

1 **Title:** Identification of carotenoids using mass spectrometry in positive ion mode

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13 **Identification of carotenoids using mass spectrometry in positive ion mode**

14 The present review compiles positive MS fragmentation data of selected carotenoids obtained  
15 using various ionization techniques and matrices. In addition, new experimental data from the  
16 analysis of carotenoids in transgenic maize and rice callus are provided. Several carotenes and  
17 oxygen-functionalized carotenoids containing epoxy, hydroxyl and ketone groups were  
18 ionized by atmospheric pressure chemical ionization (APCI)-tandem mass spectrometry  
19 (MS/MS) in positive ion mode. Thus, on the basis of the information obtained from the  
20 literature and our own experiments, we identified characteristic carotenoid ions that can be  
21 associated to functional groups in the structures of these compounds. In addition, pigments  
22 with a very similar structure were differentiated through comparison of the intensities of their  
23 fragments. The data provide a basis for the structural elucidation of carotenoids by mass  
24 spectrometry (MS).

25 **Keywords:** mass spectrometry (MS); tandem mass spectrometry (MS/MS); fragmentation;  
26 carotenoids

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44 **I. INTRODUCTION**

45 Carotenoids are natural pigments that serve a multitude of functions (Walter & Strack, 2011).  
46 They are synthesized by a huge range of organisms within archaea and eubacteria (including  
47 cyanobacteria), as well as eukaryotes (algae, fungi and plants). They are found in a large  
48 number of fruits and vegetables (oranges, tomatoes, carrots, spinach, sweet potatoes,  
49 pumpkins), spices (paprika), some animal products (eggs, butter, milk) and seafood (salmon,  
50 shrimp, trout, mollusk, etc.) (Lesellier et al., 1993). Carotenoids are required for the correct  
51 assembly of photosystems (Matthews, Luo & Wurtzel, 2003) in plants. These pigments  
52 absorb light across a broader range of the spectral region in which the sun irradiates  
53 maximally and they transfer the energy to chlorophyll, thereby initiating the photochemical  
54 events of photosynthesis (Polívka & Frank, 2010). In addition, carotenoids serve as precursors  
55 for the hormones abscisic acid (ABA) and strigolactones (Zhu et al., 2010). They also act as  
56 attractants for animals, such as pollinating insects and seed-disbursing herbivores (Zhu et al.,  
57 2009). Carotenoids also have a number of functions in animals. For example, they boost the  
58 immune system and promote general health. These pigments are critical in determining sexual  
59 behavior and reproduction processes. They also help to prevent predation and parasitism  
60 (Cazzonelli, 2011). In humans, carotenoids are precursors of vitamin A, this being one of their

61 most important physiological functions (Vílchez et al., 2011). These pigments are also known  
62 to contribute to the prevention of and protection against serious health disorders such as  
63 cancer, heart disease, and macular degeneration (Rivera & Canela-Garayoa, 2012; Fraser &  
64 Bramley, 2004). Carotenoids are used in industry: (a) in nutrient supplementation; (b) for  
65 pharmaceutical purposes; (c) in animal feed; (d) as food colorants (such as bixin and crocetin,  
66 found in annatto seeds and saffron respectively); and (e) in fragrances (such as ionones,  
67 damascones, and damascenones) (Rivera & Canela-Garayoa, 2012; Zhu et al., 2010).  
68 Consequently, these pigments have been extensively studied by organic and food chemists,  
69 biologists, physiologists, medical doctors, and recently also by environmental scientists. The  
70 widespread interest in carotenoids has led to an increased demand for reliable analytical  
71 methodologies. Among these, mass spectrometry (MS) is a powerful technique for the  
72 identification of these compounds.

73 The application of MS to carotenoid analysis has been a significant step forward with regards  
74 to the classical carotenoid analysis based on the use of spectrophotometric ultraviolet-visible  
75 (UV-vis) techniques. The usefulness of mass spectrometry as an aid to carotenoid analysis  
76 and identification has been proved since the pioneering work carried out by Schwieter et al.,  
77 1965. However, the wide-spread use of LC-MS in the current century has led to considerable  
78 new advances and begun yet another phase in the development of carotenoid chemistry which  
79 allows the use of smaller samples and provides data on isomerism which was not previously  
80 available. MS allows us to distinguish between co-eluting carotenoids and to determine  
81 molecular weights. This technique has also been used to assess the presence of functional and  
82 end groups in carotenoid structures, to gain a rapid overview of the carotenoids present in a  
83 sample, and to classify samples. Several ionization techniques have been reported for MS  
84 analysis of carotenoids, including electron impact (EI), fast atom bombardment (FAB),  
85 matrix-assisted laser desorption/ionization (MALDI), electrospray (ESI), atmospheric  
86 pressure chemical ionization (APCI) and, more recently, atmospheric pressure  
87 photoionization (APPI) and atmospheric pressure solids analysis probe (ASAP). APCI has  
88 become the most widely used ionization technique for carotenoids because of its high  
89 sensitivity. Hao et al. reported that the detection of  $\beta$ -carotene,  $\beta$ -cryptoxanthin, lutein and  
90 zeaxanthin in botanical samples is 100-fold more sensitive using APCI than ESI. Similarly,  
91 Rivera et al. compared the effect of ionizing four carotenes and twelve oxygen-functionalized  
92 carotenoids using ESI, APCI, and APPI. They reported that twelve of the sixteen carotenoids  
93 studied exhibited the strongest signal strength with APCI. Although most mass spectra of  
94 carotenoids have been acquired using positive ion mode, negative ion mode has also been  
95 reported. An interesting article about negative ion tandem mass spectra of carotenoids as well  
96 as their APCI pathways has been recently published by van Breemen et al.

97 This review compiles positive MS fragmentation data of selected carotenoids in order to  
98 provide a basis for their structural elucidation, using mainly liquid chromatography (LC)-MS  
99 techniques. In addition, the mechanisms through which significant carotenoid fragment ions  
100 form are also discussed.

## 101 **II. EXPERIMENTAL PART**

102 On the basis of our previous work and information from the literature about characteristic  
103 carotenoid ions, we monitored the presence of these  $m/z$  ions in samples derived from  
104 transgenic maize seeds and rice callus. Samples were analyzed using an UHPLC-APCI-  
105 MS/MS system and carotenoid standards.

### 106 **A. Materials and methods**

#### 107 Chemicals

108  $\beta$ -Carotene, lycopene, lutein,  $\beta$ -cryptoxanthin, astaxanthin,  $\beta$ -apo-8'-carotenal were purchased  
109 from Sigma-Aldrich Fine Chemicals (St. Louis, MO, USA). Canthaxanthin and zeaxanthin  
110 were acquired from Fluka (Buchs SG, Switzerland). Phytoene, violaxanthin, neoxanthin, and

111 anthraxanthin were purchased from Carotenature (Lupsingen, Switzerland). Methanol, ethyl  
 112 acetate, ethyl eter, *tert*-butyl methyl ether, acetonitrile and acetone (HPLC grade purity) were  
 113 acquired from J.T. Baker (Deventer, The Netherlands). Water was prepared using a Milli-Q  
 114 reagent water system.

115 Plant material

116 Transgenic maize and rice callus, expressing several carotenogenic genes, were selected to  
 117 carry out this experiment. The transgenic maize seeds and rice callus were generated by  
 118 combinatorial nuclear transformation as reported in Zhu et al. . The extractions were  
 119 performed as described by Rivera et al. .

