

PCM incorporation in a concrete core slab as a thermal storage and supply system: proof of concept

Lidia Navarro^a, Alvaro de Gracia^a, Albert Castell^a, Servando Álvarez^b, Luisa F. Cabeza^{a*}

^a*GREIA Innovació Concurrent, Universitat de Lleida, Edifici CREA, Pere de Cabrera s/n, 25001, Lleida, Spain
Tel.: +34 973003576, E-mail address: lcabeza@diei.udl.cat*

^b*Grupo de Termotécnica, Universidad de Sevilla, Escuela de Ingenieros Camino de los Descubrimientos s/n. 41092, Seville, Spain*

Abstract

Phase change materials (PCM) has been widely implemented in buildings envelope as passive system as well as in storage tanks for active systems. A new promising technology has been designed to act as a hybrid system between the passive and active ones. The main idea is using the internal slab as a thermal energy storage system to cover partially the energetic demand both during heating and cooling periods. This innovative constructive system consists of a prefabricated concrete slab with PCM macro-encapsulated in small tubes and inserted in its hollows. A direct cross-flow heat exchange occurs between the air pumped into the slab and the PCM for conditioning purposes. A prototype has been implemented in a two storey house-like cubicle and has been tested under real conditions, where the slab becomes a thermal storage and supply component. The objective is to study the thermal performance of the slab and its components, in a theoretical analysis, as well as the suitability of the system in a Mediterranean continental climate. The concept was proved experimentally and compared to the theoretical results to demonstrate the potential of the technology. However, experimental results remarked the importance of a further investigation under real operating conditions.

Keywords: thermal energy storage (TES), phase change materials (PCM), concrete core slab, building, space heating, solar air collector

1. Introduction

Energy coming from the building sector has become an important amount of the global energy consumed in Europe [1]. Since the Horizon 2020 programme was implemented with the objective of 20% reduction of the greenhouse gas emissions by 2020 as one of the main statements [2], professionals of the building sector must take into account the energetic performance in their designs. These new policies give importance to the research and development of technologies focused on the energy demand reduction in buildings, in order to achieve zero or nearly zero energy buildings. Moreover, they also promote the use of renewable energies to cover the remaining demand.

Nowadays, in buildings regulations, solar energy is considered as a solution to reduce the energy consumed by buildings [3]. However, a disadvantage of this kind of renewable energy is the gap between supply and consumption [4]. In this context, researchers are working on improving an important issue related with the energy, storage. Energy storage technologies are an important part of the system to ensure that the energy supply is available to the end user. Thus, the energy storage system plays a very important role to define the energy efficiency of HVAC systems [5].

42 A promising technology of energy storage is the use of phase change materials (PCM) [6]. In
43 this case, the latent heat absorbed and released during the change from solid to liquid is used.
44 These materials are able to store large amounts of thermal energy. In addition, PCM works in a
45 specific temperature range (phase change temperature) which allows the design of the system to
46 be related to the desired application for obtaining the maximum amount of energy. The
47 implementation of thermal energy storage, and in particular PCM, in buildings has been widely
48 studied [7-9]. An important part of the literature is focused on the passive and active
49 applications of latent heat storage materials. Building envelope improvements are well known
50 for having high potential on energy demand reduction, but are usually studied with passive
51 systems. PCM in gypsum board for thermal mass enhancement of the walls [10]; in
52 prefabricated concrete walls for thermal inertia increase and lowering peak internal
53 temperatures [11]; and the inclusion of macro-encapsulated PCM placed in the constructive
54 system of the building facade [12] are some examples of passive systems which registered
55 improvements on the energy performance of buildings.

56 Regarding the active systems, two main groups can be distinguished. The first group is the
57 inclusion of PCM into the HVAC equipment, air handling units or storage tanks. Lazaro et al.
58 [13] designed different PCM heat exchangers in order to take advantage of the night free
59 cooling. The implementation of PCM in domestic and solar water tanks was used to provide
60 thermal stratification [14]. Moreover, PCM storage tanks are also implemented in heat pump
61 devices, such as Hamada and Fukai [15] that connected two different storage tanks to the
62 condenser. A specific place needs to be assigned for the installation of these storage systems in
63 the building, which it is usually an important drawback for the architect/engineer or even for the
64 final user.

65 On the other hand, the second group of active systems is able to solve the main disadvantage of
66 the previous one. It consists of using building components as thermal storage and energy supply
67 systems, hence empty spaces of the building structure are used to locate those systems. A
68 double skin facade with PCM [16] was designed to absorb and store the solar energy, in order to
69 cover the heating demand. The study demonstrates the potential of the technology achieving
70 energy savings between 19% and 26%. Barton et al. [17] presented the TermoDeck hollow core
71 slab which utilises the hollow cores of a pre-cast concrete slab as ventilation ducts for cooling
72 purposes. Taking advantage of the night free cooling, the concrete is cooled down and during
73 daytime the cold is passively discharged. Using the same building component, Pomianowski et
74 al [18] studied a prefabricated concrete ceiling deck element with integrated water pipes with an
75 additional layer of concrete with microencapsulated PCM.

76 Although they are classified in the active systems group, some of these systems, such as the
77 concrete slab ones presented above [17,18], are designed to produce a thermally stable
78 environment because of its passive discharge. However, this could be a limitation in an
79 application where a fast cooling demand is needed. Moreover, most of these building storage
80 components are not designed to provide heating and cooling with the same technology, as well
81 as they are not able to provide a night free cooling supply. Table 1 presents a brief comparative
82 of some technical aspects of the systems mentioned above.

83 In this paper, an innovative integrated active system is presented. A pre-cast concrete slab is
84 used as storage and supply system to reduce the heating and cooling consumption of HVAC
85 systems. Both charge and discharge are active and the addition of PCM inside its hollows
86 provides higher thermal storage capacity.

87 The presented system has been designed for heating and cooling applications. The winter
88 operational principle consists of melting the PCM during the daytime with hot air provided by a
89 solar air collector. Once there is a heating demand the air is pumped inside the hollows taking
90 advantage of the heat exchange with the melted PCM. On the other hand, during summer the
91 PCM is solidified through night free cooling for a later discharge when the temperature starts to
92 rise up.

