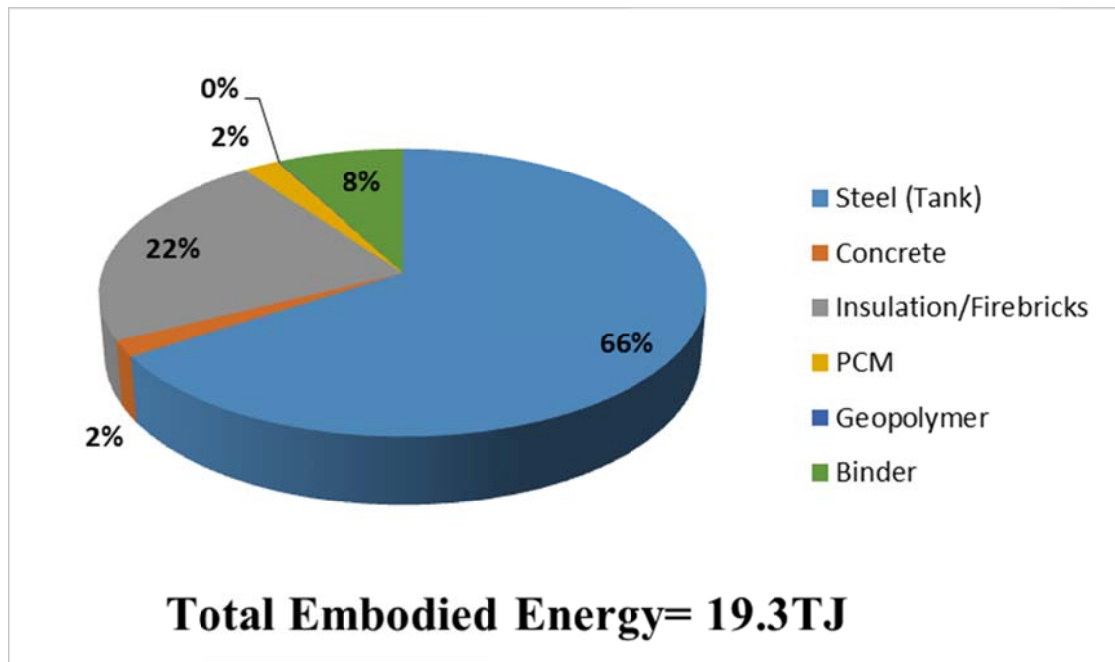


Figure 5- Embodied Energy Breakdown of EPCM System

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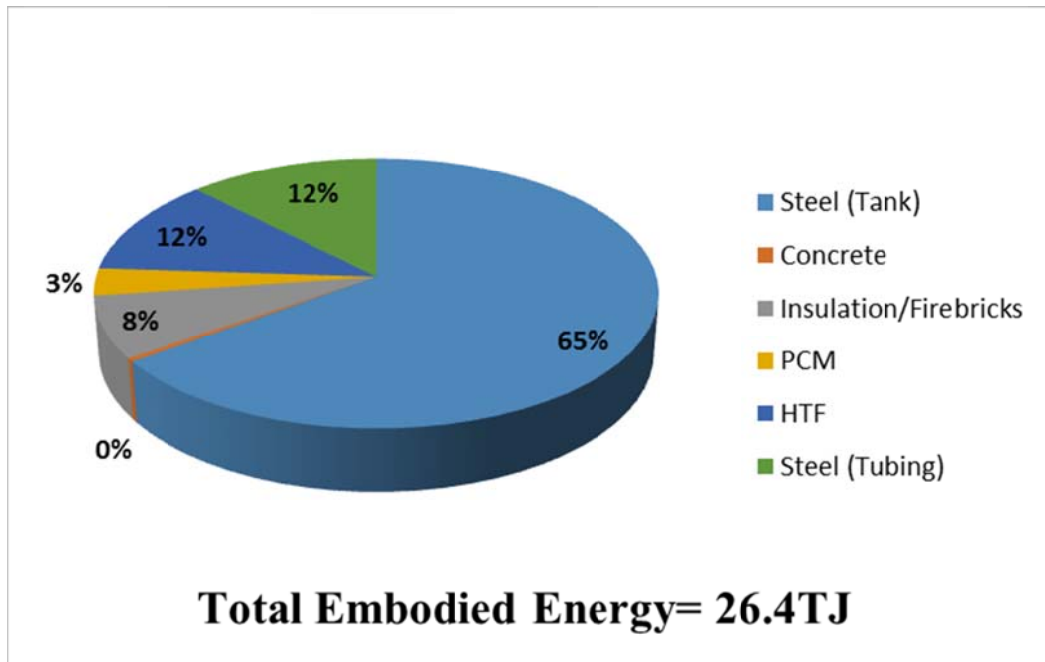