120 UHPLC-APCI-MS/MS analysis

121 UHPLC analysis was carried out using an ACQUITY Ultra Performance LC™ system. Mass  
 122 detection was carried out using an Acquity™ TQD tandem-quadrupole MS equipped with a  
 123 Z-spray electrospray interface (Manchester, UK). MassLynx™ software version 4.1 (Waters,  
 124 Milford, MA, USA) was used to control the instruments, and also for data acquisition and  
 125 processing. UHPLC chromatographic separations were performed on reversed-phase column  
 126 ACQUITY UPLC® C18 BEH 130Å, 1.7 μm, 2.1×100 mm (Waters, Milford, MA). Mobile  
 127 phase consisted of solvent A: ACN: MeOH 7:3, v/v and solvent B: water 100%. The gradient  
 128 program used is shown in Table 1. The column and sample temperatures were set at 32 °C  
 129 and 25 °C respectively. Injection volume was 5 μL. Optimized MS conditions are listed in  
 130 Table 2.

131 **TABLE 1.** Gradient profile used in the separation of carotenoids by UHPLC. Linear gradient

Time <sup>a</sup> (min)	Flow rate (mL/min)	A (%, v/v)	B (%, v/v)
Initial	0.4	80	20
2.0	0.4	80	20
3.0	0.4	100	0
7.0	0.4	100	0
8.0	0.6	100	0
11.6	0.6	100	0
12.6	0.4	80	20

132 <sup>a</sup> After this time, the system was left 2 min more to reach its re-equilibration before injecting a  
 133 new sample.

134 Each sample extract for LC analysis was dissolved in 300 μL and 1000 μL (for light and dark  
 135 color extract respectively) of the injection solvent [ACN: MeOH 7:3, v/v]: acetone 6.7:3.3,  
 136 v/v. Before use, all solutions were filtered through Millex 0.2 μm nylon membrane syringe  
 137 filters (Millipore, Bedford, MA, USA).

138 **TABLE 2.** MS conditions

MS conditions	APCI
Polarity	Positive
Corona (kV)	4.0
Cone (V)	30
Extractor (V)	3
RF (V)	0.1
Source temperature (°C)	150
Probe temperature (°C)	450
Cone gas flow (L/h)	10
Desolvation gas flow (L/h)	150
Collision gas flow (mL/min)	0.15

139 **III. IONIZATION TECHNIQUES USED FOR CAROTENOID DETECTION**

140 Fragmentation patterns are strongly dependent on the chemical and physical properties of the  
 141 analytes and the ionization technique used . In addition, when using soft ionization (e.g. ESI,

142 APCI, APPI, FAB), factors such as the mobile phase, the modifiers added (acids, bases,  
143 dopants, metals and salts), the experimental conditions (collision energy, flow collision gas,  
144 temperatures, etc.), and the instrument design also affect the ionization of the analytes .  
145 Therefore, the mass spectrum of a given compound should not be expected to exactly match a  
146 published spectrum.

147 We conducted a literature survey to collect fragments of 21 carotenoids obtained with various  
148 ionization techniques (EI, ESI, APCI, APPI and FAB). The samples were obtained from  
149 diverse materials. Spectra information comes from publications on applications of MS,  
150 MS/MS, LC/MS and LC/MS/MS for carotenoid detection in positive ion mode. Thus, Table 3  
151 summarizes two types of ions: i) those based on ions resulting from the initial ionization of  
152 the whole molecule and ii) those ions arising directly from the precursor ions, which produce  
153 a fingerprint pattern specific to the compound under investigation. For example, van Breemen  
154 et al. observed that lycopene,  $\gamma$ -carotene,  $\beta$ -carotene, and  $\alpha$ -carotene produced the molecular  
155 radical ion at  $m/z$  536 during FAB ionization in positive ion mode. However, during  
156 collisionally activated dissociation (CAD) only the molecular ion of  $\alpha$ -carotene formed  
157 unique fragment ions at  $m/z$  388 and 480, corresponding to  $[M-148]^{+\bullet}$  and  $[M-56]^{+\bullet}$   
158 respectively . In many cases, identical carotenoid fragment ions were observed in all  
159 techniques such as the ion at  $m/z$  221 for violaxanthin and auroxanthin;  $m/z$  205 for 5,6-  
160 epoxy- $\beta$ -carotene;  $m/z$  69 for echinenone;  $m/z$  119 for  $\beta$ -apo-8'-carotenal; and  $m/z$   $[M-92]^{+\bullet}$   
161 or/and  $[M+H-92]^+$  for 5,6-epoxy- $\beta$ -carotene,  $\alpha$ -cryptoxanthin, zeaxanthin,  $\beta$ -cryptoxanthin,  $\gamma$ -  
162 carotene,  $\alpha$ -carotene and  $\beta$ -carotene. However, differences among the techniques were also  
163 observed. All carotenoids ionized by APCI showed the protonated molecule  $[M+H]^+$  whereas  
164 those ionized by EI and FAB showed the molecular ion  $[M]^{+\bullet}$ , as expected considering the  
165 ionization method. For ESI and APPI, the molecular ion species observed was either the  
166 protonated molecule  $[M+H]^+$ , the molecular ion  $[M]^{+\bullet}$ , or both (see Table 3). The high  
167 polyene conjugation and the presence of oxygen in these molecules, as well as the solvent  
168 system , have a significant influence on the stability and formation of molecular and  
169 protonated ion species. ESI analysis of violaxanthin in MeOH:H<sub>2</sub>O (20:80, v/v) solution  
170 showed a predominant molecular ion  $[M]^{+\bullet}$  , whereas the use of H<sub>2</sub>O/MeOH/TBME (4:61:35,  
171 v/v/v) as mobile phase led to the predominance of the protonated molecule in the MS  
172 spectrum of this oxygen-functionalized carotenoid . The effect of the mobile phase  
173 composition on LC-APPI-MS has also been described . Generally, polar solvents, such as  
174 alcohols, lead to an increased abundance of protonated carotenoids, while less polar solvents,  
175 such as *tert*-butyl methyl ether, facilitate the formation of more abundant molecular ions .

176 Another technique-dependent difference was the absence or presence of particular fragment  
177 ions. For example, the  $m/z$   $[M-80]^{+\bullet}$  fragment was detected for epoxy carotenoids ionized by  
178 EI or FAB. In contrast, the equivalent fragment was not found using APCI and ESI (see Table  
179 3). Table 3 shows that the loss of 18 mass units in hydroxycarotenoids,  $[M+H-18]^+$  or  $[M-  
180 18]^{+\bullet}$  was usually observed in all the ionization techniques but not using FAB . In addition,  
181 Azevedo et al. reported that the  $[M-18]^{+\bullet}$  fragment was not present in the EI-MS spectrum of  
182 zeaxanthin or  $\beta$ -cryptoxanthin . This finding contrasts with other reports in the literature  
183 utilizing the same technique but different instruments . Thus, even when using the same  
184 ionization technique, differences can be observed in the intensities or presence/absence of  
185 fragment ions. Of note, in addition to the factors mentioned above, the matrices in which the  
186 analytes are detected might also affect the ionization process and lead to inaccurate  
187 quantitation (Chiu et al., 2010).