93 The present paper is focused on a theoretical analysis of the thermal response of the slab
94 components (concrete and PCM), the storage efficiency, and the suitability of this technology
95 under a Mediterranean Continental climate. Moreover, the system concept is tested in an
96 experimental set-up and compared to the theoretical results. As a proof of concept study, one of
97 the main objectives is to demonstrate that the PCM selected has significant storage potential for
98 both winter and summer seasons in order to provide heating and cooling supply. In addition, the
99 performance of the technology will be analysed taken into account the efficiency of the system
100 and proposing improvements if needed.

101

102 **2. Concept**

103 2.1 Prototype description

104 The installed prefabricated concrete slab is a commercial element widely used in new and
105 already existing buildings. The component has a thickness of 30 cm, with a surface of 2.4 m x
106 2.4 m and it is formed by 14 channels where the PCM is located. A hole at the beginning and
107 end of each channel allows the air flow into the slab. Moreover, under these holes an air duct
108 installation is implemented with 6 gates (Madel CTM-AN 250x200 mm) and a fan (Sodeca
109 CMP-512-2T) of 80 W, which permits different operation modes. The fan could operate under
110 different air flow velocities and during these experiments the air flow rate measured was 0.0781
111 kg/s. A solar air collector with a surface of 1.3 m² and a power rating of 1330 Wp (AIRSOL-20)
112 which integrates a fan connected to a photovoltaic panel is implemented in the south facade as a
113 heat supply for the winter mode and it is coupled to the rest of the active system. Thus, the air
114 can be taken and blown from indoors, outdoors or from the solar air collector, depending on the
115 used operational mode (Figure 1).

116 As it has been previously stated, the system has been designed both for heating and cooling. In
117 order to ensure its suitability for both periods the selected PCM is RT-21 with a phase change
118 temperature around 21 °C. That is an appropriate temperature for heating and cooling systems,
119 since it is inside the comfort temperature range of buildings [19]. The properties provided by the
120 manufacturer and the ones measured by the authors in the experimental facilities and with the
121 differential scanning calorimeter are shown in Table 2.

122 PCM is macro-encapsulated in aluminium tubes of 12 mm diameter and 100 mm of height,
123 which are fixed in wood structures following criteria of heat transfer enhancement between the
124 air and the PCM in cross-flow (Figure 2). The total number of tubes installed is 1456 that
125 contain 52 kg of RT-21.

126

127

128 2.2 Operating principle

129 During the winter period (Figure 3a), the active slab is used as a heat store and supply for
130 covering partially or totally the demand (depending on the weather and the energetic
131 requirements). During the daytime, the solar radiation heats the air inside the solar air collector
132 located on the south facade. Hot air is injected into the slab and used to melt the PCM.
133 Depending on the programmed schedule, the air heated by the solar collector can be also used to
134 heat up the internal ambient air if required by the demand. Once the daylight is over, the active
135 slab works as a storage component integrated in the building structure. It should be taken into
136 account that the concrete plate has also an important role in the storage period, since it is
137 composed by a material with high thermal mass. Then, during the discharge period, indoor air is
138 pumped through the slab and a heat exchange occurs between the cool air and the warm PCM,
139 providing a heating supply to the cubicle.

140 On the other hand, the operational mode during the summer season (Figure 3b) is based on night
141 free cooling. When the external temperature is below the phase change temperature (21 °C)
142 outside air is injected inside the slab to solidify the PCM. The storage period starts once the
143 PCM is completely solid and no more free cooling is required. During the day, when a cooling
144 demand is needed, the internal ambient air from the cubicle is pumped through the slab and
145 cooled down due to the heat exchange with the PCM, covering part of the cooling loads. At
146 night and during the solidification process of the PCM, the air could also be used to cool down
147 the internal temperature of the cubicle.

148 2.3 Design parameters

149 Both winter and summer modes have their significant design parameters which define the
150 available energy that the system can store during the charging periods. The higher the charged
151 energy is, the higher the benefits the active slab can achieve are. For this reason, authors studied
152 the suitability of the phase change temperature of the PCM used against the climatic conditions
153 of Puigverd de Lleida (Spain) where the experimental facility is located.

154 In the case of summer, the charging period consists of solidifying the PCM during night time;
155 hence, temperatures below 21 °C are needed. Temperature evolution from June to September,
156 registered by the weather station located in the experimental facility (Puigverd de Lleida,
157 Spain), was analysed in order to evaluate the climate potential to solidify the PCM at night.

158 The daily available power ($P_{available}$), defined by the power achieved from temperatures below 21
159 °C at night to solidify the PCM, is calculated for all the summer season through Eq. (1). On the
160 other hand, the daily required power ($P_{required}$) to solidify the total amount of PCM incorporated
161 in the slab is obtained with Eq. (2) which depends on the period of time ($t_{av.out}$) that outside
162 temperature is below 21 °C at night.

$$163 \quad P_{available} = \dot{m} \cdot C_{p_{air}} \cdot (T_{pc} - T_{av.out}) \quad (1)$$

164 where,

165 \dot{m} is mass flow rate of the air, $C_{p_{air}}$ is air heat capacity, T_{pc} is temperature of phase change and
166 $T_{av.out}$ is average outside temperature below 21 °C.

$$P_{required} = \frac{m_{pcm} \cdot L_{pcm}}{t_{av.out}} \quad (2)$$

168 where,

169 m_{pcm} is the total PCM mass incorporated in the system, L_{pcm} is the latent heat of fusion, and $t_{av.out}$
 170 refers to the period of time that outside temperature is below 21 °C at night.

171 Both power values are compared in Figure 4. Climatic conditions provide sufficient power to
 172 fully solidify the PCM during almost all June and September, which represents the 68% of the
 173 total summer days analysed. These periods are characterized by mild summer conditions [20]
 174 (minimum temperatures between 15 °C and 17 °C). However, July and August belong to severe
 175 summer conditions, where minimum temperatures are around 20 °C – 21 °C. Therefore, during
 176 several days the power available is lower than the required.