Figure 7- Embodied Energy Breakdown of Coil-in-Tank System

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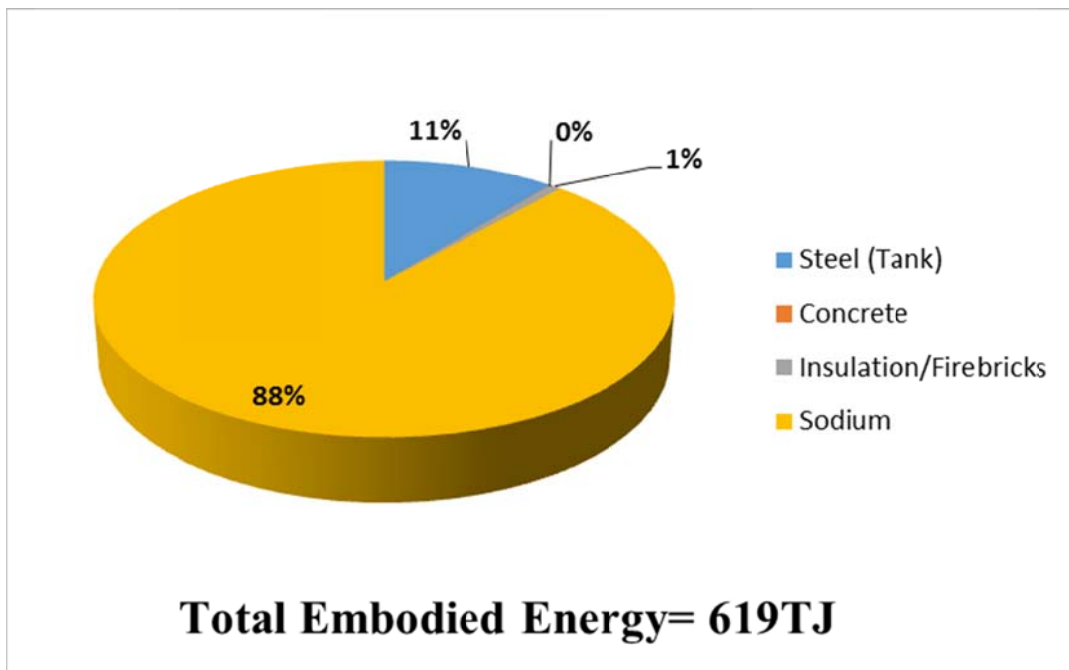


Figure 8- Embodied Energy Breakdown of a Two-Tank Sodium System

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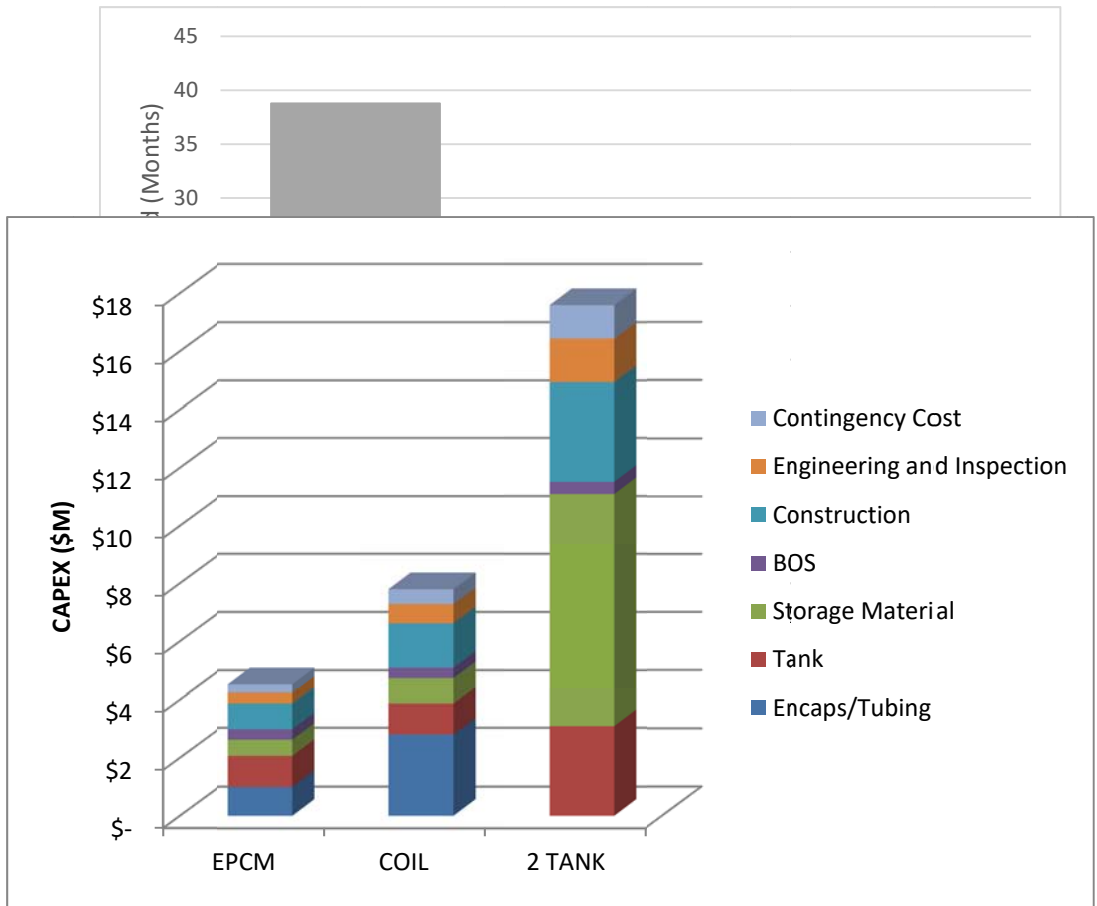