188 Finally, the reader should be aware that soft ionization techniques used in LC-MS analysis  
189 such as ESI, APCI and APPI lead to positive ions based on the protonation of the  
190 corresponding molecules. In turn, these non radical ions can lead to even mass ions. Those  
191 ions must be odd-electron species. In contrast, uneven mass ions provided by the same  
192 protonated molecules must be paired-electron species. These behavior are the opposite resulting  
193 from the molecular radical ion, the one usually obtained using EI or FAB ionization  
194 techniques.

195 **TABLE 3.** Carotenoid fragments obtained with distinct ionization techniques

<b>Neoxanthin (epoxycarotenoid)</b>				
Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
EI	[M] <sup>++</sup>	600	584 [M-16], 582 [M-18], 566 [M-16-18], 564 [M-18-18], 520 [M-80], 508 [M-92], 502 [M-18-80], 221, 181	
EI	[M] <sup>++</sup>	600	582 [M-18], 564 [M-18-18], 520 [M-80], 502 [M-18-80], 352, 221, 181, 105	
EI	[M] <sup>++</sup>	600	221, 181, 172	
EI	[M] <sup>++</sup>	600	520 [M-80], 419 [M-181], 352, 221, 181, 172	
FAB	[M] <sup>++</sup>	600	449, 393, 391, 339, 337, 313, 171, 69	
ESI	[M+H] <sup>+</sup>	601	583 [M+H-18], 565 [M+H-18-18], 547 [M+H-18-18-18], 509 [M+H-92], 491 [M+H-18-92], 393, 221	
ESI	[M+H] <sup>+</sup>	601	583 [M+H-18], 565 [M+H-18-18], 221, 181	
ESI	[M+H] <sup>+</sup> [M] <sup>++</sup>	601.5 600.1	583.2 [M+H-18], 565.3 [M+H-18-18], 509.5 [M+H-92], 221.1	
ESI	[M+H] <sup>+</sup>	601.4	167.3, 105.3	
APCI	[M+H] <sup>+</sup>	601	583 [M+H-18], 565 [M+H-18-18], 547 [M + H-18-18-18], 509 [M+H-92], 491 [M+H-18-92], 393, 221	
APCI	[M+H] <sup>+</sup>	601	583 [M+H-18], 565 [M+H-18-18], 547 [M+H-18-18-18], 509 [M+H-92], 221	
APCI	[M+H] <sup>+</sup>	601	583 [M+H-18], 565 [M+H-18-18], 547 [M+H-18-18-18], 509 [M+H-92], 491 [M+H-18-92], 221	
APCI	[M+H] <sup>+</sup>	601.4	583.4 [M+H-18], 167.3	
APPI	[M+H] <sup>+</sup>	601.4	167.3, 105.3	

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<b>Auroxanthin (furanoid oxide)</b>				
Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
FAB	[M] <sup>++</sup>	600	582 [M-18], 520 [M-80], 419, 379, 352, 287, 247, 221, 181	
ESI	[M+H] <sup>+</sup> [M] <sup>++</sup>	601.5 600.1	583.5 [M+H-18], 565.5 [M+H-18-18], 509.5 [M+H-92], 491.5 [M+H-18-92], 221.1	
APCI	[M+H] <sup>+</sup>	601	583 [M+H-18], 565 [M+H-18-18], 547 [M+H-18-18-18], 509 [M+H-92], 491 [M+H-18-92], 221	

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<b>Violaxanthin (epoxycarotenoid)</b>				
Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
EI	[M] <sup>++</sup>	600	520 [M-80], 440 [M-80-80], 352,	

			221,181, 105	
EI	[M] <sup>++</sup>	600	520 [M-80], 352, 221, 181	
EI	[M] <sup>++</sup>	600	520 [M-80], 502 [M-80-18], 352, 221, 181, 172	
FAB	[M] <sup>++</sup>	600	582 [M-18], 520 [M-80], 419, 352, 221	
ESI	[M+H] <sup>+</sup>	601	583 [M+H-18], 565 [M+H-18-18], 547, 509 [M+H-92], 491 [M+H-18-92], 221	
ESI	[M+H] <sup>+</sup>	601	583 [M+H-18], 565 [M+H-18-18], 221, 181	
ESI	[M+H] <sup>+</sup> [M] <sup>++</sup>	601.5 600.1	583.5 [M+H-18], 565.5 [M+H-18-18], 509.5 [M+H-92], 491.5 [M+H-18-92], 221.1	
ESI	[M+H] <sup>+</sup>	601.3	221.3, 93.1	
APCI	[M+H] <sup>+</sup>	601	583 [M+H-18], 565 [M+H-18-18], 509 [M+H-92], 491 [M+H-18-92], 221	
APCI	[M+H] <sup>+</sup>	601	583 [M+H-18], 565 [M+H-18-18], 509 [M+H-92], 491 [M+H-18-92], 221	
APCI	[M+H] <sup>+</sup>	601	583 [M+H-18], 565 [M+H-18-18], 547 [M+H-18-18-18], 491 [M+H-18-92], 221	
APCI	[M+H] <sup>+</sup>	601.3	133.3, 93.1	
APPI	[M+H] <sup>+</sup>	601.3	221.3, 93.1	

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Antheraxanthin (epoxycarotenoid)				
Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
EI	[M] <sup>++</sup>	584	566 [M-18], 504 [M-80], 492 [M-92], 352, 221	
FAB	[M] <sup>++</sup>	584	566 [M-18], 504 [M-80], 492 [M-92], 404, 352, 221, 181	
ESI	[M+H] <sup>+</sup>	585	567 [M+H-18], 549 [M+H-18-18], 493 [M+H-92], 475 [M-92-18], 221	
ESI	[M+H] <sup>+</sup>	585.3	105.2, 93.1	
APCI	[M+H] <sup>+</sup>	585	567 [M+H-18], 549 [M+H-18-18], 493 [M+H-92], 221	
APCI	[M+H] <sup>+</sup>	585	567 [M+H-18], 549 [M+H-18-18], 221	
APCI	[M+H] <sup>+</sup>	585.3	105.2, 93.1	
APPI	[M+H] <sup>+</sup>	585.3	145.2, 119.1	

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5,6-Epoxy-β-carotene (epoxycarotenoid)				
Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
EI	[M] <sup>++</sup>	552	472 [M-80], 460 [M-92], 336, 236, 205, 135, 121	
EI	[M] <sup>++</sup>	552	205, 165	
ESI	[M+H] <sup>+</sup>	553	535 [M+H-18], 461 [M+H-92], 205	
APCI	[M+H] <sup>+</sup>	553	535 [M+H-18], 461 [M+H-92], 205	

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<b>Zeaxanthin (hydroxycarotenoid)</b>				
Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
EI	[M] <sup>++</sup>	568	550 [M-18], 476 [M-92], 462 [M-106], 133, 109, 91, 69, 43	
EI	[M] <sup>++</sup>	568	462 [M-106], 153	
FAB	[M] <sup>++</sup>	568	553, 551 [M-17], 489 [M-79], 476 [M-92], 445, 415 [M-153]	
ESI	[M+H] <sup>+</sup>	569	551, 533 [M+H-18-18], 463 [M+H-106]	
ESI	[M+H] <sup>+</sup> [M] <sup>++</sup>	568.9 567.9	550.9 [M+H-18], 532.9 [M+H-18-18], 476.4 [M+H-92]	
ESI	[M] <sup>++</sup>	568	476.6 [M-92], 283.2	
APCI	[M+H] <sup>+</sup>	569	551 [M+H-18], 533 [M+H-18-18], 463 [M+H-106]	
APCI	[M+H] <sup>+</sup>	569.4	551.5 [M+H-18], 135	
APCI	[M+H] <sup>+</sup>	569.4	551.4 [M+H-18], 477.4 [M+H-92], 459.4 [M+H-18-92], 416.3 [M+H-153], 175.2, 135.1, 119.1	
APCI	[M+H] <sup>+</sup>	569	551 [M+H-18], 533 [M+H-18-18], 459 [M+H-18-92], 416 [M+H-153], 175	
APPI	[M+H] <sup>+</sup>	569.4	477.6 [M+H-92], 135	