177 On the other hand, the charging period during winter consists of melting the PCM during the
 178 day through the hot air provided by the solar air collector. In this case, solar radiation is the
 179 most important input in order to achieve the maximum energy charged. Daily solar energy
 180 received in the solar air collector was analysed from measured data of winter season (November
 181 to March) and compared to the energy required to melt the PCM. Moreover, the efficiency that
 182 the solar air collector ($\varepsilon_{col.required}$) should have to cover the total amount of energy required
 183 ($Q_{required}$) is calculated by Eq. (3). The evolution of this efficiency is presented in Figure 5,
 184 where solar air collector efficiencies of 30% are enough to cover the energy required during
 185 85% of winter days.

$$\varepsilon_{col.required} = \frac{Q_{required}}{Q_{sol}} \quad (3)$$

187 where,

$$Q_{required} = m_{pcm} \cdot L_{pcm} \quad (4)$$

189 m_{pcm} is the total PCM mass incorporated in the system and L_{pcm} is the latent heat of fusion.
 190 These parameters depend on the design of the system and remain constant all year long.

$$Q_{sol} = \int_{t_{i.ch}}^{t_{e.ch}} \dot{Q}_{glob-rad} \cdot dt \cdot A_{col} \quad (5)$$

192 A_{col} is the solar air collector area, $\dot{Q}_{glob-rad}$ is the incident vertical global solar irradiance between
 193 the start of the charge process ($t_{i.ch}$) and the end of the process ($t_{e.ch}$). The incident vertical global
 194 solar irradiance has been studied daily for winter months (from November to March).

195 2.4 Theoretical performance

196 A theoretical analysis is done to predict the behaviour of the PCM macro-encapsulated in the
 197 aluminium tubes, as well as the influence of the concrete slab in the behaviour of the system. A
 198 simplified model with no experimental validation was used in this section. Since the aim of this
 199 analysis is to have an order of magnitude of the system and not an accurate prediction no
 200 experimental validation was required. However, dynamic models can be found in the literature
 201 to analyse in detail building-integrated thermal energy storage (BITES) systems [21].

202 As it is well known, concrete is a material with high thermal mass, hence it is supposed to have
 203 a significant role in the performance of the active slab. However, each material (concrete and
 204 PCM) will behave in a different way when the heat exchange occurs due to air flowing through
 205 the hollows of the slab. Hence, the analysis was done separately but under the same initial and
 206 final conditions.

207 For the concrete evaluation the internal surface temperature of the hollows was considered the
 208 same as the initial temperature of the PCM ($T_{i,pcm}$). Temperature distribution inside the concrete
 209 component during initial conditions was calculated with a finite element model. After a mesh
 210 independent study a grid based on 2200 elements was used in the numerical model. The internal
 211 surface temperature ($T_{i,pcm}$), and the internal ambient air temperature (T_{∞}) the slab is exposed to,
 212 were the fixed parameters. Convective coefficients for the top and bottom of the concrete
 213 surface were calculated according to the Nusselt correlation from [22]. Constant temperature
 214 airflow (T_{∞}) is circulating through the hollows till concrete temperature drops to airflow
 215 temperature. It is considered that the heat exchanges air-concrete and air-PCM are driven by
 216 forced convection of internal flow through isothermal surfaces.

217 The discharging time of the concrete slab is extracted from Eq. (6) that relates the discharge
 218 power and the energy stored [23]:

$$219 \quad Q_{concrete} = P_{concrete} \cdot \Delta t \quad (6)$$

220 where,

$$221 \quad P_{concrete} = h \cdot A_{hollow} \cdot \Delta T_{ml} \quad (7)$$

222 h is the heat transfer coefficient between the air and the concrete, A_{hollow} is the area of the
 223 concrete slab hollow which is in contact with the air, ΔT_{ml} is the logarithmic mean temperature
 224 difference.

$$225 \quad Q_{concrete} = m_{concrete} \cdot Cp_{concrete} \cdot (T_{e,concrete} - T_{i,concrete}) \quad (8)$$

226 $m_{concrete}$ is total concrete mass, $Cp_{concrete}$ is the concrete specific heat capacity, $T_{e,concrete}$ is the
 227 final temperature of the concrete while $T_{i,concrete}$ is the initial average temperature of the concrete.

228 On the other hand, the PCM was also analysed by its own. Initial conditions consider a
 229 cylindrical container with PCM at a temperature ($T_{i,pcm}$) above the phase change temperature
 230 (T_{pc}) which is exposed to an air flow of constant temperature (T_{∞}). Moreover, a correlation of
 231 Bilir and Ilken [24] was found in the literature to calculate the solidification time of a phase
 232 change material in cylindrical encapsulation and it is applied to this case (Eq. 9). This
 233 correlation considers the solidification process of the PCM with a final PCM temperature of T_{∞} .

$$234 \quad t = \frac{.6496729 \cdot (Ste)^{-0.9439889} \cdot (Bi)^{-0.194324} \cdot (\theta_m)^{-0.9548947} \cdot r_0^2}{\alpha_s} \quad (9)$$

235 where, Ste refers to Stefan number, Bi to Biot number, θ_m to superheat parameter, r_0 is the
 236 cylinder radius and α_s the thermal diffusivity in the solid phase.

237 Different temperatures for initial conditions of the PCM and concrete, as well as different air
 238 inlet temperatures were considered and the results are presented in Table 3. Focusing on the

239 discharging time, the PCM lasts 1 hour with air inlet temperature of 18 °C and 2 hours when the
240 air temperature is kept at 20 °C. On the other hand, the concrete slab has a slower discharge with
241 a discharging time of 5.5 hours and no significant differences when the airflow temperature is
242 changed.

243 From the results, authors expected that the PCM will provide a fast heating supply, while
244 concrete will slowly discharge its energy contributing to extend the heating supply period.