Figure 9- Cost Breakdown of Studied Systems

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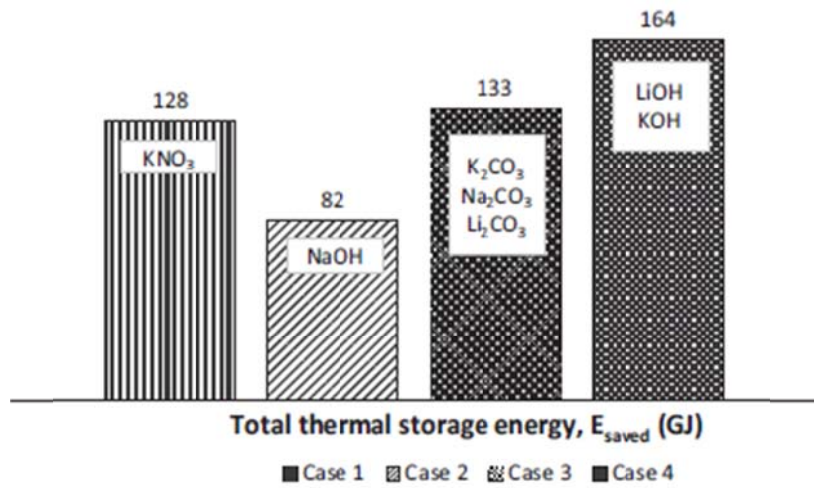


Figure 12- Storage Capabilities of Four PCM Systems

Adapted from [López-Sabirón et al, 2014]

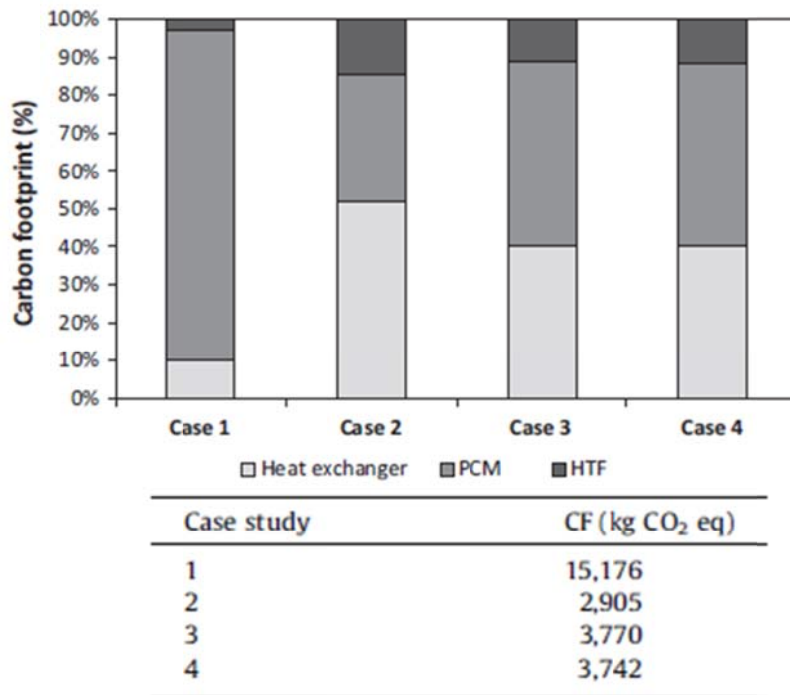


Figure 11- Carbon Footprint of Four PCM Systems

Adapted from [López-Sabirón et al, 2014]