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<b><i>α</i>-Cryptoxanthin (hydroxycarotenoid)</b>			
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<b><i>β</i>-Cryptoxanthin (hydroxycarotenoid)</b>				
Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
EI	[M] <sup>++</sup>	552	534 [M-18], 460 [M-92], 442, 105	
EI	[M] <sup>++</sup>	552	460 [M-92], 446 [M-106], 399 [M-153]	
FAB	[M] <sup>++</sup>	552	537, 535 [M-17], 460 [M-92], 445	
ESI	[M+H] <sup>+</sup>	553	535 [M+H-18], 497, 461 [M+H-92]	
ESI	[M+H] <sup>+</sup>	553.6	461.6 [M+H-92], 119	
APCI	[M+H] <sup>+</sup>	553	535 [M+H-18], 495, 461 [M+H-92]	
APCI	[M+H] <sup>+</sup>	553	535 [M+H-18], 495, 461 [M+H-92]	
APCI	[M+H] <sup>+</sup>	553	535 [M+H-18]	
APCI	[M+H] <sup>+</sup>	553.6	461.6 [M+H-92], 119	
APCI	[M+H] <sup>+</sup>	553.4	535.4 [M+H-18], 461.4 [M+H-92], 400.3 [M+H-153], 177.2, 135.1, 119.1	
APPI	[M] <sup>++</sup>	552.6	460.6 [M-92], 119	

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<b>Lutein (hydroxycarotenoid)</b>				
Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
EI	[M] <sup>++</sup>	568	550 [M-18], 512 [M-56], 476 [M-92], 462 [M-106], 458 [M-92-18], 415 [M-153], 392, 324, 133, 109, 91, 83, 69, 43	
EI	[M] <sup>++</sup>	568	550 [M-18], 476 [M-92], 193, 153	
EI	[M] <sup>++</sup>	568	550 [M-18], 476 [M-92], 415 [M-153], 193, 153	
FAB	[M] <sup>++</sup>	568	476 [M-92], 428	
ESI	[M+H] <sup>+</sup>	569	551 [M+H-18], 533 [M+H-18-18], 463 [M+H-106]	
ESI	[M+H] <sup>+</sup>	569	551 [M+H-18], 533 [M+H-18-18], 416 [M+H-153], 376	
ESI	[M+H] <sup>+</sup> [M] <sup>++</sup>	568.9 567.9	550.9 [M-18], 532.9 [M-18-18], 476.4 4 [M-92], 429.4	
ESI	[M] <sup>++</sup>	568	476.6, 283.2	
ESI	[M] <sup>++</sup>	568.5	550.5 [M-18], 476.5, 429.5	
APCI	[M+H] <sup>+</sup>	569	551 [M+H-18], 533 [M+H-18-18], 477 [M+H-92], 463 [M+H-106], 459 [M+H-18-92]	
APCI	[M+H] <sup>+</sup>	569.4	551.5, 135	
APCI	[M+H] <sup>+</sup>	569.4	551.4 [M+H-18], 495.4 [M+H-18-56], 477.4 [M+H-92], 459.4 [M+H-18-92], 430.3, 416.3 [M+H-153], 175.2, 119.1, 135.1	
APCI	nd	nd	551 [M+H-18], 533 [M+H-18-18], 495 [M+H-18-56], 459 [M+H-18-92], 430, 175	
APPI	[M+H] <sup>+</sup> [M] <sup>++</sup>	569.4 568	338.5, 135	

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<b>Astaxanthin (ketocarotenoid)</b>				
Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
EI	[M] <sup>++</sup>	596	580, 564 [M-16-16], 504 [M-92], 490 [M-106], 445, 133, 109, 91, 83, 69, 59, 43	
FAB	[M] <sup>++</sup>	596	579 [M-17], 472 [M-92], 550, 540, 443, 429	
ESI	[M+H] <sup>+</sup>	597.6	505.4 [M+H-92], 147.1	
APCI	[M+H] <sup>+</sup>	597.6	579.6 [M-18], 147.1	
APCI	[M+H] <sup>+</sup>	597.4	579.4 [M+H-18], 379.3, 285.2, 201.1, 173.1, 147.1	
APCI	[M+H] <sup>+</sup>	597	579 [M+H-18], 561 [M-18-18], 505 [M-92], 379, 285	
APPI	[M+H] <sup>+</sup>	597.6	579.6 [M-18], 147.1	
<b>Canthaxanthin (ketocarotenoid)</b>				
Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
EI	[M] <sup>++</sup>	564	549 [M-15], 508, 472 [M-92], 485, 458 [M-106], 426, 406, 361, 133, 109, 91, 83, 69, 43	
FAB	[M] <sup>++</sup>	564	472 [M-92], 430, 363	
APCI	[M+H] <sup>+</sup>	565	nd	

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<b>Echinenone (ketocarotenoid)</b>				
Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
EI	[M] <sup>++</sup>	550	535 [M-15] 558 [M-92], 471, 444 [M-106], 392, 347, 133, 91, 69	
EI	[M] <sup>++</sup>	550	558 [M-92], 444 [M-106]	
ESI	[M+H] <sup>+</sup>	551.6	93, 69	
APCI	[M+H] <sup>+</sup>	551.6	93, 69	
APCI	[M+H] <sup>+</sup>	551.4	471.4 [M+H-80], 536.4, 495.4, 459.4 [M+H-92], 255.2, 203.1, 133.1	
APPI	[M] <sup>++</sup>	550.6	93, 69	

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<b>β-Apo-8'-carotenal (oxocarotenoid)</b>				
Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
EI	[M] <sup>++</sup>	416	324 [M-92], 310 [M-106], 309, 281, 267, 251, 239, 209, 195, 183, 166, 157, 145, 133, 119, 105, 91, 82, 69, 55, 41	
FAB	[M] <sup>++</sup>	416	360, 347, 337 [M-79], 333, 279, 267, 143, 119, 105	
APCI	[M+H] <sup>+</sup>	417.5	325.3 M+H-92], 94.9	
APCI	[M+H] <sup>+</sup>	417.3	399.3 [M+H-18], 389.3, 361.3, 338.3, 325.3 [M+H-92], 293.2, 157.1, 119.1, 95.1	
APPI	[M+H] <sup>+</sup>	417.5	145.2, 119	

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<b>Lycopene (carotene)</b>				
Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
EI	[M] <sup>++</sup>	536	467 [M-69], 444 [M-92], 430 [M-106], 133, 109, 91, 83, 69, 43	
EI	[M] <sup>++</sup>	536	467 [M-69], 444 [M-92], 430 [M-106], 69	
EI	[M] <sup>++</sup>	536	467 [M-69], 444 [M-92], 430 [M-106]	
FAB	[M] <sup>++</sup>	536	467 [M-69], 444 [M-92], 209, 185, 183, 171, 169, 157, 145, 143, 131, 119, 105, 91	
ESI	[M] <sup>++</sup>	536.7	444.7 [M-92], 69	
ESI	[M] <sup>++</sup>	536.4	467.4 [M-69] (80), 444.4 [M-92]	
APCI	[M+H] <sup>+</sup>	537	467 [M-69], 444 [M-92]	
APCI	[M+H] <sup>+</sup>	537.7	93, 69	
APCI	[M+H] <sup>+</sup>	537.4	457 [M+H-80], 413.3, 177.2, 137.1, 121.1	
APPI	[M] <sup>++</sup>	536.7	93, 69	