245

246 **3. Experimental set-up**

247 3.1 Set-up description

248 The new technology was installed and tested in the experimental facility of Puigverd de Lleida
249 (Spain), where side-by-side experiments are carried out under real conditions (Figure 6). A
250 cubicle with internal dimensions of 2.4 x 2.4 x 5 m and constructive system based on alveolar
251 brick is used to perform this experimentation. The active slab consisting on a prefabricated
252 concrete slab with PCM inside its hollows was implemented as internal horizontal separation
253 dividing the cubicle in two storeys. The slab system will be acting in the first floor considering
254 negligible the heat flux towards the second floor.

255 The cubicle is equipped with two heat pumps (Fujitsu inverter ASHA07LCC), one for each
256 floor, in order to maintain a certain set point temperature in summer and winter periods.
257 Moreover, the cubicle is instrumented with several sensors registering data every 5 min interval:

258 - Internal surface temperature of walls, roof and floor (Pt-100 DIN B calibrated with a
259 maximum error of ± 0.3 °C).

260 - Inside temperature and humidity (ELEKTRONIK EE21 with an accuracy of $\pm 2\%$).

261 - External temperature and humidity (ELEKTRONIK EE21 with an accuracy of $\pm 2\%$).

262 - Solar irradiance, horizontal and vertical (Middleton Solar pyranometers SK08 ± 2 W·m⁻²).

263 - Wind speed and direction (DNA 024 anemometer).

264 The whole system is instrumented by 20 sensors measuring at different strategic points of the
265 slab with the purpose of analyse and characterize the technology:

266 - PCM temperatures at different locations inserted inside the aluminium tubes (Pt-100 1/5 DIN
267 B calibrated with a maximum error of ± 0.3 °C).

268 - Air temperature and velocity at the inlet and outlet of the slab (KIMO CTV210 with an
269 accuracy of ± 0.03 m/s and ± 0.25 °C).

270 - Air temperature at the inlet and outlet of the solar air collector (Pt-100 1/5 DIN B calibrated
271 with a maximum error of ± 0.3 °C).

272 The propagation of errors in the energy values calculated in section 3.2 is 3.9%.

273

274 3.2 Experimental methodology

275 In this paper, few tests are presented from a preliminary experimental campaign done during
276 March and June 2014. Complete charge and discharge processes are programmed to evaluate
277 the thermal storage capacity and storage efficiency of the active slab. The system operates under
278 different operational schedule depending if it is under winter or summer conditions. Winter
279 profile tests are described below.

- 280 • Charging winter period: From 10:00 to 18:00. Air circulates through the solar air
281 collector in order to absorb the heat from the solar radiation. The hot air is pumped
282 through the inside of the concrete slab and returned again into the collector. During this
283 period the PCM is melted.
- 284 • Discharging winter period: From 18:00 to 10:00. Once there is no incident solar
285 radiation, the system discharges the stored heat in order to provide a heat supply to the
286 inner environment. Notice that the discharging period (solidification) is twice longer
287 than the charging one (melting).

288

289 On the other hand the summer schedule follows the next profile:

290

- 291 • Charging summer period: From 00:00 to 07:00. Outside air circulates through the
292 hollows of the slab and it is returned to outdoors. During this period the PCM is
293 solidified.
- 294 • Storage summer period: From 07:00 to 11:00. The active slab is not working actively.
295 Outside temperatures are not low enough to charge the PCM and no cooling supply is
296 needed. There is a passive cooling supply due to energy gains of the slab from the inner
297 environment.
- 298 • Discharging summer period: From 11:00 to 18:00. Interior ambient air is pumped
299 through the concrete slab where the air is cooled down by the PCM which is in solid
300 state. This process provides a cooling supply to the inner environment and ends with
301 melted PCM.

302

303 In order to quantify the amount of energy stored and released from the system, an energy
304 balance between inlet and outlet air temperatures is performed. In Eq. (10) energy injected by
305 the collector (Q_{col}) is defined by the energy received by the slab (Q_{charge}) and the energy losses
306 during the charging process ($Q_{loss.col}$). Moreover, the energy discharged by the slab ($Q_{discharge}$)
307 and the energy losses through the discharging process ($Q_{loss.passive}$), which at the same time
308 became passive heat gains to the internal environment, determine the amount of energy charged
309 by the slab (Eq. 11).

$$310 \quad Q_{col} = Q_{charge} + Q_{loss.col} \quad (10)$$

$$311 \quad Q_{charge} = Q_{discharge} + Q_{loss.passive} \quad (11)$$

312 Two different parameters have been described to evaluate the efficiency of the technology
313 during the charging and the discharging process. The charge efficiency (ϵ_{charge}) is defined for the
314 winter operational mode and is presented in Eq. (12) as the ratio between the energy injected by
315 the collector (Q_{col}) and the energy received by the slab (Q_{charge}):

316
$$\varepsilon_{charge} = \frac{Q_{charge}}{Q_{col}} \quad (12)$$

317 where,

318
$$Q_{charge} = A_{duct} \cdot Cp_{air} \cdot \int_{t_{i.ch}}^{t_{e.ch}} \rho_{air} \cdot v_{air} \cdot (T_{inlet} - T_{outlet}) \cdot dt \quad (13)$$

319
$$Q_{col} = A_{duct} \cdot Cp_{air} \cdot \int_{t_{i.ch}}^{t_{e.ch}} \rho_{air} \cdot v_{air} \cdot (T_{incol} - T_{outcol}) \cdot dt \quad (14)$$

320 A_{duct} is the sectional area of the air duct, Cp_{air} is the air heat capacity, ρ_{air} is the air density, v_{air} is
 321 the air velocity, T_{incol} is the inlet temperature from the collector while the outlet temperature is
 322 T_{outcol} . The inlet temperature to the slab is T_{inlet} and the outlet temperature is represented in T_{outlet} .