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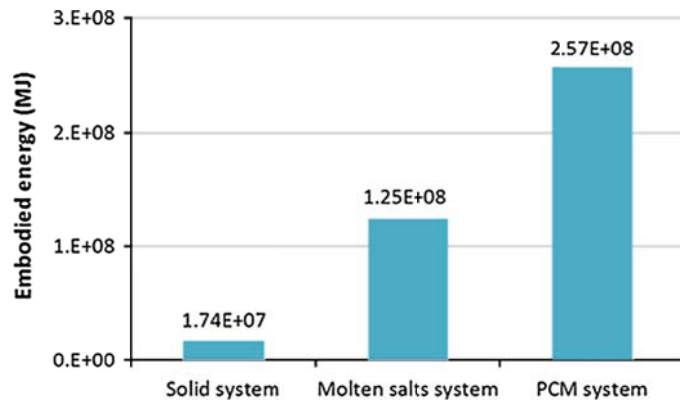


Figure 13- Embodied Energy of Solid, Liquid and PCM Systems

Adapted from [Miró et al, 2015]

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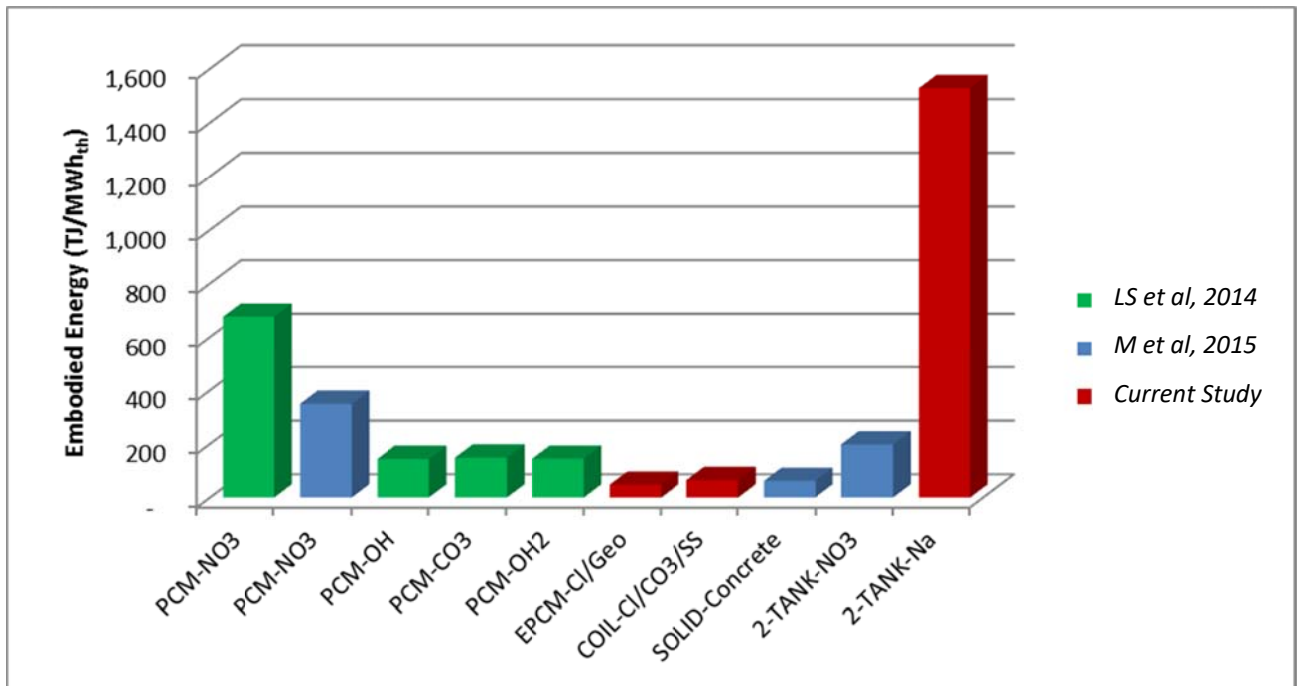


Figure 14- Embodied Energy of Various Systems from Previous Studies

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