<b>Phytofluene (carotene)</b>				
Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
EI	[M] <sup>++</sup>	542	405 [M-137], 337 [M-205]	
EI	[M] <sup>++</sup>	542	405 [M-137], 337 [M-205], 137 [M-405], 69	
FAB	[M] <sup>++</sup>	542	337 [M-205], 448 [M-94], 405 [M-137]	

ESI	[M+H] <sup>+</sup>	543	406 [M+H-137], 338 [M+H-205]	
ESI	[M] <sup>++</sup>	542.5	93, 69	
APCI	[M+H] <sup>+</sup>	543	461, 406 [M+H-137], 338 [M+H-205]	
APCI	[M+H] <sup>+</sup>	543	461, 406 [M+H-137], 338 [M+H-205]	
APCI	[M+H] <sup>+</sup>	543.5	93, 69	
APPI	[M] <sup>++</sup>	542.5	93, 69	

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<b>Phytoene (carotene)</b>				
Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
EI	[M] <sup>++</sup>	544	450 [M-94], 339 [M-205]	
EI	[M] <sup>++</sup>	544	339 [M-205], 137 [M-407], 69	
FAB	[M] <sup>++</sup>	544	339 [M-205], 450 [M-94], 407 [M-137]	
ESI	[M+H] <sup>+</sup>	545	339 [M-205]	
ESI	[M] <sup>++</sup>	544.5	81, 69	
APCI	[M+H] <sup>+</sup>	545	489, 339 [M-205], 435	
APCI	[M+H] <sup>+</sup>	545.5	81, 69	
APPI	[M] <sup>++</sup>	544.5	81, 69	

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<b>β-Zeacarotene (carotene)</b>				
Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
EI	[M] <sup>++</sup>	538	446 [M-92], 401 [M-137]	
EI	[M] <sup>++</sup>	538	401 [M-137], 309 [M-137-92]	
APCI	[M+H] <sup>+</sup>	539	455, 402 [M+H-137], 310 [M+H-137-92]	

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<b>γ-Carotene (carotene)</b>				
Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
EI	[M] <sup>++</sup>	536	467 [M-69], 444 [M-92], 375 [M-92-69], 361 [M-106-69], 430 [M-106]	
EI	[M] <sup>++</sup>	536	467 [M-69], 444 [M-92], 430 [M-106]	
FAB	[M] <sup>++</sup>	536	467 [M-69], 444 [M-92]	
APCI	[M+H] <sup>+</sup>	537	467 [M-69], 444 [M-92]	
APCI	[M+H] <sup>+</sup>	537.4	457.4 [M+H-80], 399.3, 269.2, 255.2, 177.2, 145.1, 137.1 [M+H-400], 119.1	

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<b>δ-Carotene (carotene)</b>				
Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
EI	[M] <sup>++</sup>	536	480 [M-56], 467 [M-69], 444 [M-92], 430 [M-106], 388, 375, 361	
APCI	[M+H] <sup>+</sup>	537	481 [M+H-56], 444 [M-92]	

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<b>α-Carotene (carotene)</b>				
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Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
EI	[M] <sup>++</sup>	536	480 [M-56], 444 [M-92], 430 [M-106], 388, 378, 374	
EI	[M] <sup>++</sup>	536	444 [M-92]	
FAB	[M] <sup>++</sup>	536	521 [M-15], 480 [M-56], 444 [M-92], 388 [M-56-92]	
ESI	[M] <sup>++</sup>	536.4	536.4, 444.4 [M-92], 177.4, 137.4 [M-399]	
APCI	[M+H] <sup>+</sup>	537	481 [M+H-56], 444 [M-92]	
APCI	[M+H] <sup>+</sup>	537	481 [M+H-56], 444 [M-92]	
APCI	[M+H] <sup>+</sup>	537	481 [M+H-56], 444 [M-92]	
APCI	[M+H] <sup>+</sup>	537.4	457.4 [M+H-80], 413.3, 177.2, 137.1, 123.1	

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<b><i>β</i>-Carotene (carotene)</b>				
Ionization technique	Molecular ion species		Fragments ( <i>m/z</i> )	Ref.
EI	[M] <sup>++</sup>	536	444 [M-92], 430 [M-106], 119, 109, 91, 83, 69, 43	
EI	[M] <sup>++</sup>	536	444 [M-92], 430 [M-106], 399 [M-137], 137 [M-399], 105	
EI	[M] <sup>++</sup>	536	444 [M-92], 430 [M-106], 399 [M-137]	
FAB	[M] <sup>++</sup>	536	521 [M-15], 489, 444 [M-92], 429 [M-92-15], 399 [M-137], 157, 143, 119, 105, 91, 69, 55	
ESI	[M+H] <sup>+</sup>	537	444 [M-92]	
ESI	[M+H] <sup>+</sup>	537	445 [M-92], 203, 177, 149, 137 [M+H-400], 123	
ESI	[M+H] <sup>+</sup> [M] <sup>++</sup>	536.9 535.9	444.2 [M-92], 430.3 [M-106], 399.3 [M-137]	
ESI	[M] <sup>++</sup>	536.7	444.7 [M-92], 69	
ESI	[M] <sup>++</sup>	536.4	444.4 [M-92], 177.4, 137.4 [M-399]	
APCI	[M+H] <sup>+</sup>	537	444 [M-92]	
APCI	[M+H] <sup>+</sup>	537.7	445.7 [M-92], 119	
APCI	[M+H] <sup>+</sup>	537.4	457.4 [M+H-80], 445.4 [M+H-92], 413.3, 400.3 [M+H-137], 269.2, 177.2, 137.1 [M+H-400]	
APCI	[M+H] <sup>+</sup>	537	444 [M-92], 413 [M-124], 400 [M-137], 269, 177	
APPI	[M] <sup>++</sup>	536.7	444.7 [M-92], 119	

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nd: not detected

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#### IV. CHARACTERISTIC CAROTENOID FRAGMENTATION PATTERNS

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##### A. Improvements in the detection of carotenoids using APCI-MS/MS

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Since MS/MS can provide high sensitivity and selectivity for the identification and quantitative analysis of carotenoids in biological samples, we evaluated several characteristic transitions to analyze these molecules. Transitions to distinguish between carotenoids were monitored considering the *m/z* value of protonated molecules as precursor ions, and the *m/z* value of ions reported in the literature as product ions. All analyses were carried out in positive ion mode. Table 4 summarizes the best transitions encountered to identify

240 carotenoids. Figure 1 shows the structures of the carotenoids indicated in Table 4.  
 241 Common carotenoid product ions among pigments bearing the same functional group were  
 242 observed (see Table 4). The  $m/z$  147 product ion was observed in ketocarotenoids containing  
 243 a hydroxyl group in carbon 3, (3') and a keto group in carbon 4, (4') in the  $\beta$ -ring. In addition,  
 244 the transition obtained with this ion corresponded to the strongest signal strength for these  
 245 compounds. The  $m/z$  203.1 product ion was given by echinenone, canthaxanthin and  
 246 adonirubin. This signal is characteristic of carotenoids containing a keto group (as the only  
 247 substituent on the  $\beta$ -ring) conjugated to the polyene chain. The  $m/z$  135.1 product ion was  
 248 characteristic of hydroxylated carotenoids, such as adonixanthin, lutein, zeaxanthin and  $\beta$ -  
 249 cryptoxanthin. Other characteristic fragment ions reported in the literature (see Table 3) were  
 250 also monitored to determine possible useful transitions. For example, the  $[M+H-92]^+$  product  
 251 ion was detected in  $\alpha$ -cryptoxanthin and  $\beta$ -zeacarotene. The loss of a water molecule  $[M+H-$   
 252  $18]^+$  was diagnostic for pigments such as neoxanthin and astaxanthin.