323 Moreover, the discharge process is also analysed by the parameter ($\varepsilon_{discharge}$) defined in Eq.
 324 (15). This parameter is used during both winter and summer periods, and is defined by the
 325 amount of energy used to cover the heating/cooling demand ($Q_{discharge}$) and the energy that has
 326 been charged in the slab (Q_{charge}):

327
$$\varepsilon_{discharge} = \frac{Q_{discharge}}{Q_{charge}} \quad (15)$$

328 where,

329
$$Q_{discharge} = A_{duct} \cdot cp_{air} \cdot \int_{t_{i.dis}}^{t_{e.dis}} \rho_{air} \cdot v_{air} \cdot (T_{outlet} - T_{inlet}) \cdot dt \quad (16)$$

330 In Eq. (13,14,16) air density (ρ_{air}) was determined as a function of temperature for each data
 331 registered every 5 min interval.

332 In order to evaluate the performance of the solar air collector, an efficiency parameter (ε_{col}) is
 333 defined in Eq. (17) as the energy injected by the collector (Q_{col}) against the solar energy incident
 334 on its vertical surface (Q_{sol}). The solar vertical irradiance is registered every 5 min interval with
 335 a pyranometer located next to the collector.

336
$$\varepsilon_{col} = \frac{Q_{col}}{Q_{sol}} \quad (17)$$

337 where,

338
$$Q_{sol} = \int_{t_{i.ch}}^{t_{e.ch}} \dot{Q}_{glob-rad} \cdot A_{col} \cdot dt \quad (18)$$

339 A_{col} is the solar air collector area, $\dot{Q}_{glob-rad}$ is the incident vertical global solar irradiance between
 340 the start of the charge process ($t_{i.ch}$) and the end of the process ($t_{e.ch}$).

341 The charging power of the slab depends on the solar radiation power received and the power
 342 injected by the solar air collector. Therefore, the relation between these parameters is evaluated
 343 since they can be used as design parameters for the implementation of this system in buildings.

344 During the winter experiments, the heat pumps of the cubicle were turned on all day with a set
 345 point temperature of 18 °C ($\pm 0.5^\circ\text{C}$). According to the P.O. Fanger comfort curve [19] that

346 relates the monthly average temperature to the comfort range temperature, set point of 18 °C is
347 inside the limit of 80% of users' acceptance. Authors used this limit temperature in order to
348 analyse the performance of the system by itself and use the heat pump as a support heating
349 supply if internal ambient temperature is below 18 °C. On the other hand, during summer
350 experiments the heat pump was fixed at 25 °C ($\pm 0.5^\circ\text{C}$) [19].

351 **4. Results**

352 4.1 Winter period

353 4.1.1 Temperature evolution analysis

354 In this paper, four experiments of four consecutive days (from 16th to 19th March) are analysed
355 as winter period mode. During these four days outside temperatures fluctuated between 1 °C to
356 26 °C. The daily global irradiation incident on the vertical surface was around 25 MJ/m². The
357 average maximum and minimum temperatures during March 2014 were 21.4 °C and 8.4 °C
358 respectively, with maximum solar vertical irradiance peaks between 400 W/m² and 450 W/m²
359 during all month.

360 Temperature behaviour of winter days analysed in this section is presented in Figure 7. At the
361 beginning of the charge period a peak temperature is registered each day and it could be
362 observed in Figure 7, 8 and 9. This fact is due to the hot air coming from the solar air collector
363 that suddenly gets inside the slab. The first breath of air has been stagnant in the solar air
364 collector increasing its temperature to higher values than when air is flowing. Therefore, a
365 temperature peak is registered at the temperature sensor T inlet. In order to evaluate in detail the
366 temperature evolution the 2nd winter day experiment is presented in Figure 8. It can be seen that
367 the air temperature at the inlet of the slab (T inlet) is clearly dependent of the solar radiation
368 period and intensity during the charge period. On the other hand, the PCM temperatures
369 registered at the inlet (PCM inlet) and outlet (PCM outlet) of the slab channels showed different
370 melting rate. The PCM located at the inlet of the slab was completely melted at the end of the
371 charge period, while that located at the outlet was still changing phase. Therefore, the slab has
372 more potential to store energy during days with higher solar radiation.

373 During the discharge period, the air temperature at the outlet (T outlet) of the slab was always
374 above the set point temperature and was enough to provide the required heating to the cubicle.
375 Therefore, the heat pump was not switched on and the temperature of the internal ambient air (T
376 interior) was kept between 20 °C and 17.5 °C. Moreover, as it happens in the charge period, the
377 PCM located at the inlet of the slab channels has a faster response than that located at the outlet.

378 Furthermore, Figure 9 shows the thermal evolution of the active slab during winter 4th day of
379 experiment. As it can be observed in the temperature of the inlet air, this day was not as sunny
380 as the 2nd day of experiment presented before. Nevertheless, the PCM at the inlet was also
381 melted and as it happened in the previous experiment, the PCM at the outlet was in its phase
382 change process when the charge period ended. During the discharge period, although the outlet
383 air was always above the minimum set point temperature, at the end of the period (last two
384 hours) it was not enough to keep the internal temperature within the specified range and,
385 therefore, the use of the heat pump was required. This is a consequence of a lower solar
386 radiation energy received this day compared to the other experiments. However, it should be
387 taken into account that the cubicle did not require the heat pump to maintain the set point
388 temperature during 87.5% of the discharge period.

389 4.1.2 Storage efficiency

390 It is important to understand the power evolution of both charge and discharge processes in
391 order to implement correctly the system in building designs. Figure 10 presents the power
392 achieved during the charge and discharge periods in the active slab, as well as the solar radiation
393 power received in the solar air collector and the power supplied by this last one. The solar
394 radiation power indicates the vertical solar radiation incident to the solar air collector, which
395 determines the maximum energy that can be absorbed by the system. However, the solar air
396 collector is just absorbing a part of this power depending on its efficiency. The interesting issue
397 is that the slab power during the charge process is very similar to the power injected by the
398 collector, remarking the good charge performance of the system. Therefore, the energy injected
399 in the slab is directly dependent on the solar radiation of each day and hence, the amount of
400 energy charged is dependent too. In Figure 10 the line representing the solar air collector power
401 and the one representing the active slab power are overlaid at some intervals since the active
402 slab charge starts once the solar air collector starts pumping air. Moreover, negative values are
403 presented in the active slab power, which indicate the power during the discharging period.

404 The energy values of the charge and discharge processes of the slab, as well as the energy
405 received by solar radiation in the solar air collector surface and the energy injected in the slab,
406 are presented in Table 4.

407 In the winter experiment 2, the solar energy incident on the surface of the collector was 35.64
408 MJ, this one was able to inject 18.36 MJ and the slab charged 13.56 MJ. The charge efficiency
409 (ϵ_{charge}) of the first three experiments is around 73% while the last experiment has an efficiency
410 of 64%. This ratio indicates the energy stored by the slab and also the energy lost both through
411 the air ducts when the air is flowing from the collector to the slab and from the slab itself to the
412 cubicle. In this case, a part of the energy losses become direct energy gains since the duct
413 installation is located inside the cubicle and most of the heat losses from the slab are also to the
414 internal ambient air, contributing to its heating.

415 As it was mentioned before, winter experiment 4 was not as sunny as the previous experiments,
416 and therefore the energy injected (10.02 MJ) and charged (6.43 MJ) were lower. Despite the low
417 energy charged, the discharged energy was similar to the other experiments (8.97 MJ) resulting
418 in a discharge efficiency ($\epsilon_{discharge}$) of 139%. The slab still had energy stored at the end of the
419 discharge period of the previous day (winter experiment 3), resulting in additional energy
420 available. Figure 11 shows the superficial temperature of the concrete slab. The concrete inlet
421 sensor is located on the side of the slab where the air gets in, while the concrete outlet one is
422 located on the opposite side. The charge and discharge periods are clearly visible in the
423 temperature evolution of the concrete, and hence, both processes can be compared in the four
424 experiments. It can be observed that the minimum slab temperature during the discharge period
425 is slightly increasing during the first three days, and the last day is significantly above the other
426 experiments. Thus, the concrete, thanks to its high thermal inertia, is storing a part of the energy
427 charged without discharging it. Once there is a day with low solar radiation and consequently
428 low energy charged, such as winter experiment 4, the additional energy stored in the concrete is
429 discharged.

430 In section 2.4, theoretical analysis showed an important difference on the discharge time
431 between the PCM and the concrete, which is reflected in the experimental results. Concrete

432 thermal mass is contributing to increase the thermal inertia of the system through the slow
433 discharge rate.

434 Regarding the solar air collector, its efficiency (ϵ_{col}) is defined by the relation between the
435 energy injected and the solar radiation received on the vertical surface. This ratio is around 50%
436 for the first three experiments and 36% for the last one. The performance of the solar air
437 collector depends on the external temperatures, having an average temperature around 20 °C
438 during the charge period of the first days and 16 °C for the last experiment.

439 The efficiency parameters define the performance of the technology which can be useful for the
440 implementation in buildings under different weather conditions or climates. Once the solar
441 radiation data of the location are known, these values become design parameters and the heating
442 load covered by the system can be estimated.

443 It is also important to mention that during these experiments the fan has been working 24 h/day,
444 consuming 1.7 kWh/day. The operational profile of the active slab in these experiments was not
445 optimized, as the experiments were designed to proof the concept and to assess its charge and
446 discharge processes. Therefore, an optimization of the fan operation is required in order to
447 decrease its energy consumption.

448

449 4.2 Summer period

450 4.2.1 Temperature evolution

451 Preliminary results have been analysed from the experimental campaign of summer 2014.
452 Maximum outside temperatures during these experiments were between 36 °C and 32 °C, while
453 minimum temperatures were around 14 °C. Solar global horizontal irradiance had maximum
454 values around 950 W/m². These experiments had representative weather conditions from June
455 2014 in this area, since the maximum average temperatures fluctuated between 28 °C to 32 °C,
456 and the minimum ones were between 12 °C to 15 °C. Moreover, maximum daily values from
457 solar global horizontal irradiance were between 950 W/m² and 1100 W/m².

458 The fourth consecutive experiments are shown in Figure 12, while temperature evolution of the
459 slab during summer experiment 1 is presented in Figure 13. Air inlet temperature drops to 16 °C
460 at the end of the charging period providing cold for the PCM solidification process. At this
461 point, PCM at the inlet is completely solidified, but not the one at the outlet. During the storage
462 period (from 07h to 11h) PCM inlet temperature rises up 1 °C which means there is absorption
463 of heat (losses of cold energy stored). Nevertheless, the heat absorbed comes from the internal
464 ambient due to the integration of the slab inside the building, providing a passive cooling
465 supply. This fact is reflected in the interior temperature which decreases during the storage
466 period. This effect is an improvement compared to similar systems studied before, such a
467 double skin facade with PCM [25], which stored the cold in an external envelope of the building
468 where the cold stored was subjected to heat gains from the outer environment.

469 Moreover, when the discharge period starts the supply air (T_{outlet}) is around 22 °C providing
470 cooling to the inner environment ($T_{interior}$). Even the PCM inlet is fully melted at the middle
471 of the discharge period, the PCM outlet remains in the phase change range so the air at the
472 outlet of the slab is able to supply some cooling to the internal ambient. It should be mentioned
473 that internal ambient temperature was kept under 25 °C ($\pm 0.5^\circ\text{C}$) during all day without any
474 conventional cooling system, just with the active slab supply.

475 4.2.2 Storage efficiency

476 Energy values during the charge and discharge period are presented in Table 5. During these
477 experiments, discharge efficiency values are quite low, oscillating from 17% to 6%. An
478 important part of the energy charged during night time is lost in the storage period. However, as
479 it was previously mentioned, since the storage system is located inside the building, cold losses
480 are contributing to cool down the internal ambient and the storage period becomes a passive
481 cooling period.

482 The same fact as in winter experiments is happening during summer, the operating performance
483 of the system is not optimized, hence the fan is consuming 2.3 kWh/day since it is working 7
484 h/day with the highest air flow velocity.

485

486 **5. Conclusions**

487 An innovative active slab consisting of a prefabricated concrete slab with PCM inside its
488 hollows is presented in this paper. A prototype of this new technology was implemented as
489 internal slab of a house-like cubicle located in the experimental facility of the University of
490 Lleida (Spain).