253 **TABLE 4.** Precursor and product ions obtained by APCI, in positive ion mode, for  
 254 carotenoids exhibiting distinct functional groups

Functional group	Carotenoid	Precursor ion ( $m/z$ )	Product ion ( $m/z$ )	Collision Energy (V)
Epoxy-carotenoids	Neoxanthin	601.4	167.2(Q2)	20
	Neoxanthin	601.4	583.4(Q1)	10
	Violaxanthin	601.4	93(Q1)	45
	Violaxanthin	601.4	133.3(Q2)	40
	Antheraxanthin	585.3	93.1(Q1)	55
	Antheraxanthin	585.3	105.2(Q2)	45
Ketocarotenoids	Astaxanthin	597.6	<b>147<sup>a</sup></b> (Q1)	40
	Astaxanthin	597.6	579.6(Q2)	15
	Adonixanthin	583.4	<b>135.1<sup>a</sup></b> (Q2)	40
	Adonixanthin	583.4	<b>147<sup>a</sup></b> (Q1)	40
	Adonirubin	581.5	<b>147<sup>a</sup></b> (Q1)	40
	Adonirubin	581.5	<b>203.1<sup>a</sup></b> (Q2)	40
	Canthaxanthin	565.9	69(Q2)	40
	Canthaxanthin	565.9	<b>203.1<sup>a</sup></b> (Q1)	40
	3-Hydroxyechinenone	567.3	93(Q2)	50
	3-Hydroxyechinenone	567.3	<b>147<sup>a</sup></b> (Q1)	40
	Echinenone	551.6	69(Q1)	45
	Echinenone	551.6	93(Q2)	35
	Echinenone	551.6	<b>203.1<sup>a</sup></b> (Q3)	40
Hydroxycarotenoids	Lutein	569.4	69(Q1)	40
	Lutein	569.4	<b>135.1<sup>a</sup></b> (Q2)	30
	Zeaxanthin	569.4	93(Q2)	40
	Zeaxanthin	569.4	<b>135.1<sup>a</sup></b> (Q1)	30
	$\alpha$ -Cryptoxanthin	553.6	119(Q2)	35
	$\alpha$ -Cryptoxanthin	553.6	461.6(Q1)	15
	$\beta$ -Cryptoxanthin	553.6	119(Q1)	35
	$\beta$ -Cryptoxanthin	553.6	<b>135.1<sup>a</sup></b> (Q2)	30
Carotenes	Lycopene	537.7	69(Q1)	40
	Lycopene	537.7	93(Q2)	50
	$\beta$ -Zeacarotene	539.6	69.3(Q1)	35
	$\beta$ -Zeacarotene	539.6	447(Q2)	10
	$\alpha$ -Carotene	537.6	95.1(Q2)	35
	$\alpha$ -Carotene	537.6	123.1(Q1)	40
	$\beta$ -Carotene	537.6	68,9(Q2)	40

	$\beta$ -Carotene	537.6	95.1(Q1)	35
	phytofluene	543.5	69(Q1)	45
	phytofluene	543.5	93(Q2)	45
	Phytoene	545.5	69(Q2)	35
	Phytoene	545.5	81(Q1)	35

255 <sup>a</sup>Fragments in bold are common product ions among pigments bearing the same functional group

## 256 B. Usefulness of intensity fragment ratios to distinguish carotenoids in APCI-MS

257 Carotenoids with a very similar structure can be differentiated by comparing the intensities of  
 258 specific fragments. Figure 2A shows that in the MS spectrum of lutein, the fragment  $[M+H-18]^+$   
 259 at  $m/z$  551 is a much more abundant ion than the corresponding protonated molecule ( $m/z$   
 260 569). However, zeaxanthin exhibits the opposite behavior. Lutein and zeaxanthin are isomers,  
 261 which differ in the location of the double bond in one of the end rings. This difference gives  
 262 lutein three [chiral](#) centers whereas zeaxanthin has two. In addition, this difference causes the  
 263 OH functional group to be located in the allylic position of the  $\epsilon$ -ring in lutein, while in  
 264 zeaxanthin it is located in the  $\beta$ -ring and thus not in an allylic position (see Figure 1). The loss  
 265 of water as a result of the presence of the hydroxyl group in an allylic position (a hydroxyl  
 266 group located in  $\epsilon$ -ring) produces the  $[M+H-18]^+$  ion, which is stabilized by mesomeric  
 267 effects (see Figure 2A). Consequently, this ion should be more stable than that formed by the  
 268 loss of water caused by the presence of a non-allylic hydroxyl group located in the  $\beta$ -ring. The  
 269 increase in ion stability promotes the loss of water and enhances the corresponding fragment  
 270 ion. This mass spectrometric behavior was used to confirm the identity of carotenoids such as  
 271 lutein epoxide and antheraxanthin, and zeinoxanthin and  $\alpha$ -cryptoxanthin.

272 Similarly, Figure 2B indicates that  $\alpha$ - and  $\beta$ -carotene exhibit equivalent transitions in the  
 273 positive ion mode (e.g.,  $537.6 > 445.6$ ,  $537.6 > 123.1$ ,  $537.6 > 119$  and  $537.6 > 95.1$ ). However,  
 274 the most intense transition for  $\alpha$ -carotene corresponded to the transition  $537.6 > 123.1$ , which  
 275 was also observed in  $\beta$ -carotene but with a lower intensity.  $\alpha$ -Carotene differs from  $\beta$ -carotene  
 276 only in the position of a double bond in one of the terminal rings, an  $\alpha$ -ionone moiety (see  
 277 Figure 1). The formation of the ion at  $m/z$  123.1 was facilitated by the position of the double  
 278 bond in the terminal ring in  $\alpha$ -carotene, which enhances the stabilization of the resulting  
 279 carbocation (see Figure 2B). Comparison of the intensities of these ions might allow the  
 280 identification of those carotenoid structures with an  $\epsilon$ -ring. Although some carotenoids show  
 281 the same or a very similar fragmentation pattern (meaning that their structures are similar and  
 282 therefore they might co-elute), differences between the intensities of their fragments can be  
 283 used to distinguish these molecules. Moreover, these differences can provide an insight into  
 284 the predominant carotenoid when co-elution occurs.

285 Considering the above, in addition to the published MS spectra of known carotenoids (see  
 286 Table 3), as well as the new information generated as a result of our own experiments the  
 287 following fragments are put forward to facilitate the structural elucidation of these  
 288 compounds.