491 The design parameters which define the available energy that the system can charge in the PCM
492 were analysed in the Mediterranean continental climate. In the summer mode, almost 70% of
493 the summer days, the active slab is able to completely charge 100% of the PCM. On the other
494 hand, in the winter period a solar air collector with an efficiency of 30% will be enough to have
495 successful charge processes during all the winter season.

496 The performance of the concept under winter and summer conditions was tested during March
497 and June 2014 respectively, and the results obtained show its potential and warrant further
498 research under operating conditions.

499 The active slab was tested under mild winter conditions and an interesting potential is observed
500 in the results, where charge and discharge efficiencies around 70% were registered. Moreover,
501 the theoretical performance of the system discharge suggested that the PCM will provide a fast
502 heating supply, while concrete will slowly discharge its energy contributing to extend the
503 heating supply period which was proved in the experimental winter results. The energy charged
504 in the slab which is not used the same day is stored and becomes useful during days with low
505 charged energy. This fact is due to the high thermal mass of the concrete and its low discharge
506 rate proved in the theoretical and experimental results.

507 Furthermore, the active slab was also tested under mild summer conditions and experiments
508 showed low discharge efficiencies, which are mainly attributed to the energy losses during the
509 storage period.

510 In both winter and summer modes energy losses were registered during charge or storage
511 periods. An important part of the energy lost through air ducts or convection in the slab became
512 direct energy gains (heating or cooling) to the internal ambient since the system is integrated in
513 the building.

514 The potential of the system to store and provide a heating and cooling supply has been
515 demonstrated. However, it should be taken into account that the operational profiles of the
516 presented experiments were designed to assess its potential. Authors concluded that a control
517 system is needed in order to manage the charge, discharge and storage processes in order to
518 optimize the use of the fan. The implementation of operating programs which depends on the
519 weather conditions and the energetic requirements could maximize the efficiency of the
520 technology. Finally, the promising results obtained in this paper warrant further research on
521 experimental complete campaign under different scenarios and weather conditions.

522 **Acknowledgements**

523 This work was supported by the “Corporación Tecnológica de Andalucía” by means of the
524 project “MECLIDE-Soluciones estructurales con materiales especiales para la climatización
525 diferida de edificios” with the collaboration of DETEA. The work partially funded by the
526 Spanish government (ENE2011-28269-C03-01 and ULLE10-4E-1305). The authors would like
527 to thank the Catalan Government for the quality accreditation given to their research group
528 (2014 SGR 123) and the city hall of Puigverd de Lleida. The research leading to these results
529 has received funding from the European Union's Seventh Framework Programme (FP7/2007-
530 2013) under grant agreement n° PIRSES-GA-2013-610692 (INNOSTORAGE).

531 **References**

- 532 [1] Directive 2010/31/EU of the European parliament and of the council of 19 May 2010 on the
533 energy performance of buildings. Available from: <http://www.epbd-ca.eu>.
- 534 [2] Horizon 2020, The EU Framework Programme for Research and Innovation. Available
535 from: <http://ec.europa.eu/programmes/horizon2020/en/> (April 2014).
- 536 [3] Shukla R, Sumathy K, P. Erickson, Gong J. Recent advances in the solar water heating
537 systems: A review. *Renewable and Sustainable Energy Reviews* 2013;19:173–90.
- 538 [4] Mahliaa TMI, Saktisahdana TJ, Jannifarc A, Hasanc MH, Matseelarc HSC. A review of
539 available methods and development on energy storage; technology update. *Renewable and*
540 *Sustainable Energy Reviews* 2014;33:532–45.
- 541 [5] Yau YH, Rismanchi B. A review on cool thermal storage technologies and operating
542 strategies. *Renew Sustain Energy Rev* 2012;16:787–97.
- 543 [6] Mehling H, Cabeza LF. *Heat and cold storage with PCM*. Springer: Berlin; 2008.
- 544 [7] Zhou D, Zhao CY, Tian Y. Review on thermal energy storage with phase change materials
545 (PCMs) in building applications. *Applied Energy* 2012;92:593-605.
- 546 [8] Zalba B, Marín JM, Cabeza LF, Mehling H. Review on thermal energy storage with phase
547 change: Materials, heat transfer analysis and applications, *Applied Thermal Engineering*
548 2003;23:251-83.
- 549 [9] Cabeza LF, Castell A, Barreneche C, de Gracia A, Fernández AI. Materials used as PCM in
550 thermal energy storage in buildings: A review, *Renewable and Sustainable Energy Reviews*
551 2011;15:1675-95.

- 552 [10] Mandilaras I, Stamatiadou M, Katsourinis D, Zannis G, Founti M. Experimental thermal
553 characterization of a Mediterranean residential building with PCM gypsum board walls.
554 Building and Environment 2013;61:93-103.
- 555 [11] Cabeza LF, Castellon C, Nogues M, Medrano M, Leppers R, Zubillaga O. Use of
556 microencapsulated PCM in concrete walls for energy savings. Energy Build 2007;39:113–9.
- 557 [12] Castell A, Martorell I, Medrano M, Pérez G, Cabeza LF. Experimental study of using PCM
558 in brick constructive solutions for passive cooling. Energy and Buildings 2010;42(4):534-40.
- 559 [13] Lazaro A, Dolado P, Marin JM, Zalba B. PCM-air heat exchangers for free-cooling
560 applications in buildings: Empirical model and application to design. Energy Conversion and
561 Management 2009;50(3):444-9.
- 562 [14] Castell A, Solé C, Medrano M, Nogués M, Cabeza LF. Comparison of stratification in a
563 water tank and a PCM-water tank. Journal of Solar Energy Engineering, Transactions of the
564 ASME 2009;131(2):0245011-0245015.
- 565 [15] Hamada Y, Fukai J. Latent heat thermal energy storage tanks for space heating of
566 buildings: Comparison between calculations and experiments. Energy Conversion and
567 Management 2005;46:3221-35.
- 568 [16] de Gracia A, Navarro L, Castell A, Ruiz-Pardo A, Álvarez S, Cabeza LF, Experimental
569 study of a ventilated facade with PCM during winter period. Energy and Buildings
570 2013;58:324–32.
- 571 [17] Barton P, Beggs CB, Sleigh PA. A theoretical study of the thermal performance of the
572 TermoDeck hollow core slab system. Applied Thermal Engineering 2002;22:1485–99.
- 573 [18] Pomianowski M, Heiselberg P, Jensen RL. Dynamic heat storage and cooling capacity of a
574 concrete deck with PCM and thermally activated building system. Energy and Buildings
575 2012;53:96–107.
- 576 [19] Non-residential cooling and heating load calculations. In: Parsons RA, editor. Ashrae
577 Handbook Fundamentals, Atlanta: American Society of Heating, Refrigerating and Air-
578 Conditioning Engineers, Inc.; 1997, p.28.7-28.16.
- 579 [20] de Gracia A, Navarro L, Castell A, Boer D, Cabeza LF. Life cycle assessment of a
580 ventilated facade with PCM in its air chamber. Solar Energy 2014;104:115-23.
- 581 [21] Yuxiang Chen, Galal KE, Athienitis AK. Design and operation methodology for active
582 building-integrated thermal energy storage systems. Energy and Buildings 2014;84:575-585.
- 583 [22] Wong HY. Heat transfer for Engineers, Chapter 3. Free and forced convection heat transfer
584 formulae. Longman: London; 1977.
- 585 [23] Incropera FP, DeWitt DP. Fundamentals of Heat and Mass Transfer. 4th edition Wiley:
586 New York;1996.
- 587 [24] Bilir L, Ilken Z. Total solidification time of a liquid phase change material enclosed in
588 cylindrical/spherical containers. Applied Thermal Engineering 2005;25:1488-1502.

589 [25] de Gracia A, Navarro L, Castell A, Ruiz-Pardo A, Álvarez S, Cabeza LF. Thermal analysis
590 of a ventilated double skin facade with PCM for cooling applications. Energy and Buildings
591 2012; 65:508-15.

592

593

594 **Nomenclature**

A_{col}	Area of the solar air collector [m ²]
A_{duct}	Sectional area of the air duct [m ²]
A_{hollow}	Area of the hollow of the concrete slab in contact with the air [m ²]
Bi	Biot number $\left(= \frac{h \cdot r_0}{k_s} \right)$
cp_{air}	Air heat capacity [J·kg ⁻¹ ·K ⁻¹]
cp_s	Specific heat capacity PCM (solid phase) [J·kg ⁻¹ ·K ⁻¹]
h	Heat transfer coefficient [W·m ⁻² ·K ⁻¹]
I	Daily irradiance [W·m ⁻²]
k_s	Thermal conductivity (solid phase) [W/m·K]
L_{pcm}	Latent heat of fusion [J·kg ⁻¹]
$m_{concrete}$	Total concrete mass [kg]
m_{pcm}	Total PCM mass [kg]
\dot{m}	Mass flow rate [kg·s ⁻¹]
$P_{available}$	Daily available power achieved from temperatures below 21 °C [W]
$P_{concrete}$	Discharge power of the concrete slab [W]
$P_{required}$	Daily required power to solidify the PCM [W]
$Q_{available}$	Energy available in the solar air collector [kWh]
Q_{charge}	Total stored heat in the active slab [J]
Q_{col}	Total injected heat supplied from the solar air collector [J]
$Q_{concrete}$	Energy stored by the concrete slab [Wh]
$Q_{discharge}$	Total provided heat by the active slab [J]
$Q_{loss.col}$	Energy losses during the charging period [J]
$Q_{loss.passive}$	Energy losses during the discharging period [J]
$Q_{required}$	Energy required to melt the total amount of PCM [kWh]
Q_{sol}	Total solar energy incident in the solar air collector [J]
\dot{Q}_{glob_rad}	Incident vertical global solar irradiance [W·m ⁻²]
r_0	Cylinder radius [m]

Ste	Stefan number $\left(= \frac{cp_s \cdot (T_{i.pcm} - T_\infty)}{L} \right)$
$T_{av.out}$	Average outside temperature below 21 °C [K]
$T_{e.concrete}$	Final temperature of the concrete [K]
$T_{e.air}$	Mean temperature of the air at the outlet of the hollow [K]
$T_{i.concrete}$	Initial average temperature of the concrete [K]
$T_{i.pcm}$	Initial temperature of the PCM [K]
T_∞	Internal ambient air temperature [K]
T_{pc}	Phase change temperature [K]
T_{inlet}	Temperature at the inlet of active slab [K]
T_{outlet}	Temperature at the outlet of active slab [K]
T_{incol}	Temperature at the inlet of the solar air collector [K]
T_{outcol}	Temperature at the outlet of the solar air collector [K]
$T_{interior}$	Temperature of internal ambient of the cubicle [K]
$t_{av.out}$	Period of time where outside temperature is below 21 °C [h]
$t_{i.ch}$	Time start of charge process [s]
$t_{e.ch}$	Time end of charge process [s]
$t_{i.dis}$	Time start of discharge process [s]
$t_{e.dis}$	Time end of discharge process [s]
v_{air}	Air velocity [$m \cdot s^{-1}$]

Greek symbols

ΔT_{ml}	Logarithmic mean temperature difference [K] $\left(= \frac{T_{e.air} - T_\infty}{\ln \frac{T_{i.pcm} - T_{e.air}}{T_{e.air} - T_\infty}} \right)$
α_s	thermal diffusivity in the solid phase [$m^2 \cdot s^{-1}$]
θ_m	Superheat parameter $\left(= \frac{T_{pc} - T_\infty}{T_{i.pcm} - T_\infty} \right)$
ρ_{air}	Air density [$kg \cdot m^{-3}$]

\mathcal{E}_{charge}	Charge efficiency
$\mathcal{E}_{discharge}$	Discharge efficiency
\mathcal{E}_{col}	Solar air collector efficiency
$\mathcal{E}_{col.required}$	Solar air collector efficiency required

595

596