## 289 C. Hydroxycarotenoids

290 Figure 3 illustrates the characteristic fragment ions given by hydroxycarotenoids.

### 291 *Presence of a hydroxyl group:*

292 The typical fragment ion given by a compound bearing a hydroxyl group is the loss of a  
 293 molecule of water or a hydroxyl group  $[M+H-18]^+$ ,  $[M-18]^+$ , or  $[M-17]^+$ . These fragments  
 294 ion were observed for astaxanthin, zeaxanthin, auroxanthin, neoxanthin, violaxanthin,  
 295 antheraxanthin,  $\beta$ -cryptoxanthin,  $\alpha$ -cryptoxanthin and lutein (see Table 3) and has been  
 296 obtained using EI, FAB, ESI and APCI. As discussed earlier, the  $m/z$  135.1 product ion was  
 297 also characteristic of hydroxycarotenoids and corresponds to the dehydrated terminal ring

298 with cleavage between carbons 7 and 8 . In addition, lutein, zeaxanthin and  $\beta$ -cryptoxanthin  
299 exhibited a loss of 153 units (see Table 3), indicating pigments containing a  $\beta$ -ring containing  
300 a hydroxyl group (cleavage between carbons 7 and 8 of the polyene chain) . The  $[M-153]^+$   
301 and/or  $[M+H-153]^{++}$  ion were detected using EI , ESI , FAB and APCI .

302 *Presence of a hydroxyl group in an allylic position:*

303 For hydroxycarotenoids with a hydroxyl group in an allylic position, the loss of a water  
304 molecule results in a fragment ion that is commonly the base peak in the APCI-MS spectrum .

305 Several authors concluded that the fragment ions at  $m/z$  428, 429 and/or 430 observed in the  
306 MS/MS spectrum of lutein can be used to distinguish this pigment from its isomer zeaxanthin.  
307 These ions have been obtained using ESI , APCI and FAB , in both negative and positive ion  
308 mode. These fragments are generated by the loss of the terminal ring containing the  
309 unconjugated carbon-carbon double bond present in lutein .

#### 310 **D. Epoxycarotenoids and furanoid oxides**

311 *Presence of an oxirane or oxolane fused to an end ring:*

312 EI and FAB are very useful for the characterization of carotenoids containing an oxirane or  
313 oxolane fused to an end ring. The mass spectra of carotenoids containing both 5,6-epoxy and  
314 5,8-furanoid groups exhibited characteristic fragment ions at  $m/z$   $[M-80]^{++}$  or  $[M-80-80]^{++}$ ,  
315 corresponding to the loss of one or two dimethylcyclobutadienes, respectively. Figure 4  
316 shows the proposed mechanism for the formation of the ion at  $m/z$   $[M-80]^{++}$  from both an  
317 epoxy carotenoid and a furanoid oxide.

318 Mass fragments at  $m/z$  165 (EI) and 205 (EI, ESI and APCI) indicate the presence of an epoxy  
319 group as the only substituent on the  $\beta$ -ring. The cleavage between carbons 8 and 9, and 10 and  
320 11, respectively leads to these two fragment ions .

321 *Presence of an oxirane or oxolane fused to a hydroxylated end ring:*

322 In addition to the fragment indicated above, ions at  $m/z$  352 and 181 indicate that the epoxide  
323 is located in a ring with a hydroxyl substituent. These ions correspond to cleavages between  
324 carbons 12' and 13', and 8 and 9 of the polyene chain respectively, . These ions were  
325 observed using EI and FAB. The ion at  $m/z$  181 was also confirmed by ESI (see Table 3). In  
326 contrast, the ion at  $m/z$  221 was observed in all the techniques (see Table 3) and corresponds  
327 to the oxocycle fused to the 3-hydroxy- $\beta$ -ring. This fragment is produced by cleavage  
328 between carbons 10 and 11 (or 10' and 11') (see Figure 5). In addition to these ions,  
329 neoxanthin gives a fragment at  $m/z$  393, resulting from the cleavage of the double bond allylic  
330 to the allenic carbon . Thus, this diagnostic ion can be used to distinguish neoxanthin from its  
331 structural isomer violaxanthin. This fragment has been obtained using ESI , APCI and FAB .

#### 332 **E. Ketocarotenoids**

333 Figure 6 shows the most representative fragment ions for ketocarotenoids.

334 *Presence of an oxo group in an end ring*

335 The  $m/z$  203.1 ion is characteristic of carotenoids containing a keto group as the only  
336 substituent on the  $\beta$ -ring (see Table 4). van Breemen et al. proposed that this ion is formed by  
337 cleavage between carbons 10 and 11 with the positive charge remaining at the ketone moiety .

338 *Presence of an oxo group in a hydroxylated end ring*

339 The ion at  $m/z$  147 is typical of ketocarotenoids containing a hydroxyl group at carbon 3, (3')  
340 and a keto group at carbon 4, (4') in the same  $\beta$ -ring (see Table 4). van Breemen et al.  
341 suggested that the fragment at  $m/z$  147 corresponds to a dehydrated terminal ring with  
342 cleavage between carbons 7 and 8 .

#### 343 **F. Polyene chain**

344 *Presence of conjugation within the molecule*

345 Table 3 shows that most of the carotenoids produce the fragment ion at  $m/z$   $[M-92]^{++}$  and/or  
346  $[M+H-92]^+$ , which corresponds to loss of toluene. This fragmentation indicates the presence  
347 of extensive conjugation within the molecule. In contrast, the fragment ion at  $m/z$   $[M-94]^{++}$   
348 was detected only in the mass spectra of phytoene and phytofluene (see Table 3). This finding  
349 therefore suggests that the extent of conjugation in these compounds is much lower than in  
350 lycopene or  $\beta$ -carotene. Similarly, loss of xylene,  $[M-106]^{++}$  and/or  $[M+H-106]^+$  is also  
351 indicative of the cyclization of fragments of the polyene chain, without the involvement of the  
352  $\beta$ -ionone ring. These ions have been detected using EI; , FAB, ESI, APPI and APCI. Using  
353 EI, the intensities of the peaks at  $m/z$   $[M-92]^{++}$  and  $[M-106]^{++}$  have been related to the number  
354 of conjugated double bonds in the acyclic polyene chain. The  $[M-92]^{++}/[M-106]^{++}$  ratio has  
355 been proposed as a reliable indicator of the chain length of carotenoids containing no more  
356 than one oxygen substituent in each end group. Figure 7 shows the proposed mechanisms for  
357 the formation of several fragments related to the presence of extensive conjugation within the  
358 carotenoid structure.

359 The fragment ion at  $m/z$  119 was observed in antheraxanthin, lutein,  $\alpha$ -cryptoxanthin,  
360 zeaxanthin,  $\beta$ -cryptoxanthin, lycopene,  $\beta$ -apo-8'-carotenal,  $\gamma$ -carotene, and  $\beta$ -carotene using a  
361 number of ionization techniques (see Tables 3 and 4). Moreover, the fragment ions at  $m/z$  133,  
362 93 and 69 were also encountered in several carotenoids exhibiting distinct end groups (see  
363 Tables 3 and 4). Consequently, these ions should also be the result of the elimination of part  
364 of the central acyclic chain of the carotenoid skeleton. Fu et al. proposed that the ion at  $m/z$   
365 119 is produced by cleavage between carbons 9 and 10, and 13' and 14' (see Figure 7). Here  
366 we propose a mechanism for the formation of this ion from  $[M]^{++}$  and  $[M+H]^+$  molecular ion  
367 species (see Figure 7). The fragment at  $m/z$   $[M-205]^+$  and/or  $[M+H-205]^{++}$  shown by phytoene  
368 and phytofluene (see Table 3) is the result of the bis-allylic cleavage of the C11-C12 single  
369 bond.

## 370 **G. End groups**

371 *Presence of a  $\Psi$  end group:*

372 Most of the end groups show characteristic signal peaks that are useful for their identification.  
373 Fragment ion at  $m/z$   $[M-69]^+$  encountered in compounds such as lycopene,  $\gamma$ -carotene, and  $\delta$ -  
374 carotene is characteristic of the  $\Psi$  end group (see Table 3). This ion was detected using EI,  
375 ESI, FAB and APCI. Figure 8 shows the mechanism proposed for the formation of this  
376 fragment as a result of cleavage on the bisallylic 3,4-bond.

377 *Presence of an  $\varepsilon$ -ring end group:*

378 The presence of an  $\varepsilon$ -ring end group in the corresponding carotenoid is confirmed by the ion  
379  $[M-56]^{++}$  and/or  $[M+H-56]^+$ . This fragment has been observed using EI, FAB and APCI.  
380 Figure 9 illustrates two proposed mechanisms based on a retro-Diels-Alder fragmentation  
381 that lead to the loss of 56 units from both  $[M+H]^+$  and  $[M]^{++}$  ions.

382 The presence of these diagnostic ions alone or in combination with other characteristic  
383 fragments, such as  $[M-92-69]^+$ ,  $[M-106-69]^+$ ,  $[M-56-92]^{++}$  or/and  $[M+H-18-56]^+$ , can be used  
384 to distinguish between structural isomers.

385 *Presence of a  $\beta$ -ring end group:*

386 The fragment at  $m/z$   $[M-137]^+$  and/or  $[M+H-137]^{++}$  (see Table 3) was observed in carotenoids  
387 containing a  $\beta$ -ring end group. This fragment has been assigned to cleavage between carbons  
388 7 and 8, resulting in the loss of a terminal ring plus a methylene group (see Figure 10).  
389 However, acyclic carotenes such as phytofluene and phytoene also showed this fragment and  
390 its counter ion at  $m/z$  137 (see Table 3), both resulting from cleavage of the polyene chain.  
391 Figure 10C shows the proposed mechanism for the formation of the ion at  $m/z$   $[M+H-137]^{++}$   
392 from  $[M+H]^+$  ion.



## V. IMPROVING CAROTENOID IONIZATION

394 Carotenoid ionization can be improved by adding chemical compounds to favor the formation  
395 of ions and consequently, enhance the signal of analytes. Examples of such compounds are:  
396 (a) ammonium acetate, used to increase the abundance of deprotonated xanthophyll molecules  
397 using ESI in negative ion mode; (b) acetic acid, to increase the abundance of protonated  
398 xanthophyll molecules using ESI in positive ion mode; (c) halogen-containing eluents, used to  
399 increase the molecular ions of xanthophylls and carotenes using ESI in positive ion mode ; (d)  
400 dopants, such as toluene, anisole and chlorobenzene, employed in APPI to improve  
401 carotenoid signal strength and (e) sodium, silver or lithium salts, which have promoted the  
402 cationization of these pigments using ESI by intense formation of carotenoid-adducts . The  
403 ionization of several xanthophylls and carotenes is greatly enhanced by the addition of  
404  $\text{AgClO}_4$  . In that experiment, the mass spectra of the peaks revealed that the silver adducts  
405  $[\text{M}+\text{Ag}]^+$  were more abundant than the molecular ions  $[\text{M}]^+$ . In addition, the characteristic  
406 loss of silver (with a relative intensity of almost 60%) from adducts permitted the use of  
407 multiple reaction monitoring (MRM) techniques and therefore improve detection limits. van  
408 Breemen observed that abundant  $[\text{M}+\text{Na}]^+$  ions for xanthophylls, such as astaxanthin, were  
409 detected using ESI when sodium acetate was added to the mobile phase . In this case, the  
410 protonated molecule or molecular ion were not produced. Therefore, some carotenoids can be  
411 detected using this adduct since a higher intensity signal is obtained. Triethylamine (TEA) is a  
412 common modifier added to the mobile phase when analyzing carotenoids since it minimizes  
413 the effects of acidity generated by the free silanol groups present on the silica support and  
414 therefore increases carotenoid recovery from the column . However, attention should be paid  
415 when TEA is used for sample detection by MS because this base shows high proton affinity  
416 (more easily ionized in the APCI source) and as a result the carotenoid ion signals may  
417 decrease .

418

## VI. CONCLUSIONS

419 The great diversity and number of carotenoids present in the transgenic rice callus and maize  
420 seeds allowed us to test and confirm the utility of several fragment ions to identify these  
421 compounds. Since the information available for the analysis of ketocarotenoids by MS is  
422 scarce, here we analyzed several ketocarotenoids, including astaxanthin, adonixanthin,  
423 adonirubin, canthaxanthin, 3-hydroxyechinenone and echinenone by UHPLC-APCI-MS/MS  
424 in positive ion mode. Thus, significant carotenoid fragment ions were identified that can be  
425 related to the presence of ketone, epoxide, alcohols, rings in the corresponding carotenoid  
426 structure, and the extent of conjugation of the polyene chain. In addition, new mechanisms are  
427 proposed for the formation of these significant ions. Although many of the diagnostic ions do  
428 not form the base peak of the MS spectrum, their presence or absence and the differences  
429 between the intensities of the fragments can be used to distinguish carotenoids. Finally, the  
430 comparison of the ionization methods described in this review shows that some positive  
431 fragment ions are observed only in certain techniques, such as the ion at  $m/z$   $[\text{M}-80]^+$  , which  
432 was obtained solely through EI and FAB.

433

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613 **Figure captions**

614 **FIGURE 1.** Carotenoid structures. The compounds are clustered on the basis of the main  
615 functional group(s) present in their structures: A) hydroxycarotenoids; B) epoxycarotenoids;  
616 C) carotenes; and D) ketocarotenoids.

617 **FIGURE 2.** A) Positive ion APCI mass spectra of zeaxanthin and lutein standards and the  
618 formation of the  $[M+H-18]^+$  fragment, and B) comparison of the intensities of the transitions  
619 between  $\beta$ -carotene and  $\alpha$ -carotene and the formation of the ion at  $m/z$  123.1.

620 **FIGURE 3.** Characteristic fragment ions that are related to the hydroxyl group present in the  
621 carotenoid structures.

622 **FIGURE 4.** Proposed mechanism for the formation of the ion at  $m/z$   $[M-80]^+$ . Loss of 80  
623 mass units from A) oxirane fused to an end ring, and B) oxolane fused to an end ring.

624 **FIGURE 5.** Characteristic fragment ions for 5,6-epoxy and 5,8-furanoid carotenoids.

625 **FIGURE 6.** Diagnostic ions for ketocarotenoids.

626 **FIGURE 7.** Characteristic fragments originated from the polyene chain.

627 **FIGURE 8.** Feature breaking of the  $\Psi$  end group.

628 **FIGURE 9.** Loss of 56 units from an  $\varepsilon$ -ring end group from  $[M+H]^+$  and  $[M]^{++}$  ions.

629 **FIGURE 10.** Loss of 137 units from A) cyclic, and B) and C) acyclic carotenes.